)	1 2 3 4 5 6	<ul> <li>BILL LOCKYER, Attorney General of the State of California</li> <li>PAUL V. BISHOP, State Bar No. 50133 Deputy Attorney General</li> <li>California Department of Justice</li> <li>1300 I Street, Suite 125</li> <li>P.O. Box 944255</li> <li>Sacramento, CA 94244-2550</li> <li>Telephone: (916) 324-4618</li> <li>Facsimile: (916) 327-8643</li> <li>Attorneys for Complainant</li> </ul>	
7 8 8 9 8 9 8 9 9 9 9 9 9 9 9 9 9 9 9 9		ARMACY SUMER AFFAIRS	
	10 11 12	In the Matter of the Accusation Against: NANCY MITSUDA ASAI 631 E. Utah	Case No. 2529 OAH No.
	13	Fresno, CA 93720 Original Pharmacist License No. RPH39625 Respondent.	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER
	15 16 17	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the	
	18 19	above-entitled proceedings that the following matters are true: PARTIES	
	20 21	1. Patricia F. Harris (Complainant) is the Executive Officer of the Board of Pharmacy. She brought this action solely in her official capacity and is represented in this matter	
	22 23	by Bill Lockyer, Attorney General of the State of California, by Paul V. Bishop, Deputy Attorney General.	
	24 25	2. Respondent NANCY MITSUDA ASAI (Respondent) is represented in this proceeding by attorney Shari I. Weintraub, whose address is 550 West "C" Street, Suite 1410,	
	26 27 28	San Diego, CA 92101. 3. On or about September 11, 19 Pharmacist License No. RPH39625 to NANCY MI	985, the Board of Pharmacy issued Original TSUDA ASAI (Respondent). The was in

full force and effect at all times relevant to the charges brought in Accusation No. 2529 and will expire on March 1, 2003, unless renewed.

#### JURISDICTION

4 4. Accusation No. 2529 was filed before the Board of Pharmacy (Board) ,
 5 Department of Consumer Affairs, and is currently pending against Respondent. The Accusation
 6 and all other statutorily required documents were properly served on Respondent on June 26,
 7 2002. Respondent timely filed its Notice of Defense contesting the Accusation. A copy of
 8 Accusation No. 2529 is attached as exhibit A and incorporated herein by reference.

### ADVISEMENT AND WAIVERS

5. Respondent has carefully read, fully discussed with counsel, and
 understands the charges and allegations in Accusation No. 2529. Respondent has also carefully
 read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and
 Disciplinary Order.

6. Respondent is fully aware of its legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at its own expense; the right to confront and cross-examine the witnesses against them; the right to present evidence and to testify on its own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

21 7. Respondent voluntarily, knowingly, and intelligently waives and gives up
22 each and every right set forth above.

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#### <u>CULPABILITY</u>

8. Respondent admits the truth of each and every charge and allegation in
Accusation No. 2529.

9. Respondent agrees that its Original Pharmacist License is subject to
discipline and they agree to be bound by the Board of Pharmacy (Board) 's imposition of
discipline as set forth in the Disciplinary Order below.

#### RESERVATION

2 10. The admissions made by Respondent herein are only for the purposes of 3 this proceeding, or any other proceedings in which the Board of Pharmacy or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

#### CONTINGENCY

7 11. This stipulation shall be subject to approval by the Board of Pharmacy. 8 Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, 9 without notice to or participation by Respondent or its counsel. By signing the stipulation, 10 Respondent understands and agrees that they may not withdraw its agreement or seek to rescind 11 12 the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt 13 this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action 14 between the parties, and the Board shall not be disqualified from further action by having 15 considered this matter. 16

17 12. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same 18 force and effect as the originals. 19

20 13. In consideration of the foregoing admissions and stipulations, the parties 21 agree that the Board may, without further notice or formal proceeding, issue and enter the 22 following Disciplinary Order:

#### **DISCIPLINARY ORDER**

24 IT IS HEREBY ORDERED that Original Pharmacist License No. RPH39625 issued to Respondent NANCY MITSUDA ASAI shall be publicly reprimanded by letter within 25 26 30 days from the effective date of this order.

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2	1. <b>Reimbursement of Board Costs.</b> Respondent shall pay to the Board		
3	its costs of investigation and prosecution in the amount of \$1,000.00, within 30 days of the		
4	effective date of the Boards adoption of this stipulation.		
5	The filing of bankruptcy by Respondent shall not relieve Respondent of her		
6	responsibility to reimburse the Board its costs of investigation and prosecution. If Respondent		
7	fails to pay costs as agreed herein her license shall be suspended until full payment is		
8	received by the Board.		
9			
10	ACCEPTANCE		
11	I have carefully read the above Stipulated Settlement and Disciplinary Order		
12	and have fully discussed it with my attorney, Shari I. Weintraub. I understand the stipulation		
13	and the effect it will have on my Original Pharmacist License. I enter into this Stipulated		
14	Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be		
15	bound by the Decision and Order of the Board of Pharmacy.		
16	DATED: <u>3/6/03</u> .		
17			
18	NANCE MITSUDA ASAL		
19	Respondent		
20			
21	I have read and fully discussed with Respondent NANCY MITSUDA ASAI		
22	the terms and conditions and other matters contained in the above Stipulated Settlement and		
23	Disciplinary Order. I approve its form and content.		
24	DATED: <u>17 March 2003</u> .		
25	O(1)		
26	SHAPIL WEINTRAUB		
27	Attorney for Respondent		
28			
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	<b>*</b>		

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## **ENDORSEMENT**

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Pharmacy of the Department of Consumer Affairs. Mar 26,2003. DATED: BILL-LOCKYER, Attorn of the State of Catifornia Attorney General Deputy Attorney General Attorneys for Complainant 

#### BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 2529

OAH No.

NANCYMITSUDA ASAI 631 E. Utah Fresno, CA 93720

Original Pharmacist License No. RPH39625

Respondent.

#### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted

by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on \_\_\_\_May 28, 2003\_\_\_

It is so ORDERED \_\_\_\_\_ April 28, 2003 \_\_\_\_\_

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

By

#### 

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# Exhibit A

Accusation No. 2529

**-**.

:								
:								
. 1	BILL LOCKYER, Attorney General							
2	of the State of California PAUL V. BISHOP, State Bar No. 50133							
3	Deputy Attorney General California Department of Justice							
4	1300 I Street, Suite 125 P.O. Box 944255							
5	Sacramento, CA 94244-2550 Telephone: (916) 324-4618							
6	Facsimile: (916) 327-8643 E-mail: paul.bishop@doj.ca.gov							
7	Attorneys for Complainant							
8	στεορεία	סטי						
9	BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS							
10	STATE OF CAL							
11	In the Matter of the Accusation Against:	Case No. 2529						
12	RAY FISHER PHARMACY							
13	1021 East Herndon Avenue, #101 Fresno, CA 93720	ACCUSATION						
14	Original Pharmacist Permit Number No. PHY39916,	· · ·						
15	NANCY MITSUDA ASAI							
16	631 E. Utah Fresno, CA 93720							
17	Original Pharmacist License Number No. RPH							
18	39625,							
19	and							
20	ESPERANZA T. CAMBA 707 W. Channault Ave,							
21	Clovis, CA 93611							
22	Original Pharmacist License Number No. RPH 34910 43310							
23	Respondents.							
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Complainant alleges:

#### PARTIES

Patricia F. Harris (Complainant) brings this Accusation solely in her
 official capacity as the Executive Officer of the Board of Pharmacy, Department of Consumer
 Affairs.

On or about March 21, 1994, the Board of Pharmacy issued Original
 Pharmacy Permit Number No. PHY39916 to RAY FISHER PHARMACY (Pharmacy). The
 Original Pharmacy Permit Number was in full force and effect at all times relevant to the charges
 brought herein and will expire on March 1, 2003, unless renewed.

On or about September 11, 1985, the Board of Pharmacy issued Original
 Pharmacist License Number No. RPH 39625 to NANCY MITSUDA ASAI (Asai). The Original
 Pharmacist License Number was in full force and effect at all times relevant to the charges
 brought herein and will expire on June 30, 2003, unless renewed. At all times relevant to the
 charges brought herein, Asai was the Pharmacist In Charge at the Pharmacy.

4. On or about November 2, 1990, the Board of Pharmacy issued Original
 Pharmacist License Number No. RPH 34910 to ESPERANZA T. CAMBA (Camba). The
 Original Pharmacist License Number was in full force and effect at all times relevant to the
 charges brought herein and will expire on December 31, 2003, unless renewed. At all times
 relevant to the charges brought herein Camba was employed by the Pharmacy.

#### **JURISDICTION**

5. This Accusation is brought before the Board of Pharmacy (Board) under
the authority of the following sections of the Business and Professions Code (Code).

6. Section 4301 of the Code states:

24 "The board shall take action against any holder of a license who is guilty of
25 unprofessional conduct or whose license has been procured by fraud or misrepresentation or
26 issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the
27 following:

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1 2 "(b) Incompetence. 3 "(c) Gross negligence. 4 "(j) The violation of any of the statutes of this state or of the United States 5 6 regulating controlled substances and dangerous drugs. 7 8 "(o) Violating or attempting to violate, directly or indirectly, or assisting in or 9 abetting the violation of or conspiring to violate any provision or term of this chapter or of the 10 applicable federal and state laws and regulations governing pharmacy, including regulations 11 established by the board. . . ." 12 7. Section 4076 of the Code states: 13 "(a) A pharmacist shall not dispense any prescription except in a container that 14 meets the requirements of state and federal law and is correctly labeled with all of the following: 15 16 "(2) The directions for the use of the drug. 17 18 "(5) The date of issue. 19 20 "(9) The expiration date of the effectiveness of the drug dispensed. 21 "(10) The condition for which the drug was prescribed if requested by the patient 22 and the condition is indicated on the prescription. 23 8. Section 4077 of the Code states, in pertinent part, that except as provided 24 in subdivisions (b) and (c) of this section, no person shall dispense any dangerous drug upon 25 prescription except in a container correctly labeled with the information required by Section 26 4076. 27 9. Section 4078 of the Code provides that no person shall place a false or 28 misleading label on a prescription.

1	10. Section 4113 of the Code provides, in pertinent part, that:
)	"(b) The pharmacist-in-charge shall be responsible for a pharmacy's compliance
3	with all state and federal laws and regulations pertaining to the practice of pharmacy."
4	11. Section 4115 of the Code provides, in pertinent part:
5	"(a) Notwithstanding any other provision of law, a pharmacy technician may
6	perform packaging, manipulative, repetitive, or other nondiscretionary tasks, only while assisting,
7	and while under the direct supervision and control of, a pharmacist.
8	
9	(g) A pharmacy with only one pharmacist shall have no more than one pharmacy
10	technician performing the tasks specified in subdivision (a)."
11	12. 16 California Code of Regulations, section 1707, requires that records be
12	stored so they are secure from unauthorized access and so that the confidentiality of any patient-
13	related information is maintained.
14	13. 16 California Code of Regulations, section 1707.2, provides that a
15	pharmacist shall provide oral consultation to a patient whenever a prescription drug is dispensed
. 16	that has not been previously dispensed to the patient in the same dosage form, strength or with
17	the same written directions.
18	14. 16 California Code of Regulations, section 1709.1, provides that the
19	pharmacist-in-charge of a pharmacy shall be employed at that location and shall have
20	responsibility for the daily operation of the pharmacy.
21	15. 16 California Code of Regulations, section 1716, provides that the
22	pharmacist shall not deviate from the requirements of a prescription except upon the prior
23	consent of the prescriber.
24	16. 16 California Code of Regulations, section 1764, provides:
25	"No pharmacist shall exhibit, discuss, or reveal the contents of any prescription,
26	the therapeutic effect thereof, the nature, extent, or degree of illness suffered by any patient or
27	any medical information furnished by the prescriber with any person other than the patient or his
28	or her authorized representative, the prescriber or other licensed practitioner then caring for the
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)	1	patient, another licensed pharmacist serving the patient, or a person duly authorized by law to
	2	receive such information."
	3	17. Section 125.3 of the Code states, in pertinent part, that the Board may
	4	request the administrative law judge to direct a licentiate found to have committed a violation or
	5	violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation
	6	and enforcement of the case.
	7	FIRST CAUSE FOR DISCIPLINE
	. 8	(Unprofessional Conduct)
	9	I. Respondent CAMBA and PHARMACY are subject to disciplinary action
	. 10	under sections 4301, 4076, and 4077, and 16 California Code of Regulations, sections 1707.2
	11	and1716, in that they dispensed a dangerous drug in an incorrectly labeled container and failed to
	12	provide oral consultation to the patient receiving that prescription. The circumstances are as
·	13	follows:
	14	J. On or about July 11, 2001, Respondents dispensed the drug
	15	acetaminophen with codeine #3, a schedule II drug and a dangerous drug within the meaning of
	16	section 4022, a new prescription for patient E.V., with written directions to "take 12 tablets every
	17	four to six hours as needed for pain," when the prescriber had ordered "1 to 2 tablets every four
	18	to six hours," in violation of 16 California Code of Regulations, section 1716. The respondents
	19	failed to provide the patient receiving the new prescription an oral consultation in violation of 16
	20	California Code of Regulations, section 1707.2.
	21	SECOND CAUSE FOR DISCIPLINE
	22	(Unprofessional Conduct)
	23	K. Respondent ASAI and PHARMACY are subject to disciplinary action
	24	under sections 4301, 4115(g)(1), 4076(a), and 16 California Code of Regulations, section 1793.7,
	25	in that they failed to have written technician policies and procedures, did not maintain proper
	26	staffing ratios during work hours, and dispensed drugs in mislabeled containers. The
	27	circumstances are as follows:
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On or about July 19, 2001, an audit of Respondent PHARMACY revealed M. 1 2 the following violations: 3 1. Respondents did not have written technician policies and 4 procedures and job descriptions as required by 16 California Code of Regulations, section 5 1793.7(e); 2. Respondents did not maintain the proper staffing ratios required by 6 7 section 4115(g)(1), and 16 California Code of Regulations, section 1793.7(f), because with only 8 one pharmacist on duty, there were two technicians and seven clerks working in the pharmacy at 9 the same time; and, 10 3. Triphasil, a dangerous drug within the meaning of section 4022, 11 was prepared to be dispensed by the Pharmacy with an expiration date after the date printed by 12 the manufacturer on the drug container in violation of section 4078. 13 THIRD CAUSE FOR DISCIPLINE 14 (Unprofessional Conduct) 15 N. Respondents ASAI and PHARMACY are subject to disciplinary action 16 under sections 4301, 4076, 4077, 4078, and 16 California Code of Regulations, sections 1707 17 1764, in that they possessed controlled drugs with prescription labels that had expiration dates 18 later than that given by the manufacturer, and they failed to store and dispose of patient 19 information in an authorized manner. The circumstances are as follows: 20 О. On or about October 8, 2001, an inspection of PHARMACY revealed that 21 Respondent ASAI allowed prescriptions for Clobetasol .05% Gel and Lidex .05% ointment to be 22 prepared with an expiration date on the labels later than that given by the manufacturer in 23 violation of sections 4077 and 4078, and that she allowed patient records to be stored in an area 24 open to the public and to be disposed of in a public area without making them illegible in 25 violation of 16 California Code of Regulations, sections 1707 and 1764. 26 PRAYER 27 WHEREFORE, Complainant requests that a hearing be held on the matters herein 28 alleged, and that following the hearing, the Board of Pharmacy issue a decision:

1	A. Revoking or suspending Original Pharmacy Permit Number No.
2	PHY39916, issued to RAY FISHER PHARMACY;
3	B. Revoking or suspending Original Pharmacist License Number No. RPH
4	39625, issued to NANCY MITSUDA ASAI;
5	C. Revoking or suspending Original Pharmacist License Number No. RPH
6	34910, issued to ESPERANZA T. CAMBA;
7	D. Ordering RAY FISHER PHARMACY, NANCY MITSUDA ASAI and
8	ESPERANZA T. CAMBA to pay the Board of Pharmacy the reasonable costs of the
9	investigation and enforcement of this case, pursuant to Business and Professions Code, section
10	125.3;
11	E. Taking such other and further action as deemed necessary and proper.
12	DATED: 6/11/02-
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15	PATRICIA F. HARRIS Executive Officer
16	Board of Pharmacy Department of Consumer Affairs
17	State of California Complainant
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