California State Board of Pharmacy
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X. Proposed Regulations to Amend Title 16 CCR section 1760 related to the Board's Disciplinary Guidelines

SB 1441 Ad Hoc Committee
Victor Law, Professional Member, Chair
Ricardo Sanchez, Public Member
Stan Weisser, Professional Member

Relevant Sections

Title 16, California Code of Regulations section 1760 requires the board to consider disciplinary guidelines when reaching a decision on a disciplinary action.

Business and Professions Code (B&PC) section 315 established the Substance Abuse Coordination Committee (SACC) within the Department of Consumer Affairs. The committee was charged with formulating uniform and specific standards in several areas for dealing with substance-abusing licensees.

Chapter 9, Division 2, Chapter 19 (B&PC Sections 4300-4315) defines disciplinary proceeding for the board as well as the grounds for taking such discipline.

Background

At the February 2016 Board Meeting, the board adopted the final text to amend Section 1760 of Title 16 CCR and the disciplinary guidelines. While preparing the final rulemaking documents, board staff determined that several documents needed to be added to the rulemaking file, which requires a 15-day public notice period. The documents added include: Opinion No. 13-202 issued by the State of California, Department of Justice relating to Uniform Standards Regarding Substance-Abusing Healing Arts Licensees, Senate Bill 1441 (Ridley-Thomas), and meeting material and minutes from two Board Meetings and two Board Committee Meetings held in 2015. The 15-day public notice period began on April 6, 2016 and will end on April 21, 2016.

Any comments received during the notice period will be provided at the Board meeting.

At this Meeting
The board will have the opportunity to discuss any comments received and determine whether to advance the rulemaking forward with the regulation text as adopted on February 24, 2016.

The **Attachment** immediately following this memo contains the adopted text and disciplinary guidelines.

Disciplinary Guidelines
Adopted Text
February 24, 2016

California State Board of Pharmacy
Specific Language to Amend 16 CCR § 1760

Initial proposed changes indicated with single ~~strikethrough~~ for deletions and single underline for additions.

Modified changes indicated with ~~double strikethrough~~ for deletions and double underline for additions

Amend Section 1760 to Division 17 of Title 16 of the California Code of Regulations to read as follows:

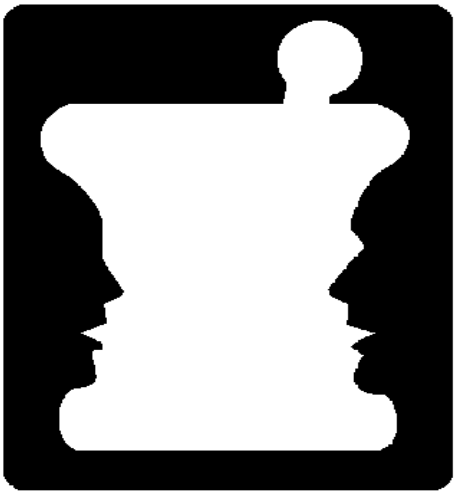
§ 1760. Disciplinary Guidelines.
In reaching a decision on a disciplinary action under the Administrative Procedure Act (Government Code section 11400 et seq.) the board shall consider the disciplinary guidelines entitled "Disciplinary Guidelines" (Rev. ~~10/2007 7/2015~~ 10/2015), which are hereby incorporated by reference.

Deviation from these guidelines and orders, including the standard terms of probation, is appropriate where the board, in its sole discretion, determines that the facts of the particular case warrant such a deviation-the presence of mitigating factors; the age of the case; evidentiary problems.

Note: Authority cited: Sections 315, 315.2, 315.4 and 4005, Business and Professions Code; and Section 11400.20, Government Code. Reference: Sections 315, 315.2, 315.4, 4300 - 4313 ~~and 4301~~, Business and Professions Code; and Sections 11400.20 and 11425.50(e), Government Code.

DISCIPLINARY GUIDELINES

A Manual of Disciplinary Guidelines
and Model Disciplinary Orders



BE AWARE & TAKE CARE:
Talk to your pharmacist!

California State Board of Pharmacy
Department of Consumer Affairs
(Rev. ~~10/2007~~ ~~7/2015~~ 10/2015)

STATE BOARD OF PHARMACY

DEPARTMENT OF CONSUMER AFFAIRS

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Additional copies of these disciplinary guidelines
may be downloaded from the board's website

BOARD OF PHARMACY

DISCIPLINARY GUIDELINES

TABLE OF CONTENTS

Introduction 1
Factors to be Considered in Determining Penalties 3
Mitigating Evidence 4

~~**Pharmacist/Intern Pharmacist**~~ **Individual Licensees** 5
Terms of Probation – ~~Pharmacist/Intern Pharmacist~~ Individual Licensees..... 5
Categories of Violation and Recommended Penalties 5
Category I – Penalty 6
Category II – Penalty 11
Category III – Penalty.....15
Category IV – Penalty 18
Model Disciplinary Language – ~~Pharmacist/Intern Pharmacist~~ Individual Licensees.....19
Standard Conditions.....23
Optional Conditions.....27

~~**Pharmacy Technician** .....43~~
~~Terms of Probation – Pharmacy Technician.....43~~
~~Category III – Penalty.....44~~
~~Model Disciplinary Language – Pharmacy Technician.....44~~
~~Standard Conditions.....48~~
~~Optional Conditions.....52~~

~~**Designated Representative** .....55~~
~~Terms of Probation – Designated Representative .....55~~
~~Category III – Penalty.....55~~
~~Model Disciplinary Language – Designated Representative .....56~~
~~Standard Conditions.....60~~
~~Optional Conditions.....64~~

Premises 67
Terms of Probation – Premises 67
Category I – Penalty 68
Category II – Penalty 73
Category III – Penalty.....77
Category IV – Penalty 79
Model Disciplinary Language – Premises.....80
Standard Conditions.....84
Optional Conditions.....87

DEPARTMENT OF CONSUMER AFFAIRS
STATE BOARD OF PHARMACY

DISCIPLINARY GUIDELINES
(Rev. ~~10/2007~~ ~~7/2015~~ 10/2015)

INTRODUCTION

The Board of Pharmacy (board) is responsible for the enforcement of statutes and regulations related to the practice of pharmacy (the Pharmacy Law) and to the regulation of controlled substances (the Uniform Controlled Substances Act). The board serves the public by:

- protecting the health, safety, and welfare of the people of California;
- advocating the highest quality of affordable pharmaceutical care;
- providing the best available information on pharmaceutical care; and
- promoting education, wellness and quality of life.

Pharmacists and intern pharmacists are patient advocates and vital members of the clinical care team who provide pharmaceutical care and exercise clinical judgment ~~for the citizens of California~~ for their patients~~,~~. They also exercise critical vigilance and control over medication stocks, drug inventories, and quality assurance protocols. Pharmacy technicians provide crucial assistance to pharmacists and intern pharmacists in all of their pharmacy tasks. Pharmacists and intern pharmacists enlighten their patients ~~enlightening them~~ about their drug ~~therapy~~ therapies through effective communicating and listening, assessing, collaborating, understanding and intervening. They also, under appropriate conditions, initiate or terminate drug therapies, compound drug preparations, ensure safety and security of drug stocks, and otherwise contribute to clinical safety and performance. Also, pharmacists and intern pharmacists are always vigilant to ensure that drug therapies are being appropriately and effectively utilized. When a pharmacist takes on the responsibility of a pharmacist-in-charge, the pharmacist also ensures the pharmacy's compliance with state and federal law, quality assurance responsibilities, and inventory controls. Likewise, the premises and other individuals licensed by the board help to ensure the reliability, safety, and security of the dangerous drug and/or dangerous device supply chain, so that patients and prescribers can be confident in the drugs or devices prescribed. Enforcement officials act quickly, consistently and efficiently in the public's interest to ensure the safe, effective delivery of these services.

The board recognizes the importance of ensuring the safe and effective delivery of dangerous drugs and/or dangerous devices and controlled substances for therapeutic purposes. At the same time, and given the historical and current abuse and diversion of drugs, particularly controlled substances, the board believes there should be no tolerance for licensees who traffic in drugs or who, in the absence of appropriate evidence of rehabilitation, personally abuse drugs or alcohol.

In accordance with Section 1760 of the California Code of Regulations, and the provisions contained in Sections 1771-1774, the board has produced this booklet for those involved in and affected by the disciplinary process: the general public, board licensees, attorneys from the Office of the Attorney General, administrative law judges from the Office of Administrative Hearings, defense attorneys, ~~board licensees~~, the courts, board staff, and board members who review and vote on proposed decisions and stipulations.

These guidelines are to be followed in Board of Pharmacy disciplinary actions. Subject to judicial review, the board has the final authority over the disposition of its cases, and, to complete its work, it uses the services of the Office of the Attorney General and the Office of Administrative Hearings. The board recognizes that individual cases may necessitate a departure from these guidelines. In such cases, the mitigating or aggravating circumstances shall be detailed in any proposed decision or any transmittal memorandum accompanying a proposed stipulation, especially where Category III or IV violations are involved.

In general, the position of the board is that revocation should always be an option whenever grounds for discipline are found to exist. Board policy is that revocation is generally an appropriate order where a respondent is in default, such as when he or she fails to file a notice of defense or fails to appear at a disciplinary hearing.

Board policy is that a suspension, where imposed, should be at least 30 days for an individual and at least 14 days for a licensed premises.

The board seeks recovery of all investigative and prosecution costs up to the hearing in all disciplinary cases. This includes all charges of the Office of the Attorney General, including, but not limited to, those for legal services, and includes charges by expert consultants. The board believes that the burden of paying for disciplinary cases should fall on those whose conduct requires investigation and prosecution, not ~~upon~~ on the profession as a whole.

The board recognizes there may be situations where an individual licensee deserves a stronger penalty than the pharmacy for which he or she works~~, but the board also believes in holding a pharmacy owner, manager, and/or pharmacist-in-charge responsible for the acts of pharmacy personnel~~. Similarly, the board recognizes that in some cases a licensed premises may well be more culpable than any individual licensed by or registered with the board. Typically, the board also believes in holding a pharmacy owner, manager, and/or pharmacist-in-charge responsible for the acts of pharmacy personnel.

For purposes of these guidelines, "board" includes the board and/or its designees.

FACTORS TO BE CONSIDERED IN DETERMINING PENALTIES

Section 4300 of the Business and Professions Code provides that the board may discipline the holder of, and suspend or revoke, any certificate, license or permit issued by the board.

In determining whether the minimum, maximum, or an intermediate penalty is to be imposed in a given case, factors such as the following should be considered:

1. actual or potential harm to the public
2. actual or potential harm to any consumer
3. prior disciplinary record, including level of compliance with disciplinary order(s)
4. prior warning(s), including but not limited to citation(s) and fine(s), letter(s) of admonishment, and/or correction notice(s)
5. number and/or variety of current violations
6. nature and severity of the act(s), offense(s) or crime(s) under consideration
7. aggravating evidence
8. mitigating evidence
9. rehabilitation evidence
10. compliance with terms of any criminal sentence, parole, or probation
11. overall criminal record
12. if applicable, evidence of proceedings for case being set aside and dismissed pursuant to Section 1203.4 of the Penal Code
13. time passed since the act(s) or offense(s)
14. whether the conduct was intentional or negligent, demonstrated incompetence, or, if the respondent is being held to account for conduct committed by another, the respondent had knowledge of or knowingly participated in such conduct
15. financial benefit to the respondent from the misconduct.
16. ~~consideration of~~ other licenses held by the respondent and license history of those licenses.
17. Uniform Standards Regarding Substance-Abusing Healing Arts Licensees (see Business and Professions Code Section 315)

No single one or combination of the above factors is required to justify the minimum and/or maximum penalty in a given case, as opposed to an intermediate one.

MITIGATING EVIDENCE

A respondent is permitted to present mitigating circumstances at a hearing or in the settlement process and has the burden of demonstrating any rehabilitative or corrective measures he, ~~or~~ she, or it has taken. The board does not intend, by the following references to written statements, letters, and reports, to waive any evidentiary objections to the form or admissibility of such evidence. The respondent must produce admissible evidence in the form required by law in the absence of a stipulation to admissibility by the complainant.

The following are examples of appropriate evidence a respondent may submit to demonstrate his or her rehabilitative efforts and competency:

a. Recent, dated, written statements and/or performance evaluations from persons in positions of authority who have on-the-job knowledge of the respondent's current competence in the practice ~~of pharmacy~~ relevant to the disciplinary proceeding, including the period of time and capacity in which the person worked with the respondent. Such reports must be signed under penalty of perjury and will be subject to verification by board staff.
b. Recent, dated, letters from counselors regarding the respondent's participation in a rehabilitation or recovery program, which should include at least a description and requirements of the program, a psychologist's diagnosis of the condition and current state of recovery, and the psychologist's basis for determining rehabilitation. Such letters and reports will be subject to verification by board staff.
c. Recent, dated, letters describing the respondent's participation in support groups, (e.g., Alcoholics Anonymous, Narcotics Anonymous, professional support groups, etc.). Such letters and reports will be subject to verification by board staff.
d. Recent, dated, laboratory analyses or drug screen reports, confirming abstention from drugs and alcohol. Such analyses and reports will be subject to verification by board staff.
e. Recent, dated, physical examination/ ~~or~~ assessment report(s) by a licensed physician, confirming the absence of any physical impairment that would prohibit the respondent from practicing safely. Such ~~assessments and~~ report(s) will be subject to verification by board staff.
f. Recent, dated, letters from probation or parole officers regarding the respondent's participation in and/or compliance with terms and conditions of probation or parole, which should include at least a description of the terms and conditions, and the officer's basis for determining compliance. Such letters and reports will be subject to verification by board staff.
g. Recent, dated, letters from persons familiar with respondent in either a personal or professional capacity regarding their knowledge of: the respondent's character; the respondent's rehabilitation, if any; the conduct of which the respondent is accused; or any other pertinent facts that would enable the board to better decide the case. Such letters must be signed under penalty of perjury and will be subject to verification by board staff.
h. For premises licensees, recent, dated letters from appropriate licensees or representatives of licensees of the board in good standing, or from appropriate consultants and/or experts in the field, written by persons familiar with respondent in either a personal or professional capacity regarding their knowledge of: the character and rehabilitation, if any, of respondent's owner(s), officer(s), or managerial employee(s); the conduct of which the respondent is accused; the details of respondent's operation(s); or any other pertinent facts that would enable the board to

better decide the case. Such letters must be signed under penalty of perjury and will be subject to verification by board staff.

TERMS OF PROBATION – ~~PHARMACIST/INTERN PHARMACIST~~ INDIVIDUAL LICENSEES (PHARMACIST, ADVANCED PRACTICE PHARMACIST, INTERN PHARMACIST, PHARMACY TECHNICIAN, DESIGNATED REPRESENTATIVE AND DESIGNATED REPRESENTATIVE-3PL)

A minimum three-year probation period has been established by the board as appropriate in most cases where probation is imposed. A minimum five-year probation period has been established by the board as appropriate ~~where self-administration or diversion of controlled substances is involved~~ in cases involving self-administration or diversion of controlled substances or dangerous drugs and/or dangerous devices, or abusive use of alcohol. Terms and conditions are imposed to provide consumer protection and to allow the probationer to demonstrate rehabilitation. A suspension period may also be required as part of the probation order. The board prefers that any stayed order be for revocation rather than for some period of suspension. The board ~~is~~ also ~~guided by~~ uses the Uniform Standards Regarding Substance-Abusing Licensees developed by the Substance Abuse Coordinating Committee of the Department of Consumer Affairs (2011). ~~Where appropriate and to the extent practicable, the terms and conditions that are specified below incorporate and/or are impacted by those Uniform Standards.~~

Probation conditions are divided into two categories: (1) standard conditions that shall appear in all probation cases, and (2) optional conditions that depend on the nature and circumstances of a particular case. These conditions may vary depending on the nature of the offense(s).

The board may also impose any other condition appropriate to the case where the condition is not contrary to public policy.

CATEGORIES OF VIOLATIONS AND RECOMMENDED PENALTIES

The California Pharmacy Law identifies offenses for which the board may take disciplinary action against the license. Included among grounds for discipline are violations of the Pharmacy Law itself, violations of regulations promulgated by the board, and violations of other state or federal statutes or regulations.

For those licenses issued to individuals (pharmacists, intern pharmacists, pharmacy technicians, and designated representatives, designated representatives-3PL, and advanced practice pharmacists), the board has identified four (4) categories of violations and their associated recommended minimum and maximum penalties. These categories of violations are arranged in ascending order from the least serious (Category I) to the most serious (Category IV), although any single violation in any category, or any combination of violation(s) in one or more categories, may merit revocation. For pharmacy technicians and designated representatives, the board believes an order of revocation is typically the appropriate penalty when any grounds for discipline are established, and that if revocation is not imposed that a minimum Category III level of discipline should be imposed.

~~The following are categories of possible violations used by the board to determine appropriate disciplinary penalties. These categories represent the judgment of the board as to the perceived seriousness of particular offenses~~.

~~Under each category, the board has grouped statutes and regulations where violations would typically merit the recommended range of minimum to maximum penalties for that category. These lists are representative, and are not intended to be comprehensive or exclusive.~~ For

each violation category, the board has given offense descriptions and examples where violations would typically merit the recommended range of minimum to maximum penalties for that category. These descriptions and examples are representative, and are not intended to be comprehensive or exclusive. Where a violation not included in these lists is a basis for disciplinary action, the appropriate penalty for that violation may be best derived by comparison to any analogous violation(s) that are included. Where no such analogous violation is listed, the category descriptions may be consulted.

These categories assume a single violation ~~of each listed statute or regulation~~. For multiple violations, the appropriate penalty shall increase accordingly. Moreover, if an individual has committed violations in more than one category, the minimum and maximum penalties shall be those recommended in the highest category.

The board also has the authority, pursuant to Business and Professions Code section 4301(n), to impose discipline based on disciplinary action taken by another jurisdiction. The discipline imposed by the board will depend on the discipline imposed by the other jurisdiction, the extent of the respondent's compliance with the terms of that discipline, the nature of the conduct for which the discipline was imposed, and other factors set forth in these guidelines.

CATEGORY I

Minimum: Revocation; Revocation stayed; ~~one~~ two years probation. All standard terms and conditions shall be included and optional terms and conditions as appropriate.

Maximum: Revocation

Category I discipline is recommended for violations ~~which are~~ that are less serious ~~that~~ than Category 2 through 4 but are potentially harmful. These may include:

- violations ~~which are relatively minor but are potentially harmful~~ of recordkeeping requirements, scope of practice requirements, or inventory control requirements;
- ~~repeated violations of a relatively minor nature:~~ smaller or isolated failure(s) to abide by or enforce prescription or refill requirements, drug-substitution requirements, or labeling requirements;
- violation(s) of obligations to supply or update information to the board, or to other enforcement or regulatory agencies;
- failure(s) to adequately supervise staff or ensure security and sanitation of premises, dangerous drugs and/or dangerous devices, or controlled substances; and
- violation(s) of packaging requirements, security control requirements, or reporting requirements.
- violation(s) involving the improper compounding of drug products
- violation(s) resulting from the misuse of education or licensing privileges irrespective of whether it occurs outside of an entity licensed by the board.

~~Violations of the following codes are representative of this category:~~

~~**BUSINESS AND PROFESSIONS CODE**~~

~~**Article 3. Scope of Practice and Exemptions**~~

~~4052.1 Skin Puncture by Pharmacist; Conditions Permitting~~
~~4052.5 Pharmacist May Select Different Form of Medication with Same Active Chemical~~

~~Ingredients; Exceptions~~
~~4052.7 Repackage Previously Dispensed Drugs; Requirements~~
~~4053 Exemptee Supervisor of Manufacturer, etc.: Requirements~~
~~4054 Supply by Manufacturer, etc. of Certain Dialysis Drugs and Devices~~
~~4055 Sale of Devices to Licensed Clinics, etc.~~
~~4056 Purchase of Drugs at Wholesale – Hospital Containing 100 Beds or Less~~
~~4057 Exceptions to Application of this Chapter~~
~~4058 Display of Original License~~
~~4062 Furnishing Dangerous Drugs During Emergency~~
~~4064 Emergency Refill of Prescription Without Prescription Authorization~~
~~4065 Injection Card System; Requirements of Administration~~
~~4066 Furnishing Dangerous Drugs to Master or First Officer of Vessel~~
~~4068 Dispense Dangerous Drug or Controlled Substance to Emergency Room Patient; Requirements~~

~~Article 4. Requirements for Prescription~~

~~4070 Reduction of Oral or Electronic Prescription to Writing~~
~~4071 Prescriber May Authorize Agent to Transmit Prescription; Schedule II Excluded~~
~~4072 Oral or Electronic Transmission of Prescription – Health Care Facility~~
~~4073 Substitution of Generic Drug – Requirements and Exceptions~~
~~4074 Drug Risk: Informing Patient; Providing Consultation for Discharge Medications~~
~~4076 Prescription Container – Requirements for Labeling~~
~~4077 Dispensing Dangerous Drug in Incorrectly Labeled Container~~

~~Article 5. Authority of Inspectors~~

~~4082 Names of Owners, Managers and Employees Open for Inspection~~

~~Article 6. General Requirements~~

~~4100 Change of Address or Name – Notification to Board~~
~~4103 Blood Pressure – Taking by Pharmacist~~

~~Article 7. Pharmacies~~

~~4114 Intern Pharmacist: Activities Permitted~~
~~4119 Furnish Prescription Drug to Licensed Health Care Facility – Secured~~
~~4119.1 Pharmacy May Provide Services to Health Facility~~
~~4119.5 Transfer or Repackaging Dangerous Drugs by Pharmacy~~
~~4121 Advertisement for Prescription Drug: Requirements; Restrictions~~
~~4122 Required Notice at Availability of Prescription Price Information, General Product Availability, Pharmacy Services; Providing Drug Price Information; Limitations on Price Information Requests~~
~~4123 Compounding Drug for Other Pharmacy for Parenteral Therapy; Notice to Board~~
~~4124 Dispensing Replacement Contact Lenses: Requirements; Patient Warnings; Registration with Medical Board; Application of Section to Nonresident Pharmacies~~

~~Article 9. Hypodermic Needles and Syringes~~

~~4141 Furnishing Without License~~
~~4142 Prescription Required~~
~~4143 Exemption: Sale to Other Entity, Physician, etc.~~
~~4144 Industrial Use Exception~~

~~4145 Exception: Furnishing for Administration of Insulin, Adrenaline, or Specified Animal Uses; Conditions~~
~~4148 Confiscation if Found Outside Licensed Premises~~
~~4149 Sale by Distributor~~

~~Article 10. Pharmacy Corporations~~

~~4151 Licensure Requirements~~
~~4152 Corporate Name Requirements~~
~~4153 Shareholder Income While Disqualified~~
~~4156 Unprofessional Conduct by Corporation~~

~~Article 11. Wholesalers and Manufacturers~~

~~4161 Nonresident Wholesaler: When License Required; Application~~
~~4162 Issuance or Renewal of Wholesaler License; Surety Bond~~
~~4163 Unauthorized Furnishing by Manufacturer or Wholesaler~~
~~4165 Sale or Transfer of Dangerous Drug or Device Into State: Furnishing Records to Authorized Officer on Demand; Citation for Non-compliance~~
~~4166 Shipping of Dangerous Drugs or Devices – Wholesaler or Distributor~~
~~4167 Wholesaler: Bar on Obtaining Dangerous Drugs or Devices It Cannot Maintain on Licensed Premises~~

~~Article 13. Non-Profit or Free Clinics~~

~~4180 Purchase of Drugs at Wholesale Only with License: Eligible Clinics~~
~~4181 License Requirements; Policies and Procedures; Who May Dispense~~
~~4182 Duties of Professional Director; Consulting Pharmacist Required~~
~~4183 No Professional Dispensing Fee~~
~~4184 Dispensing Schedule II Substance Prohibited~~
~~4186 Automated Drug Delivery Systems~~

~~Article 14. Surgical Clinics~~

~~4190 Purchase of Drugs at Wholesale: Permitted Uses of Drugs; Required Records and Policies; License Required~~
~~4191 Compliance with Department of Health Services Requirements; Who May Dispense Drugs~~
~~4192 Duties of Professional Director; Providing Information to Board~~
~~4193 Clinic Not Eligible for Professional Dispensing Fee; Ban on Offering Drugs for Sale~~
~~4194 Dispensing of Schedule II Substance by Clinic Prohibited; Physician May Dispense; Administration Authorized in Clinic~~

~~Article 15. Veterinary Food-Animal Drug Retailers~~

~~4196 License Required: Temporary License on Transfer of Ownership; Persons Authorized in Storage Area~~
~~4197 Minimum Standards: Security; Sanitation; Board Regulations; Waivers~~
~~4198 Written Policies and Procedures Required: Contents; Training of Personnel; Quality Assurance; Consulting Pharmacist~~

~~Article 17. Continuing Education~~

~~4231 Requirements for Renewal of Pharmacist License: Clock Hours; Exemption for New Licensee~~
~~4232 Content of Course~~

~~Article 18. Poisons~~

~~4240 Application of Act~~

~~Article 20. Prohibitions and Offenses~~

~~4341 Advertisement of Prescription Drugs or Devices~~
~~4343 Buildings: Prohibition Against Use of Certain Signs Unless Licensed Pharmacy Within~~

~~CALIFORNIA CODE OF REGULATIONS, TITLE 16~~

~~1704 Change of Address~~
~~1705 Notification of Bankruptcy, Receivership or Liquidation~~
~~1708.2 Discontinuance of Business~~
~~1708.4 Pharmacist Handling Radioactive Drugs~~
~~1708.5 Pharmacy Furnishing Radioactive Drugs~~
~~1709 Names of Owners and Pharmacist in Charge~~
~~1712 Use of Pharmacist Identifiers~~
~~1714 Operational Standards and Security~~
~~1715.6 Reporting Drug Loss~~
~~1716 Variation From Prescriptions~~
~~1717 Pharmaceutical Practice~~
~~1717.1 Common Electronic Files~~
~~1717.4 Electronic Transmission of Prescriptions~~
~~1718.1 Manufacturer's Expiration Date~~
~~1726 Supervision of Intern Pharmacists~~
~~1728 Requirements for Examination~~
~~1732.1 Requirements for Accredited Providers~~
~~1732.3 Requirements for Continuing Education Courses~~
~~1732.4 Provider Audit Requirements~~
~~1732.5 Renewal Requirements for Pharmacist~~
~~1744 Drug Warnings~~
~~1746 Emergency Contraception~~
~~1751 Sterile Injectable Compounding Area~~
~~1751.01 Facility and Equipment Standards for Sterile Injectable Compounding from Non-Sterile Ingredients~~
~~1751.02 Policies and Procedures~~
~~1751.1 Laminar Flow Biological Safety Cabinet~~
~~1751.2 Labeling Requirements~~
~~1751.3 Recordkeeping Requirements~~
~~1751.4 Attire~~
~~1751.5 Training of Staff, Patient, and Caregiver~~
~~1751.6 Disposal of Waste Material~~

~~1751.7 Quality Assurance and Process Evaluation~~
~~1751.9 Reference Materials~~
~~1751.11 Furnishing to Home Health Agencies and Licensed Hospices~~
~~1751.12 Obligations of a Pharmacy Furnishing Portable Containers~~
~~1771 Posting Notice of Suspension~~
~~1772 Disciplinary Condition of Suspension~~
~~1780 Minimum Standards for Wholesalers~~
~~1780.1 Minimum Standards for Veterinary Food-Animal Drug Retailers~~
~~1781 Exemption Certificate~~
~~1786 Exemptions~~
~~1787 Authorization to Distribute Hemodialysis Drugs and Devices~~
~~1790 Assembling and Packaging~~
~~1791 Labeling~~
~~1792 Receipt for Shipment~~

~~HEALTH AND SAFETY CODE~~

~~11100 Report of Certain Chemical: Chemicals Included; Exclusions; Penalties~~
~~11100.1 Report of Chemicals Received from Outside State; Penalties~~
~~11151 Limitation on Filling Prescriptions From Medical Students~~
~~11158 Prescription Required for Schedule II, III, IV, or V Controlled Substance; Exception for Limited Dispensing, Administration~~
~~11159 Chart Order Exemption for Patient in County or Licensed Hospital; Maintaining Record for Seven Years~~
~~11159.1 Chart Order Exemption for Clinic Patient; Maintaining Record for Seven Years~~
~~11159.2 Exception to Triplicate Prescription Requirement~~
~~11167 Emergency Dispensing of Schedule II Substance: Circumstances and Requirements~~
~~11167.5 Oral or Electronic Prescriptions for Scheduled II Controlled Substances for Specified Inpatients, Residents, and Home Hospice Patients; Requirements~~
~~11171 Prescribing, etc. Controlled Substance Only as Authorized~~
~~11172 Antedating or Postdating Prescription Prohibited~~
~~11175 Prohibition on Obtaining or Possessing Nonconforming Prescription; Prohibition on Obtaining Controlled Substance by Nonconforming Prescription~~
~~11180 Prohibition on Controlled Substance Obtained or Possessed by Nonconforming Prescription~~
~~11200 Restrictions on Dispensing or Refilling; Refill of Schedule II Prescription Barred~~
~~11201 Emergency Refill of Schedule III, IV, or V Prescription; Circumstances; Requirements~~
~~11205 Maintenance and Retention of Records in Separate File~~
~~11206 Required information on Prescription~~
~~11209 Delivery and Receiving Requirements for Schedule II, III, and IV Substances; Violation~~
~~11210 Issuing Prescription: By Whom; For What Purpose; Quantity to Be Prescribed~~
~~11250 Authorized Retail Sale by Pharmacists to Physicians, etc.; Required Order Form~~
~~11251 Authorized Wholesale Sale by Pharmacists~~
~~11252 Preservation of Federally Required Forms~~
~~11253 Duration of Retention~~
~~11255 Actions Constituting Sale~~
~~11256 Required Report of Order By or Sale to Out-of-State Wholesaler or Manufacturer~~
~~111225 to~~

~~111655 Adulterated or Misbranded Drugs or Devices~~

~~CODE OF FEDERAL REGULATIONS, TITLE 21~~

~~1301.11 Persons required to register.~~
~~1301.12 Separate registrations for separate locations.~~
~~1301.71 Security requirements generally.~~
~~1301.72 Physical security controls for non-practitioners; narcotic treatment programs and compounders for narcotic treatment programs; storage areas.~~
~~1301.73 Physical security controls for non-practitioners; compounders for narcotic treatment programs; manufacturing and compounding areas.~~
~~1301.74 Other security controls for non-practitioners; narcotic treatment programs and compounders for narcotic treatment programs.~~
~~1301.75 Physical security controls for practitioners.~~
~~1301.76 Other security controls for practitioners.~~
~~1301.90 Employee screening procedures.~~
~~1301.91 Employee responsibility to report drug diversion.~~
~~1301.92 Illicit activities by employees.~~
~~1302.03 Symbol required; exceptions.~~
~~1302.04 Location and size of symbol on label and labeling.~~
~~1302.05 Effective dates of labeling requirements.~~
~~1302.06 Sealing of controlled substances.~~
~~1302.07 Labeling and packaging requirements for imported and exported substances.~~
~~1304.11 Inventory requirements.~~
~~1304.21 Inventories of importers and exporters~~
~~1304.31 Reports from manufacturers importing narcotic raw materials.~~
~~1304.32 Reports of manufacturers importing coca leaves.~~
~~1304.33 Reports to ARCOS.~~
~~1305.03 Distributions requiring a Form 222 or a digitally signed electronic order.~~
~~1305.04 Persons entitled to order Schedule I and II controlled substances.~~
~~1305.05 Power of attorney.~~
~~1305.06 Persons entitled to fill orders for Schedule I and II controlled substances.~~
~~1305.11 Procedure for obtaining DEA Forms 222.~~
~~1305.12 Procedure for executing DEA Forms 222.~~
~~1305.14 Procedure for endorsing DEA Forms 222.~~
~~1305.15 Unaccepted and defective DEA Forms 222.~~
~~1305.16 Lost and stolen DEA Forms 222.~~
~~1306.03 Persons entitled to issue prescriptions.~~
~~1306.05 Manner of issuance of prescriptions.~~
~~1306.14 Labeling of substances and filling of prescriptions.~~
~~1306.24 Labeling of substances and filing of prescriptions.~~
~~1306.25 Transfer between pharmacies of prescription information for Schedules III, IV, and V controlled substances for refill purposes.~~
~~1306.26 Dispensing without a prescription.~~
~~1307.11 Distribution by dispenser to another practitioner or reverse distributor.~~
~~1307.12 Distribution to supplier or manufacture.~~
~~1307.13 Incidental manufacture of controlled substances.~~
~~1307.21 Procedure for disposing of controlled substances.~~
~~1700.1 to~~
~~1707.15 Child-resistant containers.~~

CATEGORY II

Minimum: Revocation; Revocation stayed, three years probation (five years probation ~~where self-administration or diversion of controlled substances is involved~~ in cases involving self-administration or diversion of controlled substances or dangerous drugs and/or dangerous devices, or abusive use of alcohol). All standard terms and conditions shall be included and optional terms and conditions as appropriate.

Maximum: Revocation

Category II discipline is recommended for violation(s) with serious potential for harm, as well as for violations involving disregard for public safety or for the laws or regulations pertaining to pharmacy and/or to dispensing or distributing of dangerous drugs and/or dangerous devices or controlled substances, violations that reflect on ethics, competence, or diligence, and criminal convictions not involving alcohol, dangerous drugs and/or dangerous devices, or controlled substances. Violations in this category may include:

- failure(s) to abide by prohibitions on referral rebates or discounts (kickbacks) and/or volume or percentage-based lease agreements;
- violation(s) of advertising or marketing limitations, including use of false or misleading advertising or marketing;
- repeat or serious violation(s) of recordkeeping requirements, scope of practice requirements, or inventory control requirements;
- violation(s) of controlled substance secure prescription requirements, inventory controls, or security requirements;
- failure(s) to meet compliance requirements, including pharmacist-in-charge or designated representative-in-charge designation and duties;
- violation(s) of monitoring and reporting requirements with regard to chemically, mentally, or physically impaired licensees or employees;
- repeat or serious failure(s) to adequately supervise staff or ensure security and sanitation of premises, dangerous drugs and/or dangerous devices, or controlled substances;
- violation(s) of law governing controlled substances, dangerous drugs and/or dangerous devices, or alcohol, including smaller cases of diversion or self-administration or abusive use of a controlled substance, dangerous drug and/or dangerous device, or alcohol;
- unlawful possession(s) of dangerous drugs and/or dangerous devices, controlled substances, hypodermic needles and syringes, or drug paraphernalia;
- smaller scale dispensing or furnishing of dangerous drug(s) and/or dangerous device(s) via the internet without valid prescription(s);
- purchasing, trading, selling, or transferring dangerous drug(s) and/or dangerous device(s) to or from unauthorized person(s);
- failure(s) to make required reports to the board or other regulatory agencies, including CURES obligations and reporting to DEA;
- violation(s) of quality assurance and self-assessment obligations, failure(s) to clarify erroneous or uncertain prescription(s);
- gross immorality, incompetence, gross negligence, ~~clearly~~ excessive furnishing of controlled substances, moral turpitude, dishonesty, or fraud;
- criminal conviction(s) not involving alcohol, dangerous drugs and/or dangerous devices, or controlled substances;
- violating, or assisting in or abetting violation of, or conspiring to violate the laws and regulations governing pharmacy; and

- subverting or attempting to subvert an investigation conducted by the board.
- repeated violation(s) involving the improper compounding of drug products
- violations resulting from the misuse of education or licensing privileges irrespective of whether these violations occur in a entity regulated by the board.
- ~~violations with a serious potential for harm~~
- ~~violations which involve greater disregard for pharmacy law and public safety~~
- ~~violations which reflect on ethics, care exercised or competence or a criminal conviction not involving dangerous drugs or controlled substances or involving possession or use of dangerous drugs or controlled substances.~~

~~Violations of the following codes are representative of this category:~~

~~BUSINESS AND PROFESSIONS CODE~~

~~650 Rebates or Discounts for Referral Prohibited~~
~~650.1 Lease Prohibition – Hospitals or Prescribers~~
~~651 Professional Advertising Requirements~~

~~Article 3. Scope of Practice and Exemptions~~

~~4051(b) Conduct Authorized by Pharmacist~~
~~4052 Furnishing to Prescriber; Permissible Procedures by Pharmacist in Health Care Facility or Clinic or for Other Health Care Provider~~
~~4060 Controlled Substance – Prescription Required; Exceptions~~
~~4061 Distribution of Drug as Sample; Written Request Required~~
~~4063 Refill of Prescription for Dangerous Drug or Device; Prescriber Authorization~~
~~4067 Internet; Dispensing Dangerous Drugs or Devices without Prescription~~
~~4075 Proof of Identity Required – Oral or Electronic Prescription~~
~~4078 False or Misleading Label on Prescription~~

~~Article 6. General Requirements~~

~~4101 Pharmacist in Charge, Exemptee: Termination of Employment; Notification to Board~~
~~4104 Licensed Employee, Theft or Impairment: Pharmacy Procedures~~
~~4105 Retaining Records of Dangerous Drugs and Devices on Licensed Premises; Temporary Removal; Waivers; Access to Electronically Maintained Records~~

~~Article 7. Pharmacies~~

~~4112 Nonresident Pharmacy: Registration; Provision of Information to Board; Maintaining Records; Patient Consultation~~
~~4113 Pharmacist in Charge: Notification to Board; Responsibilities~~
~~4115 Pharmacy Technician: Activities Permitted; Required Supervision; Activities Limited to Pharmacist; Registration; Requirements for Registration; Ratios~~
~~4115.5 Pharmacy Technician Trainee; Placement; Supervisions; Requirements~~
~~4116 Security of Dangerous Drugs and Devices in Pharmacy: Pharmacist Responsibility for Individuals on Premises; Regulations~~
~~4117 Admission to Area Where Narcotics are Stored, etc. – Who May Enter~~
~~4120 Nonresident Pharmacy: Registration Required~~
~~4125 Pharmacy Quality Assurance Program Required; Records Considered Peer Review Documents~~

~~**Article 9. Hypodermic Needle and Syringes**~~

~~4140 Unlawful Possession~~
~~4147 Disposal of Needle or Syringe~~

~~**Article 11. Wholesalers and Manufacturers**~~

~~4160 Wholesaler: License Required~~
~~4163 Unauthorized Furnishing by Manufacturer or Wholesaler~~
~~4164 Reports Required~~
~~4169(a)(1) Prohibited Acts~~

~~**Article 13. Non-Profit of Free Clinics**~~

~~4185 Inspection Permitted~~

~~**Article 14. Surgical Clinics**~~

~~4195 Inspection Permitted~~

~~**Article 19. Disciplinary Proceedings**~~

~~4301 Unprofessional Conduct - subsections (a)-(h), (j), and (l)-(q)~~
~~4302 Discipline of Corporate Licensee for Conduct of Officer, Director, Shareholder~~
~~4303 Nonresident Pharmacy: Grounds for Discipline~~
~~4304 Out-of-state Distributor: Authority to Discipline~~
~~4305 Disciplinary Grounds: Failure of Pharmacy, Pharmacist to Notify Board of Termination of Pharmacist in Charge; Continuing to Operate Without Pharmacist~~
~~4305.5 Disciplinary Grounds: Failure of Other Entity Licensed by Board, of Pharmacist or Exemptee to Notify Board of Termination of Pharmacist in Charge or Exemptee; Continuing to Operate Without Pharmacist or Exemptee~~
~~4306 Violation of Professional Corporation Act as Unprofessional Conduct~~
~~4306.5 Misuse of Education, etc. by Pharmacist Outside Course of Practice of Pharmacy as Unprofessional Conduct~~

~~**Article 20. Prohibitions and Offenses**~~

~~4326 Misdemeanor: Obtaining Needle or Syringe by Fraud, etc.; Unlawful Use of Needle or Syringe Obtained from Another~~
~~4328 Misdemeanor: Permitting Compounding, Dispensing, or Furnishing by Non-pharmacist~~
~~4330 Misdemeanor: Non-pharmacist Owner Failing to Place Pharmacist in Charge, Dispensing or Compounding Except by Pharmacist, Interfering with Pharmacist in Charge~~
~~4331 Misdemeanor: Medical Device Retailer, Wholesaler, Veterinary Food-Animal Drug Retailer Failing to Place Pharmacist or Exemptee in Charge, Permitting Dispensing or Compounding Except by Pharmacist or Exemptee~~
~~4333 Maintaining Prescriptions, Other Drug Records on Premises, Open to Inspection; Waiver; Willful Failure to Keep or Permit Inspection of Records of Prescriptions, Other Records as Misdemeanor~~
~~4340 Unlawful Advertising by Nonresident Pharmacy Not Registered with Board~~

~~Article 22. Unfair Trade Practices~~

~~4380 Resale of Preferentially Priced Drugs: Prohibition; Exceptions~~
~~4381 Violation of Section 4380 as Unfair Competition; Right of Private Action to Enforce~~
~~4382 Board May Audit Sales to Walk-in Customers~~

~~CALIFORNIA CODE OF REGULATIONS, TITLE 16~~

~~1707.1 Duty to Maintain Medication Profiles (Patient Medication Records)~~
~~1707.2 Notice to Consumers and Duty to Consult~~
~~1707.3 Duty to Review Drug Therapy and Patient Medication Record Prior to Delivery~~
~~1709.1 Designation of Pharmacist in Charge~~
~~1714.1 Pharmacy Operations During the Temporary Absence of a Pharmacist~~
~~1715 Self-Assessment of a Pharmacy by the Pharmacist-in-Charge~~
~~1715.5 Implementation of Electronic Monitoring of Schedule II Prescriptions~~
~~1716.1 Compounding Unapproved Drugs for Prescriber Office Use~~
~~1716.2 Record Requirements-Compounding for Future Furnishing~~
~~1717.3 Preprinted, Multiple Checkoff Prescription Blanks~~
~~1723.1 Confidentiality of Examination Questions~~
~~1745 Partial Filling of Schedule II Prescriptions~~
~~1751.10 Furnishing to Parenteral Patient at Home~~
~~1761(a) Erroneous or Uncertain Prescriptions~~
~~1764 Unauthorized Disclosure of Prescriptions~~
~~1765 Commissions, Gratuities, and Rebates~~
~~1766 False or Misleading Advertising~~
~~1775.3 Compliance with Orders of Abatement~~
~~1782 Reporting Sales of Drugs Subject to Abuse~~
~~1783 Manufacturer or Wholesaler Furnishing Drugs or Devices~~
~~1793.1 Duties of a Pharmacist~~
~~1793.2 Duties of a Pharmacy Technician~~
~~1793.3 Other Non-Licensed Pharmacy Personnel~~
~~1793.7 Requirements for Pharmacies Employing Pharmacy Technicians~~
~~1793.8 Technicians in Hospitals with Clinical Pharmacy Programs~~

~~HEALTH AND SAFETY CODE~~

~~11103 Report of Theft, Loss, or Shipping Discrepancy~~
~~11150 Persons Authorized to Write or Issue a Prescription~~
~~11152 Nonconforming Prescriptions Prohibited~~
~~11154 Prescription, etc, Must Be for Treatment; Knowing Solicitation of Unlawful Prescription, etc.~~
~~11156 Prescribing, etc. Controlled Substances to Addict Only as Authorized~~
~~11164 Prescriptions for Schedule II, III, IV and V Controlled Substances: Form and Content; Record of Practitioner Dispensing Schedule II Controlled Substances~~
~~11166 Time Limit for Filling Schedule II Prescription; Knowingly Filling Mutilated, Forged, or Altered Prescription Prohibited~~
~~11170 Prohibition on Prescribing, etc. Controlled Substance for Self~~
~~11179 Retention of Controlled Substance Prescription~~

~~11207 Only Pharmacist or Intern Authorized to Fill Prescription~~
~~11209 Delivery and Receiving Requirements for Schedule II, III, and IV Substances; Violation~~
~~11350 Possession of Specified Controlled Substance~~
~~11377 Unlawful Possession of Specified Substance~~
~~11165(d) CURES Transmission~~
~~150204 Surplus Medication Collection and Distribution Program~~

~~CODE OF FEDERAL REGULATIONS, TITLE 21~~

~~1304.03 Persons required to keep records and file reports.~~
~~1304.04 Maintenance of records and inventories.~~
~~1304.11 Inventory requirements .~~
~~1304.21 General requirements for continuing records.~~
~~1304.22 Records for manufacturers.~~
~~1305.07 Special procedure for filling certain orders.~~
~~1305.13 Procedure for filling DEA Forms 222.~~
~~1306.04 Purpose of issue of prescription.~~
~~1306.06 Persons entitled to fill prescriptions.~~
~~1306.07 Administering or dispensing of narcotic drugs.~~
~~1306.11 Requirement of prescription.~~
~~1306.12 Refilling prescription.~~
~~1306.13 Partial filling of prescriptions.~~
~~1306.21 Requirement of prescription.~~
~~1306.22 Refilling of prescriptions.~~
~~1306.23 Partial filling of prescriptions.~~

CATEGORY III

Minimum: Revocation; Revocation stayed, 90 days actual suspension, three to five years probation (five years probation ~~where self-administration or diversion of controlled substances is involved~~ in cases involving self-administration or diversion of controlled substances or dangerous drugs and/or dangerous devices, or abusive use of alcohol). All standard terms and conditions and optional terms and conditions as appropriate.

Maximum: Revocation

Category III discipline is recommended for violations where potential for harm is greater, more imminent, or more serious than it is for Category II violations, as well as for violations that involve knowingly or willfully violating laws or regulations pertaining to pharmacy and/or to the dispensing or distributing of dangerous drugs and/or dangerous devices or controlled substances, and most criminal convictions involving alcohol, dangerous drugs and/or dangerous devices or controlled substances. Violations in this category may include:

- violation(s) involving creation, manipulation, perpetuation, or disregard of drug shortages;
- failure(s) to deploy or abide by ~~track and trace, pedigree, transaction history~~ Drug Supply Chain Security Act requirements, and other similar requirements for dangerous drugs and/or dangerous devices;
- violation(s) of licensee's corresponding responsibility to ensure the proper prescribing and dispensing of controlled substances;

- dispensing or furnishing without valid prescription, dispensing or furnishing to unauthorized person(s);
- violation(s) involving fraudulent acts committed in connection with the licensee's practice;
- repeat or serious violation(s) of controlled substance secure prescription requirements, inventory controls, or security requirements;
- violation(s) of laws governing controlled substances, dangerous drugs and/or dangerous devices, or alcohol, including repeat or serious diversion or self-administration, or abuse;
- violation(s) of law governing self-administration of controlled substances that ~~could lead to~~ create a potential infection control risk.
- repeat or serious unlawful possession(s) of dangerous drugs and/or dangerous devices, controlled substances, hypodermic needles or syringes, or drug paraphernalia;
- larger scale dispensing or furnishing of dangerous drug(s) and/or dangerous device(s) via the internet,
  without valid prescription(s);
- purchasing, trading, selling, or transferring adulterated, misbranded, or expired dangerous drug(s) and/or dangerous device(s);
- removal, sale, or disposal of embargoed dangerous drug(s) and/or dangerous device(s);
- failing to maintain record(s) of acquisition and disposition of dangerous drug(s) and/or dangerous device(s);
- resale(s) of preferentially priced drugs, contract bid diversion, or other instances of improper sale(s) or resale(s);
- repeat or serious violation(s) of quality assurance and self-assessment obligations, failure(s) to ensure properly trained staff and conduct practice safely;
- repeat or serious failure(s) to perform drug utilization reviews, monitor patient medication profiles, or promote safety and efficacy of prescribed drugs;
- forgery of prescriptions, passing of forged prescriptions, or other unlawful means of acquiring dangerous drug(s) and/or dangerous device(s) or controlled substance(s);
- repeat or serious acts violating, assisting in or abetting violation of, or conspiring to violate the laws and regulations governing pharmacy; and
- violation(s) involving providing or offering to provide controlled substance(s) to addict(s).
  - ~~most criminal convictions involving dangerous drugs or controlled substances~~
  - ~~knowing or willfully violating laws or regulations pertaining to dispensing or distributing dangerous drugs or controlled substances~~
  - ~~fraudulent acts committed in connection with the licensee's practice~~
  - ~~drug shortages~~
  - ~~violation of a licensee's corresponding responsibility.~~
- repeat or serious violation(s) involving the improper compounding of drug products
- repeat or serious violation(s) resulting from the misuse of education or licensing privileges irrespective of whether ~~is~~ it occurs outside of an entity licensed by the board.

~~Violations of the following codes are representative of this category:~~

~~**BUSINESS AND PROFESSIONS CODE**~~

~~**Article 3. Scope of Practice and Exemptions**~~

~~4034 Pedigree~~
~~4051(a) Conduct Limited To Pharmacist~~
~~4059 Furnishing Dangerous Drugs or Devices Prohibited Without Prescription: Exceptions~~
~~4059.5 Who May Order Dangerous Drugs or Devices: Exceptions~~

~~Article 5. Authority of Inspectors~~

~~4080 Stock of Dangerous Drugs and Devices Kept Open for Inspection~~
~~4081 Records of Dangerous Drugs and Devices Kept Open for Inspection; Maintenance of Records, Current Inventory~~
~~4085(a) Unlawful to Remove, Sell, Dispose of Embargoed Dangerous Drug or Dangerous Device~~

~~Article 6. General Requirements~~

~~4105 Retaining Records of Dangerous Drugs and Devices on Licensed Premises; Temporary Removal; Waivers; Access to Electronically Maintained Records~~

~~Article 7. Pharmacies~~

~~4110 Licensed Required; Temporary Permit Upon Transfer of Ownership~~
~~4111 Restrictions on Prescriber Ownership~~

~~Article 11. Wholesalers and Manufacturers~~

~~4169(a)(2) to~~
~~4169(a)(5) Prohibited Acts~~

~~Article 15. Veterinary Food-Animal Retailers~~

~~4199 Labeling Requirements; Maintaining Prescription Records~~

~~Article 19. Disciplinary Proceedings~~

~~4301 Unprofessional Conduct - subsections (i) - (k) and (o)~~
~~4307 Prohibition of Association of Individual with Entity License by Board: Length of Prohibition; Individuals Covered; Imposition of Prohibition Through Administrative Act Proceeding~~
~~4308 Prohibited Association: Notification of Affected Licensees Known to Board~~

~~Article 20. Prohibitions and Offenses~~

~~4322 Misdemeanor or Infraction: False Representations to Secure License for Self or Others; False Representation of Licensure; Penalties~~
~~4323 Misdemeanor: False Representation of Self as Physician, Agent of Physician, etc. to Obtain Drug~~
~~4324 Felony or Misdemeanor: Forgery of Prescription; Possession of Drugs Obtained Through Forged Prescription~~
~~4325 Misdemeanor: Manufacture, Possession, etc. of False Prescription Blank~~
~~4327 Misdemeanor: Sale, Dispensing, or Compounding While Under the Influence of Drugs or Alcoholic Beverages~~
~~4329 Misdemeanor: Non-pharmacist Acting as Manager, Compounding, Dispensing or Furnishing Drugs~~
~~4332 Misdemeanor: Failure or Refusal to Maintain or Produce Required Drug or Device Records; Willful Production of False Records~~

~~4335 Voided License: Knowing Failure to Arrange for Disposition of Stock as Misdemeanor~~
~~4336 Felony: Knowing or Willful Use of Minor to Violate Specified Sections of Pharmacy Law: Exception for Pharmacist Furnishing Pursuant to a Prescription~~

~~Article 22. Unfair Trade Practices~~

~~4380 Resale of Preferentially Priced Drugs: Prohibition; Exceptions~~

~~CALIFORNIA CODE OF REGULATIONS, TITLE 16~~

~~1707 Waiver Requirements for Off-Site Storage of Records~~
~~1718 Current Inventory Defined~~
~~1761(b) Erroneous or Uncertain Prescriptions~~
~~1771 Posting of Notice of Suspension~~
~~1772 Disciplinary Condition of Suspension~~
~~1773 Disciplinary Conditions of Probation of Pharmacist~~
~~1774 Disciplinary Conditions of Probation of Permit~~

~~HEALTH AND SAFETY CODE~~

~~11104 Providing Chemical for Illicit Manufacturing; Evasion of Reporting Requirements; Penalties~~
~~11105 False Statement in Report~~
~~11150 Persons Authorized to Write or Issue a Prescription~~
~~11153 Responsibility for Legitimacy of Prescription; Corresponding Responsibility of Pharmacist; Knowing Violation~~
~~11153.5 Wholesaler or Manufacturer Furnishing Controlled Substance Other Than for Legitimate Medical Purpose; Knowing Violation; Factors in Assessing Legitimacy~~
~~11157 No False or Fictitious Prescriptions~~
~~11162.5 Counterfeiting or Possession of Counterfeit Triplicate Prescription Blank; Penalty~~
~~11173 Fraud, Deceit, Misrepresentation or False Statement; False Representation; False Label~~
~~11174 Prohibition on Providing False Name or Address in Connection with Prescription, etc.~~
~~11351 Possession or Purchase for Sale of Specified Controlled Substance~~
~~11368 Forged or Altered Prescriptions~~
~~11375 Possession for Sale or Selling Specified Substance~~
~~11378 Possession for Sale~~
~~11550 Using or Being Under Influence of Controlled Substance~~
~~11167.5 Pharmacy Generated Prescription for Schedule II Controlled Substances in a Skilled Nursing Facility~~
~~111295 Manufacturing, Selling, or Offering for Sale an Adulterated Drug or Device~~
~~111300 Unlawful to Adulterate a Drug~~
~~111305 Unlawful to Receive in Commerce an Adulterated Drug~~
~~111440 Unlawful Manufacturer, Selling a Misbranded Drug~~
~~111445 Unlawful for a Person to Misbrand~~
~~111450 Unlawful to Receive into Commerce a Drug that is Misbranded~~

CATEGORY IV

Penalty: Revocation

Category IV discipline (~~R~~revocation) is recommended for the most serious violations of laws or regulations pertaining to pharmacy and/or to the dispensing or distributing of dangerous drugs and/or dangerous devices or controlled substances. Violations in this category may include: ~~the Uniform Controlled Substance Act (Heath and Safety Code 11000 et seq.) involving:~~

- ~~possession for sale~~ violations involving possession for sale, transportation, importation, and/or use of a minor for unlawful sale of controlled substances;
- ~~transportation~~ criminal convictions involving the above, or repeat convictions involving diversion or abuse of alcohol, dangerous drugs and/or dangerous devices, or controlled substances; ~~and~~
- repeated or serious example(s) of conduct described in Category I, Category II, or Category III.
- violation(s) of law governing self-administration of controlled substances that ~~could lead to~~ create a potential infection control risk.
- ~~importation~~
- ~~sale~~
- ~~use of a minor for the unlawful sale of controlled substances~~

Revocation is also recommended ~~when:~~ where a respondent fails to file a notice of defense to an Accusation or Petition to Revoke Probation or to appear at a disciplinary hearing, where a respondent violates the terms and conditions of probation from a previous disciplinary order, or where prior discipline has been imposed on the license.

- ~~a respondent fails to file a notice of defense or to appear at a disciplinary hearing where the board has requested revocation in the accusation~~
- ~~a respondent violates the terms and conditions of probation from a previous disciplinary order~~
- ~~prior discipline has been imposed, as progressive discipline unless the respondent can demonstrate satisfactory evidence of rehabilitation.~~

~~Violations of the following codes are representative of this category:~~

~~**HEALTH AND SAFETY CODE**~~

~~11352 Importing, Selling, Furnishing Controlled Substance~~
~~11353 Adult Inducing Minor to Violate Provisions~~
~~11379 Transporting, Importing, Selling Controlled Substance~~
~~11380 Adult Using, Soliciting or Intimidating Minor for Violation~~

MODEL DISCIPLINARY LANGUAGE - ~~PHARMACIST/INTERN PHARMACIST~~ INDIVIDUAL LICENSEES (PHARMACIST, INTERN PHARMACIST, PHARMACY TECHNICIAN, DESIGNATED REPRESENTATIVE, DESIGNATED REPRESENTATIVE – 3PL, ADVANCED PRACTICE PHARMACIST)

The following standardized language shall be used in every decision where the order or condition is imposed. Where brackets appear, drafters should choose the appropriate term or consider the text instructional.

Revocation

License number _____, issued to respondent _____,is revoked.

Respondent shall relinquish ~~his or her~~ [his/her] ~~wall~~ license, including any indicia of licensure issued by the board, ~~and pocket renewal license~~ to the board within 10 days of the effective date of this decision. Respondent may not reapply or petition the board for reinstatement of ~~his or her~~ [his/her] revoked license for three years from the effective date of this decision.

~~Respondent shall pay to the board its costs of investigation and prosecution in the amount of $_____ within fifteen (15) days of the effective date of this decision.~~

~~**Option:**~~ As a condition precedent to reinstatement of ~~his or her~~ [his/her]revoked license, respondent shall reimburse the board for its costs of investigation and prosecution in the amount of
$_____. Said amount shall be paid in full prior to the ~~reapplication or~~ reinstatement of his or her license unless otherwise ordered by the board.

Option: Respondent shall pay to the board its costs of investigation and prosecution in the amount of $_____ within fifteen (15) days of the effective date of this decision.

Suspension

As part of probation, respondent is suspended from ~~the~~ practice as a [insert license type] for _____[day(s)/month(s)/year(s)] ~~of pharmacy for~~ _____beginning the effective date of this decision.

During suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained.

Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled substances.

During suspension, ~~R~~respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a ~~pharmacist~~ [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent~~

~~shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

Failure to comply with this suspension shall be considered a violation of probation.

Revocation, stayed, ~~Standard Stay/~~Probation Order

License number _____, issued to respondent is revoked; however, the revocation is stayed and respondent is placed on probation for _____ years upon the following terms and conditions:

Issuance of Probationary License (In cases where a Statement of Issues has been filed.)

Upon satisfaction of all statutory and regulatory requirements for issuance of a [insert license type] license, a [insert license type] license shall be issued to respondent and immediately revoked; the order of revocation is stayed and respondent is placed on probation for _____ years upon the following terms and conditions:

Option: (Intern Pharmacist Only)
Should the board subsequently issue a license to practice as a pharmacist to respondent during the period of probation, the intern license shall be cancelled and the pharmacist ~~said~~ license shall be immediately revoked. The revocation of such license shall be stayed, and the probation imposed by this decision and order will continue. Respondent shall remain subject to the same terms and conditions imposed by this disciplinary order. Notwithstanding this provision, the ~~Board~~ board reserves the right to deny respondent's application for the pharmacist licensure exam. If the board issues a pharmacist license to respondent, the following additional terms and conditions shall be included as part of the disciplinary order:

Surrender

Respondent surrenders license number _____as of the effective date of this decision. Respondent shall relinquish ~~his or her~~ [his/her]~~wall~~ license, including any indicia of licensure issued by the board, ~~and/or pocket renewal license~~ to the board within ten (10) days of the effective date of this decision.

The surrender of respondent's license and the acceptance of the surrendered license by the board shall constitute the imposition of discipline against respondent. This decision constitutes a record of discipline and shall become a part of respondent's license history with the board.

Respondent may only seek a new or reinstated license ~~form~~ from the board by way of a new application for licensure. Respondent ~~understands and agrees that if he or she [he/she] ever files an application for licensure or a petition for reinstatement in the State of California, the board shall treat it as a new application for licensure~~ shall not be eligible to petition for reinstatement of licensure.

Respondent may not apply for any license, permit, or registration from the board for three years from the effective date of this decision. Respondent stipulates that should ~~he or she~~ [he/she] apply for any license from the board on or after the effective date of this decision, all allegations set forth in the [accusation or petition to revoke probation] shall be deemed to be true, correct and admitted by respondent when the board determines whether to grant or deny the application. Respondent shall satisfy all requirements applicable to that license as of the date the application is submitted to the board, including, but not limited to, taking and passing licensing examination(s) as well as fulfilling any education or experience requirements ~~the California Pharmacist Licensure Examination~~ prior to the issuance of a new license. Respondent is required to report this surrender as disciplinary action.

Respondent further stipulates that ~~he or she~~ [he/she] shall reimburse the board for its costs of investigation and prosecution in the amount of $_____within _____days of the effective date of this decision.

Option: Respondent stipulates that should ~~he or she~~ [he/she] apply for any license from the board on or after the effective date of this decision the investigation and prosecution costs in the amount of $_____shall be paid to the board prior to issuance of the new license.

Public ~~Reprimand~~ Reproval

It is hereby ordered that a public ~~reprimand~~ reproval be issued against licensee, _____. Respondent is required to report this ~~reprimand~~ reproval as a disciplinary action.

License Reinstatement with Conditions Precedent (Pharmacists and Pharmacy Technicians Only)

It is hereby ordered that the petition for reinstatement is granted. Upon satisfaction of the following conditions precedent to licensure, Petitioner's License No._____ will be reinstated:

OPTION (Pharmacists Only)

a. Petitioner shall take and pass the [North American Pharmacist Licensure Examination (NAPLEX) and/or the California Practice Standards and Jurisprudence Examination for Pharmacists (CPJE)/~~Pharmacy Technician Certification Board exam~~] within one (1) year of the effective date of this order. Failure to take and pass ~~both~~ the examination(s) within one (1) year of the effective date of this order shall invalidate the order granting the petition for reinstatement, Petitioner shall be deemed to have failed the conditions
precedent for re-licensure, and Petitioner's License No._____ shall remain [revoked or surrendered]."

b. Petitioner must pay the fee(s) in place at the time for [this/these] examinations.

c. Petitioner must pay ~~a reinstatement fee~~ all applicable application and licensing fees as well as any cost recovery owed from the prior action ~~in the amount of $~~.

Option (Pharmacy Technicians Only)

a. Petitioner shall take and pass the Pharmacy Technician Certification Board exam] within one (1) year of the effective date of this order. Failure to take and pass the examinations within one (1) year of the effective date of this order shall invalidate the order granting the petition for reinstatement, Petitioner shall be deemed to have failed the conditions precedent for re-licensure, and Petitioner's License No. _____ shall remain [revoked or surrendered]."

b. Petitioner must pay the fee(s) in place at the time for [this/these] examinations.

c. Petitioner must pay ~~a reinstatement fee~~ all applicable application and licensing fees as well as any cost recovery owed from the prior action ~~in the amount of $~~.

~~**Option:** Petitioner pays the Board's cost recovery or fine amount owed to the Board in the amount of $_____.~~

Upon completion of the foregoing conditions precedent, Petitioner's license shall be reinstated and immediately revoked, with revocation stayed and Petitioner placed on probation for a period of _____year(s)] on the following terms and conditions.

License Reinstatement

It is hereby ordered that the petition for reinstatement filed by _____ is hereby ~~GRANTED~~ granted and Petitioner's license shall be ~~REINSTATED~~ reinstated. Petitioner's license shall be reinstated and immediately revoked, with revocation stayed and Petitioner placed on probation
for a period of _____year(s)] on the following terms and conditions:

~~**Adoption of Stipulation**~~

~~It is understood by respondent that, in deciding whether to adopt this stipulation, the board may receive oral and written communication from its staff and the Office of the Attorney General. Communications pursuant to this paragraph shall not disqualify the board or other persons from future participation in this or any other matter affecting respondent. In the event this settlement is not adopted by the board, the stipulation will not become effective and may not be used for any purpose, except this paragraph, which shall remain in effect.~~

STANDARD CONDITIONS - To be included in all probation decisions/orders.

1. Obey All Laws
2. Report to the Board
3. Interview with the Board
4. Cooperate with Board Staff
5. Continuing Education
6. Reporting of Employment and Notice to Employers
7. Notification of Change(s) in Name, Employment, Address(es), or Phone Number(s)

~~7.~~8. ~~No Supervision of Interns, Serving as Pharmacist-In-Charge (PIC), or Serving as a Consultant~~ Restrictions on Supervision and Oversight of Licensed Facilities

~~8~~ 9. Reimbursement of Board Costs

~~9~~ 10. Probation Monitoring Costs

~~10~~ 11. Status of License

~~11~~ 12. License Surrender While on Probation/Suspension

~~12. Notification of a Change in Name, Residence Address, Mailing Address or Employment~~

13. Certification Prior to Resuming Work

~~14. Notification of Departure~~

~~13.~~ ~~15.~~ 14. ~~Tolling of Probation~~ ~~License~~ Practice Requirement – ~~Tolling~~ Extension of Probation

~~14.~~ ~~16.~~ 15. Violation of Probation

~~15.~~ ~~17.~~ 16. Completion of Probation

OPTIONAL CONDITIONS

~~18.~~ 17. Suspension

~~16.~~ ~~19.~~ 18. Restricted Practice

~~17.~~ ~~20.~~ 19. Pharmacist Examination

~~18.~~ ~~21.~~ 20. ~~Mental Health Examination~~ Clinical Diagnostic Evaluation

~~19.~~ ~~22.~~ 21. Psychotherapy

~~20.~~ ~~23.~~ 22. Medical Evaluation

~~21.~~ ~~24.~~ 23. Pharmacists Recovery Program (PRP)

~~22.~~ ~~25.~~ 24. ~~Random Drug Screening~~ Drug and Alcohol Testing

25. Notification of Departure

~~23.~~ 26. Abstain from Drugs and Alcohol ~~Use~~

~~24.~~ 27. Prescription Coordination and Monitoring of Prescription Use

28. Facilitated Group Recovery and/or Support Meetings

29. Attend Substance Abuse Recovery Relapse Prevention and Support Groups

30. Work Site Monitor

~~25.~~ 31. Community Service Program

~~26.~~ 32. Restitution

~~27.~~ 33. Remedial Education

34. Ethics Course

~~28. Pharmacy Self-Assessment Mechanism (PSAM)~~

~~29. 34. Intern Pharmacist Experience~~

~~30.~~ 35. Supervised Practice

~~31. No Supervision of Ancillary Personnel~~

~~32.~~36. No Ownership or Management of Licensed Premises

~~33.~~37. Separate File of Controlled Substances Records

~~34.~~38. Report of Controlled Substances

~~35.~~39. No Access to Controlled Substances

~~36.~~40. Criminal Probation/Parole Reports

~~37. Consultant for Owner or Pharmacist-In-Charge~~

41. Tolling of Suspension

~~39.~~42. Surrender of DEA Permit
~~40.43. Ethics Course~~

STANDARD CONDITIONS: TO BE INCLUDED IN ALL PROBATIONS

1. Obey All Laws

Respondent shall obey all state and federal laws and regulations.

Respondent shall report any of the following occurrences to the board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws
- a plea of guilty, or nolo ~~contender~~ contendere, no contest, or similar, in any state or federal criminal proceeding to any criminal complaint, information or indictment
- a conviction of any crime
- ~~discipline, citation, or other~~ the filing of a disciplinary pleading, issuance of a citation, or initiation of another administrative action filed by any state or federal agency which involves respondent's _____license or which is related to the practice of pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging for any drug, device or controlled substance.

Failure to timely report such occurrence shall be considered a violation of probation.

2. Report to the Board

Respondent shall report to the board quarterly, on a schedule and in a form or format~~,~~ as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.

3. Interview with the Board

Upon receipt of reasonable prior notice, respondent shall appear in person for interviews with the board or its designee, at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear for two (2) or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.

4. Cooperate with Board Staff

Respondent shall timely cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of ~~his or her~~ [his/her] probation, including but not limited to: timely responses to requests for information by board staff; timely compliance with directives from board staff regarding requirements of any term or condition of probation; and timely completion of documentation pertaining to a term or condition of probation. Failure to timely cooperate shall be considered a violation of probation.

5. Continuing Education (Pharmacists Only)

Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the board or its designee.

6. Reporting of Employment and Notice to Employers (Standard 3)

During the period of probation, respondent shall notify all present and prospective employers of the decision in case number _____and the terms, conditions and restrictions imposed on respondent by the decision, as follows:

Within thirty (30) days of the effective date of this decision, and within ~~fifteen (15)~~ ten (10) days of undertaking any new employment, respondent shall report to the board in writing the name, physical address, and mailing address of ~~all~~ each of [his/her] employer(s), and the name(s) and telephone number(s) of all of [his/her] direct supervisor(s), as well as any pharmacist(s)-in- charge, designated representative(s)-in-charge, responsible manager, or other compliance supervisor(s) and the work schedule, if known. Respondent shall also include the reason(s) for leaving the prior employment. Respondent shall sign and return to the board a written consent authorizing the board or its designee to communicate with all of respondent's employer(s) and supervisor(s), and authorizing those employer(s) or supervisor(s) to communicate with the board or its designee, concerning respondent's work status, performance, and monitoring. Failure to comply with the requirements or deadlines of this condition shall be considered a violation of probation.

Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment, respondent shall cause (a) ~~his or her~~ [his/her] direct supervisor, (b) [his/her] pharmacist-in-charge, designated representative-in-charge, responsible manager, or other compliance supervisor, ~~(including each new pharmacist-in-charge employed during respondent's tenure of employment)~~ and (c) the owner or owner representative of ~~his or her~~ [his/her]employer, to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in case number _____, and terms and conditions imposed thereby. If one person serves in more than one role described in (a), (b), or (c), the acknowledgment shall so state. It shall be the respondent's responsibility to ensure that these acknowledgment(s) are timely submitted to the board. In the event of a change in the person(s) serving the role(s) described in (a), (b), or (c) during the term of probation, respondent shall cause the person(s) taking over the role(s) to report to the board in writing within fifteen (15) days of the change acknowledging that he or she has read the decision in case number _____, and the terms and conditions imposed thereby. ~~It shall be respondent's responsibility to ensure that his or her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.~~

If respondent works for or is employed by or through ~~a pharmacy~~ an employment service, respondent must notify the person(s) described in (a), (b), and (c) above at every entity licensed by the board~~,~~ of the decision in case number _____, and the terms and conditions imposed thereby in advance of respondent commencing work at such licensed entity ~~his or her direct supervisor, pharmacist-in-charge, and owner at every entity licensed by the board of the terms and conditions of the decision in case number~~ _____ ~~in advance of the respondent commencing work at each licensed entity~~. A record of this notification must be provided to the board upon request.

Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment by or through ~~a pharmacy~~ an employment service, respondent shall cause the person(s) described in (a), (b), and (c) above ~~his or her direct supervisor with the pharmacy~~ at the employment service to report to the board in writing acknowledging that he or she has read the decision in case number _____, and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that these acknowledgment(s) are timely submitted to the board ~~his or her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board~~.

Failure to timely notify present or prospective employer(s) or failure to cause the identified person(s) with that/those employer(s) to submit timely written acknowledgments to the board shall be considered a violation of probation.

> "Employment" within the meaning of this provision ~~shall~~ includes any full-time, part-time, temporary, relief, or employment/management service position as a [insert license type], or any position for which a [insert license type] license ~~or pharmacy management service as a pharmacist or any position for which a pharmacist license~~ is a requirement or criterion for employment, whether the respondent is an employee, independent contractor or volunteer.

7. Notification of Change(s) in ~~Employment,~~ Name, Address(es), or Phone Number(s)[1]

~~Respondent shall notify the board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new employer, the name of the supervisor and owner, and the work schedule, if known.~~ Respondent shall further notify the board in writing within ten (10) days of any change in name, residence address, mailing address, e-mail address or phone number.

Failure to timely notify the board of any change in employer, name, address, or phone number shall be considered a violation of probation.

~~7~~8. ~~No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as Designated Representative-in-Charge, or Serving as a Consultant~~ Restrictions on Supervision and Oversight of Licensed Facilities[2]
(Not appropriate for Pharmacy Technicians)

During the period of probation, respondent shall not supervise any intern pharmacist, be the pharmacist-in-charge, ~~or~~ designated representative-in-charge, responsible manager or other compliance supervisor of any entity licensed by the board, nor serve as a consultant ~~unless otherwise specified in this order~~. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.

Option 1(To be included along with standard language when appropriate): During the period of probation, respondent shall not supervise any ancillary personnel, including, but not limited to, pharmacy technicians, designated representatives, designated representative-3PL in any entity licensed by the board. Assumption of any such

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[1] ~~This term was renamed and renumbered from previous term 12.~~
[2] ~~This term was renamed and consolidated with two additional terms: No Supervision of Ancillary Personnel and Consultant for Owner of Pharmacist-in-Charge.~~

unauthorized ancillary personnel supervision responsibilities shall be considered a violation of probation.

Option 2 (To be used in place of standard language when appropriate): During the period of probation, respondent shall not supervise any intern pharmacist or serve as a consultant to any entity licensed by the board. Respondent may be a pharmacist-in-charge, designated representative-in-charge, responsible manager or other compliance supervisor of any single entity licensed by the board, but only if respondent or that entity retains, at ~~his/her/its~~ [his/her] expense, an independent consultant who shall be responsible for reviewing the operations of the entity on a [monthly/quarterly] basis for compliance by respondent and the entity with state and federal laws and regulations governing the practice of the entity, and compliance by respondent with the obligations of ~~his or her~~ [his/her] supervisory position. Respondent may serve in such a position at only one entity licensed by the board, only upon approval by the board or its designee ~~and at only one entity licensed by the board~~. ~~This~~ Any such approval shall be ~~is~~ site specific. The consultant shall be a pharmacist licensed by and not on probation with the board, who has been approved by the board or its designee to serve in this position. Respondent shall submit the name of the proposed consultant to the board or its designee for approval within thirty (30) days of the effective date of the decision or prior to assumption of duties allowed in this term. Assumption of any unauthorized supervision responsibilities shall be considered a violation of probation. In addition, failure to timely seek approval for, timely retain, or ensure timely reporting by the consultant shall be considered a violation of probation.

~~8~~9. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, respondent shall pay to the board its costs of investigation and prosecution in the amount of $_____. Respondent shall make said payments as follows: _____.

There shall be no deviation from this schedule absent prior written approval by the board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

~~**Option:**~~ Respondent shall be permitted to pay these costs in a payment plan approved by the board or its designee, so long as full payment is completed no later than one (1) year prior to the end date of probation.

~~The filing of bankruptcy by respondent shall not relieve respondent of his or her responsibility to reimburse the board its costs of investigation and prosecution.~~

~~9~~10. Probation Monitoring Costs

Respondent shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

~~10~~11. Status of License

Respondent shall, at all times while on probation, maintain an active, current [insert license type] license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current [insert license type] license shall be considered a violation of probation.

If respondent's [insert license type] license expires or is cancelled by operation of law or

otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon renewal or reapplication respondent's ~~[insert license type]~~ license shall be subject to all terms and conditions of this probation not previously satisfied.

~~11~~12. License Surrender While on Probation/Suspension

Following the effective date of this decision, should respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent ~~may tender [his/her] [insert license type] license to the board for~~ ~~shall~~ may relinquish [his/her] license, including any indicia of licensure issued by the board, along with a request to surrender the license ~~for surrender~~. The board or its designee shall have the discretion whether to ~~grant~~ accept the ~~request for~~ surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the ~~[insert license type]~~ license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the respondent's license history with the board.

Upon acceptance of the surrender, respondent shall relinquish [his/her] pocket and/or wall license, including any indicia of licensure not previously provided to the board within ten (10) days of notification by the board that the surrender is accepted if not already provided. Respondent may not reapply for any license from the board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the board, including any outstanding costs.

~~**12. Notification of a Change in Name, Residence Address, Mailing Address or Employment**~~

~~Respondent shall notify the board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new employer, the name of the supervisor and owner, and the work schedule if known. Respondent shall further notify the board in writing within ten (10) days of a change in name, residence address, mailing address, or phone number(s).~~

~~Failure to timely notify the board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.~~

13. Certification Prior to Resuming Work (Pharmacy Technicians Only)

Respondent shall be suspended, and shall not work as a pharmacy technician, until [he/she] has been certified as defined by Business and Professions Code section 4202, subdivision (a)(4), has submitted proof of certification to the board, and has been notified by the board or its designee that [he/she] may begin work. Failure to achieve certification within six (6) months of the effective date shall be considered a violation of probation. ~~Respondent shall not resume working as a pharmacy technician until notified by the board.~~

During ~~any such~~ suspension, respondent shall not enter any pharmacy area or any portion of any other board licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer or any other distributor of drugs~~)~~ which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained.

Respondent shall not do any act involving drug selection, selection of stock, manufacturing, compounding or dispensing; nor shall respondent manage, administer, or assist any licensee of the board. Respondent shall not have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled

substances.

During ~~any such~~ this suspension, respondent shall not engage in any activity that requires licensure as a pharmacy technician. Respondent shall not direct or control any aspect of the practice of pharmacy or of the manufacture, distribution, wholesaling, or retailing of dangerous drugs and/or dangerous devices, or controlled substances.

Failure to comply with ~~this~~ any such suspension shall be considered a violation of probation.

Option: Respondent shall maintain an active, current certification as defined by Business and Professions Code section 4202, subdivision (a)(4), for the entire period of probation, and shall submit proof of re-certification or renewal of certification to the board within ten (10) days of receipt. Failure to maintain active, current certification or to timely submit proof of same shall be considered a violation of probation.

~~**14. Notification of Departure**~~

~~Prior to leaving the probationary geographic area designated by the board or its designee for a period greater than twenty-four (24) hours, respondent shall notify the board verbally and in writing of the dates of departure and return. Failure to comply with this provision shall be considered a violation of probation.~~

~~13.~~~~15.~~14. ~~Tolling of Probation~~ ~~License~~ Practice Requirement – ~~Tolling~~ Extension of Probation

Except during periods of suspension, respondent shall, at all times while on probation, be employed as a ~~pharmacist~~ [insert license type] in California for a minimum of _____hours per calendar month. Any month during which this minimum is not met shall ~~toll the period of probation, i.e.,~~ extend the period of probation ~~shall be extended~~ by one month ~~for each month during which this minimum is not met~~. During any such period of ~~tolling of probation~~ insufficient employment, respondent must nonetheless comply with all terms and conditions of probation, unless respondent ~~is notified otherwise~~ receives a waiver in writing ~~by~~ from the board or its designee.

~~Should respondent, regardless of residency, for any reason (including vacation) cease practicing as a pharmacist for a minimum of _____hours per calendar month in California~~, If respondent does not practice as a [insert license type] in California for ~~a~~ the minimum number of _____ hours in any calendar month, for any reason (including vacation), respondent shall ~~must~~ notify the board in writing within ten (10) days of the ~~cessation of practice, and must further notify the board in writing within ten (10) days of the resumption of practice.~~ conclusion of that calendar month. This notification shall include at least: the date(s), location(s), and hours of last practice; the reason(s) for the interruption or ~~decline~~ reduction in practice; and the anticipated date(s) on which respondent will resume practice at the required level. Respondent shall further notify the board in writing within ten (10) days following the next calendar month during which respondent practices as a [insert license type] in California for ~~a~~ the minimum of hours. Any failure to timely provide such notification(s) shall be considered a violation of probation.

It is a violation of probation for respondent's probation to ~~remain tolled~~ be extended pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months. The board or its designee may post a notice of the extended probation period on its website.

~~"Cessation of practice" means any calendar month during which respondent is not practicing as a pharmacist for at least _____hours, as defined by Business and Professions Code section 4000 et seq . "Resumption of practice" means any calendar month during which respondent is practicing as a pharmacist for at least _____ hours as a pharmacist as defined by Business and Professions Code section 4000 et seq.~~

~~**Option 1:** As a condition precedent to successful completion of probation, during the period of probation respondent shall practice as a [insert license type] in a licensed _____ in California that dispenses dangerous drugs and/or dangerous devices for a minimum of one (1) year. After the first year or probation, the board or its designee may consider a modification of this requirement. Failure to comply with this requirement (or as modified) shall be considered a violation of probation.~~

~~Respondent is required to practice as a pharmacist in a licensed pharmacy setting that dispenses medication for a minimum of one year prior to the completion of probation. After the first year of probation, the board or its designee may consider a modification of this requirement. If respondent fails to comply with this requirement or a subsequent modification thereto, such failure shall be considered a violation of probation.~~

Option ~~2~~: ~~(First year p~~Pharmacist interns only) During respondent's ~~first academic year of~~ enrollment in a school or college of pharmacy, no minimum practice hours shall be required. Instead, respondent shall report to the board quarterly in writing, in a format and schedule as directed by the board or its designee, on [his/her] compliance with academic and vocational requirements, and on [his/her] academic progress. ~~This exemption shall apply only once, and only during respondent's first academic year.~~ Respondent must comply with all other terms and conditions of probation, unless notified in writing by the board or its designee.

~~14.~~~~16.~~15. Violation of Probation

If ~~a~~ respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and the board shall provide notice to respondent that probation shall automatically be extended, until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed. The board or its designee may post a notice of the extended probation period on its website

If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. ~~Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license.~~ If a petition to revoke probation or an accusation is filed against respondent during probation, or the preparation of an accusation or petition to revoke probation is requested from the Office of the Attorney General, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

~~15.~~~~17.~~16. Completion of Probation

Upon written notice by the board or its designee indicating successful completion of probation, respondent's license will be fully restored.

OPTIONAL CONDITIONS OF PROBATION

~~18.~~17. Suspension

As part of probation, respondent is suspended from practice as a [insert license type] for _____ [day(s)/month(s)/year(s)] beginning the effective date of this decision.

During suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs ~~which~~ that is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained.

Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled substances.

During this suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances.

Failure to comply with ~~any such~~ this suspension shall be considered a violation of probation.

Option ~~1~~: During the period of suspension, respondent shall not leave California for any period exceeding ten (10) days, regardless of purpose (including vacation). Any such absence in excess of ten (10) days during the period of suspension shall be considered a violation of probation, and shall toll the suspension, i.e., the suspension shall be extended by one day for each day over ten (10) days respondent is absent from California. During any such period of tolling of suspension, respondent must nonetheless comply with all terms and conditions of probation, unless respondent is notified otherwise in writing by the board or its designee.

Respondent shall notify the board or its designee in writing within ten (10) days of any departure from California, for any period, and shall further notify the board or its designee in writing within ten (10) days of return. Failure to timely provide such notification(s) shall be considered a violation of probation. Upon such departure and return, respondent shall not resume practice until notified by the board or its designee that the period of suspension has been satisfactorily completed.

~~16.~~~~19.~~18. Restricted Practice

Respondent's practice as a [insert license type] ~~of pharmacy~~ shall be restricted to [specify setting or type of practice] for the first _____year(s) of probation. Respondent shall submit proof satisfactory to the board or its designee of compliance with this term of probation.

Option: Respondent shall not prepare, oversee, or participate in the preparation of ~~injectable~~ sterile ~~products~~ compounds during the first _____year(s) of probation. Upon request, respondent ~~Respondent~~ shall submit to the board or its designee on writing, satisfactory proof ~~satisfactory~~ of compliance with this restriction, including but not limited to a written acknowledgment of this restriction signed by (a) respondent's direct supervisor, (b) the pharmacist-in-charge, and (c) the owner or owner representative of his or her employer, which explains whether the workplace in question compounds drug ~~products~~ preparations and how

this restriction will be enforced ~~term of probation~~. Failure to abide by this restriction or to timely submit proof to the board or its designee ~~of compliance therewith~~ shall be considered a violation of probation.

~~17.~~~~20.~~19. Pharmacist Examination (Pharmacists Only)

Respondent shall take and pass the [California Pharmacist Jurisprudence Examination (CPJE) [and/or] the North American Pharmacist Licensure Examination (NAPLEX)] within six (6) months of the effective date of this decision. If respondent fails to take and pass the examination(s) within six (6) months ~~after~~ of the effective of this decision, respondent shall be automatically suspended from practice. Respondent shall not resume the practice of pharmacy until ~~he or she~~ [he/she] takes and passes the [CPJE and/or NAPLEX] and is notified, in writing, that ~~he or she~~ [he/she] has passed the examination(s) and may resume practice. Respondent shall bear all costs of the examination(s) required by the board.

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices and controlled substances. ~~Respondent shall not resume practice until notified by the board.~~

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices and controlled susbtances. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

Failure to comply with ~~this~~ any ~~such~~ suspension shall be considered a violation of probation.

Failure to take and pass the examination(s) within ~~one (1) year~~ ~~six (6)~~ twelve (12) months of the effective date of this decision shall be considered a violation of probation.

If respondent fails to take and pass the [CPJE and/or NAPLEX] after four attempts, respondent shall successfully complete, at a minimum, sixteen (16) additional semester units of pharmacy education as approved by the board. Respondent shall complete the coursework, and submit proof of completion satisfactory to the board or its designee, within three (3) months of the fourth failure of the examination. Failure to complete coursework or provide proof of such completion as required shall be considered a violation of probation.

~~18.~~20. ~~Mental Health Examination~~ Clinical Diagnostic Evaluation (Appropriate for those cases where evidence demonstrates that ~~mental illness~~ psychiatric disorders, ~~[~~mental illness, emotional disturbance, gambling addiction), diversion, self-administration, or abuse of alcohol or drugs, or disability was a contributing cause of the violation(s).) **(Standards 1 & 6)**

Within thirty (30) days of the effective date of this decision, and on a periodic basis thereafter if ~~as may be~~ required by the board or its designee, respondent shall undergo, at ~~his or her~~ [his/her] own expense, ~~psychiatric~~ clinical diagnostic evaluation(s) by a ~~board-appointed or board-approved~~ licensed ~~mental health~~ practitioner selected or approved prior to the evaluation by the board or its designee. The approved evaluator shall be provided with a copy of the board's [accusation, ~~or~~ petition to revoke probation, or other pleading] and decision. Respondent shall sign a release authorizing the evaluator to furnish the board with a current diagnosis and a written report regarding the respondent's judgment and ability to function independently as a ~~pharmacist~~ [insert license type] with safety to the public. ~~Respondent shall comply with all the recommendations of the evaluator if directed by the board or its designee.~~ If the evaluator recommends restrictions or conditions on respondent's practice, including but not limited to other terms and conditions listed in these guidelines (e.g., required psychotherapy, inpatient treatment, prescription coordination and monitoring, restricted practice), the board or
its designee may by written notice to respondent adopt ~~these~~ any such restrictions or conditions as additional probation terms and conditions, violation of which shall be considered a violation of probation. Failure to comply with any requirement or deadline stated by this paragraph shall be considered a violation of probation.
~~If the evaluator recommends, and the board or its designee directs, respondent shall undergo psychotherapy. Within thirty (30) days of notification by the board that a recommendation for psychotherapy has been accepted, respondent shall submit to the board or its designee, for prior approval, the name and qualification of a licensed mental health practitioner of respondent's choice. Within thirty (30) days of approval thereof by the board, respondent shall submit documentation to the board demonstrating the commencement of psychotherapy with the approved licensed mental health practitioner. Should respondent, for any reason, cease treatment with the approved licensed mental health practitioner, respondent shall notify the board immediately and, within thirty (30) days of ceasing treatment therewith, submit the name of a replacement licensed mental health practitioner of respondent's choice to the board for its prior approval. Within thirty (30) days of approval thereof, respondent shall submit documentation to the board demonstrating the commencement of psychotherapy with the approved replacement. Failure to comply with any requirement or deadline stated by this paragraph shall be considered a violation of probation.~~

~~Upon approval of the initial or any subsequent licensed mental health practitioner, respondent shall undergo and continue treatment with that therapist, at respondent's own expense, until the therapist recommends in writing to the board, and the board or its designee agrees by way of a written notification to respondent, that no further psychotherapy is necessary. Upon receipt of such recommendation from the treating therapist, and before determining whether to accept or reject said recommendation, the board or its designee may require respondent to undergo, at respondent's expense, a mental health evaluation by a separate board-appointed or board-approved evaluator. If the approved evaluator recommends that respondent continue psychotherapy, the board or its designee may require respondent to continue psychotherapy.~~

~~Psychotherapy shall be at least once a week unless otherwise approved by the board. Respondent shall provide the therapist with a copy of the board's [accusation or petition to revoke probation] and decision no later than the first therapy session. Respondent shall take all necessary steps to ensure that the treating therapist submits written quarterly reports to the board concerning respondent's fitness to practice, progress in treatment, and other such information as may be required by the board or its designee.~~

If at any time the approved evaluator or therapist determines that respondent is unable to practice safely or independently ~~as a pharmacist~~, the licensed mental health practitioner shall notify the board immediately by telephone and follow up by written letter within three (3) working days. Upon notification from the board or its designee of this determination, respondent shall be automatically suspended and shall not resume practice until notified by the board or its

designee that practice may ~~be~~ resume~~d~~.

Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

Option 1: (Appropriate for those cases where evidence demonstrates abuse of alcohol or drugs. Option language to be used in addition to standard language): **(Standards 1, 2 & 6)** Commencing on the effective date of this decision, respondent is suspended from practice and shall not practice as a [insert license type] until:

- Respondent has undergone and completed clinical diagnostic evaluation(s);
- The report(s) of the evaluation(s) has/have been received by the board or its designee;
- One or more report(s) has concluded that respondent is safe to return to practice as a [insert license type];
- The board or its designee is satisfied that respondent is safe to return to practice as a [insert license type];
- Respondent receives written notice from the board or its designee that practice may resume.

For all such evaluations, a final written report shall be provided to the board no later than ten (10) days from the date the evaluator is assigned the matter unless the evaluator requests additional information to complete the evaluation, not to exceed thirty (30) days.

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled substances.

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances.

Failure to comply with any requirement, including any suspension or deadline stated by this term shall be considered a violation of probation.

Option 2 Option language to be used in addition to standard language when deemed appropriate: Commencing on the effective date of this decision, respondent is suspended from practice and shall not ~~engage in the practice of pharmacy~~ practice as a [insert license type] until ~~notified in writing by the board that respondent has been deemed psychologically fit to practice pharmacy safely, and the board or its designee approves said recommendation~~ the evaluator recommends that respondent return to practice, this recommendation is accepted by the board or its designee, and respondent receives written notice from the board or its designee that practice may resume.

The final written report of the evaluation shall be provided to the board no later than ten (10) days from the date the evaluator is assigned the matter unless the evaluator requests additional information to complete the evaluation, not to exceed thirty (30) days.

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained.

Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices ~~and~~ or controlled substances. ~~Respondent shall not resume practice until notified by the board.~~

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a ~~pharmacist~~ [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this any such suspension shall be considered a violation of probation~~. Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

~~(Option language to be used in addition to standard language)~~

Option 3: If recommended by the ~~evaluating licensed mental health practitioner and approved by the board, respondent shall be suspended from practicing pharmacy until respondent's treating therapist recommends, in writing, stating the basis therefor, that respondent can safely practice pharmacy, and the board or its designee approves said recommendation.~~ evaluator, the board or its designee may suspend respondent from practice as a [insert license type] by providing written notice of suspension to the respondent. Upon suspension, respondent shall not resume practice as a [insert license type] until: 1) another evaluation is done at respondent's expense by a licensed practitioner selected or approved by the board or its designee; 2) the evaluator recommends that respondent return to practice; 3) the board or its designee accepts the recommendation; 4) and the board notifies the respondent in writing that practice may resume.

The report(s) from any such additional evaluation(s) shall be provided to the board or its designee in writing by the evaluator no later than ten (10) days from the date the evaluator is assigned the matter unless the evaluator requests additional information to complete the evaluation, not to exceed thirty (30) days.

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained.

Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or ~~and~~ controlled substances. ~~Respondent shall not resume practice until notified by the board.~~

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a ~~pharmacist~~ [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this any such suspension shall be considered a violation of probation~~. Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

~~19.~~21. Psychotherapy (Appropriate for those cases where the evidence demonstrates ~~mental illness~~ psychiatric disorders (mental illness, emotional disturbance, gambling addiction) or alcohol or drug abuse was involved in the violation(s).)

Within thirty (30) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, the name and qualifications of a licensed mental health practitioner of respondent's choice. Within thirty (30) days of approval thereof, respondent shall submit documentation to the board demonstrating the commencement of psychotherapy with the approved licensed mental health practitioner. Should respondent, for any reason, cease treatment with the approved licensed mental health practitioner, respondent shall notify the board immediately and, within thirty (30) days of ceasing treatment, submit the name of a replacement psychotherapist or licensed mental health practitioner of respondent's choice to the board for its prior approval. Within thirty (30) days of approval thereof, respondent shall submit documentation to the board demonstrating the commencement of psychotherapy with the approved replacement. Failure to comply with any requirement or deadline stated by this paragraph shall be considered a violation of probation.

Upon approval of the initial or any subsequent licensed mental health practitioner, respondent shall undergo and continue treatment with that therapist, at respondent's own expense, until the therapist recommends in writing to the board, and the board or its designee agrees by way of a written notification to respondent, that no further psychotherapy is necessary. Upon receipt of such recommendation from the treating therapist, and before determining whether to accept or reject said recommendation, the board or its designee may require respondent to undergo, at respondent’s own expense, a mental health evaluation by a board-appointed or board-approved psychiatrist or psychologist. If the approved evaluator recommends that respondent continue psychotherapy, the board or its designee may require respondent to continue psychotherapy.

Psychotherapy shall be at least once a week unless otherwise approved by the board. Respondent shall provide the therapist with a copy of the board’s accusation and decision no later than the first therapy session. Respondent shall take all necessary steps to ensure that the treating therapist submits written quarterly reports to the board concerning respondent’s fitness to practice, progress in treatment, and such other information ~~as may be~~ required by the

board or its designee.

If at any time the treating therapist determines that respondent cannot practice safely or independently, the therapist shall notify the board immediately by telephone and follow up by written letter within three (3) working days. Upon notification from the board or its designee of this determination, respondent shall be automatically suspended and shall not resume practice until notified by the board that practice may be resumed.

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained.

Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or ~~and~~ controlled substances. Respondent shall not resume practice until notified by the board.

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances

~~During suspension, respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with any such this suspension shall be considered a violation of probation.~~ Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

~~20~~.22. Medical Evaluation (Appropriate for those cases where the evidence demonstrates that the respondent has had a physical problem/disability which was a contributing cause of the violations and which may affect the respondent's ability to practice.)

Within thirty (30) days of the effective date of this decision, and on a periodic basis thereafter as may be required by the board or its designee, respondent shall undergo a medical evaluation, at respondent's own expense, by a board-appointed or board-approved physician who shall furnish a medical report to the board. The approved physician shall be provided with a copy of the board's [accusation, ~~or~~ petition to revoke probation, or other pleading] and decision. A record of this notification must be provided to the board upon request. Respondent shall sign a release authorizing the physician to furnish the board with a current diagnosis and a written report regarding the respondent's ability to function independently as ~~a pharmacist~~ [insert license type] with safety to the public. ~~Respondent shall comply with all the recommendations of the physician if directed by the board or its designee.~~ If the physician recommends

restrictions or conditions on respondent's practice, including but not limited to other terms and conditions listed in these guidelines (e.g., required psychotherapy, inpatient treatment, prescription coordination and monitoring, restricted practice), the board or its designee may by written notice to respondent adopt any such restrictions or conditions as additional probation terms and conditions, violation of which shall be considered a violation of probation.

If the physician recommends, and the board or its designee directs, that respondent undergo medical treatment, respondent shall, within thirty (30) days of written notice from the board, submit to the board or its designee, for prior approval, the name and qualifications of a licensed physician of respondent's choice. Within thirty (30) days of approval thereof, respondent shall submit documentation to the board demonstrating the commencement of treatment with the approved physician. Should respondent, for any reason, cease treatment with the approved physician, respondent shall notify the board immediately and, within thirty (30) days of ceasing treatment, submit the name of a replacement physician of respondent's choice to the board or its designee for prior approval. Within thirty (30) days of approval thereof, respondent shall submit documentation to the board demonstrating the commencement of treatment with the approved replacement. Failure to comply with any deadline stated by this paragraph shall be considered a violation of probation.

Upon approval of the initial or any subsequent physician, respondent shall undergo and continue treatment with that physician, at respondent's own expense, until the treating physician recommends in writing to the board, and the board or its designee agrees by way of a written notification to respondent, that no further treatment is necessary. Upon receipt of such recommendation from the treating physician, and before determining whether to accept or reject said recommendation, the board or its designee may require respondent to undergo, at respondent's own expense, a medical evaluation by a separate board-appointed or board-approved physician. If the approved evaluating physician recommends that respondent continue treatment, the board or its designee may require respondent to continue treatment.

Respondent shall take all necessary steps to ensure that any treating physician submits written quarterly reports to the board concerning respondent's fitness to practice, progress in treatment, and other such information as may be required by the board or its designee.

If at any time an approved evaluating physician or respondent's approved treating physician determines that respondent is unable to practice safely or independently as a ~~pharmacist~~ [insert license type], the evaluating or treating physician shall notify the board immediately by telephone and follow up by written letter within three (3) working days. Upon notification from the board or its designee of this determination, respondent shall be automatically suspended and shall not resume practice until notified by the board that practice may be resumed.

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics providers, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained.

Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or ~~and~~ controlled substances. ~~Respondent shall not resume practice until notified by the board.~~

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the

professional judgment of and/or licensure as a ~~pharmacist~~ [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this any such suspension shall be considered a violation of probation.~~ Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

(Option language to be used in addition to standard language when suspension is warranted until the evaluation is completed.)
Option 1: Commencing on the effective date of this decision, respondent shall not engage in the practice ~~of pharmacy~~ as a [insert license type] until notified in writing by the board that respondent has been deemed medically fit to practice safely and independently, and the board or its designee approves said recommendation.

During ~~any such~~ this suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained.

Respondent shall not practice ~~pharmacy~~ as a [insert license type] nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled substances. Respondent shall not resume practice until notified by the board.

During ~~any such~~ this suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a ~~pharmacist~~ [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this any such suspension shall be considered a violation of probation.~~ Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

~~*(Option language to be used in addition to standard language)*~~
~~**Option 2:** If recommended by the evaluating physician and approved by the board, respondent shall be suspended from practicing pharmacy as a [insert license type] until the treating physician recommends, in writing, stating the basis therefor, that respondent can safely and independently resume the practice of a pharmacist, and the board or its designee approves said~~

~~recommendation. Respondent shall not resume practice until notified by the board that practice may be resumed.~~

~~During any such suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice pharmacy as a [insert license type] nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and or devices or controlled substances. Respondent shall not resume practice until notified by the board.~~

~~During any such suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a pharmacist [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this any such suspension shall be considered a violation of probation~~.

~~21.~~23. Pharmacists Recovery Program (PRP) (Appropriate for those cases where evidence demonstrates substance abuse ~~chemical dependency (alcohol, drugs)~~, or psychiatric disorders (mental illness, emotional disturbance, gambling addiction) (Pharmacists and Pharmacist Interns Only)

By no later than ten (10) days after ~~Within thirty (30) days of~~ the effective date of this decision, respondent shall have completed all of the following: contacted the Pharmacists Recovery Program (PRP) for evaluation; enrolled in the PRP; completed, signed, and returned the treatment contract ~~plus~~ as well as any addendums required or suggested by the PRP; successfully completed registration for any drug or alcohol testing mandated by the treatment contract and/or by enrollment in the PRP; and begun compliance with the drug or alcohol testing protocol(s). ~~contact the Pharmacists Recovery Program (PRP) for evaluation, and shall immediately thereafter enroll, successfully participate in, and complete the treatment contract and any subsequent addendums as recommended and provided by the PRP and as approved by the board or its designee~~. Respondent shall successfully participate in the PRP and complete the treatment contract and any addendums required or suggested by the PRP ~~and approved by the board or its designee~~. The costs for PRP participation shall be borne by the respondent.

If respondent is currently enrolled in the PRP, said participation is now mandatory and as of the effective date of this decision is no longer considered a self-referral under Business and Professions Code section 4362~~(c)~~ (a)(2). Respondent shall successfully participate in and complete his or her current contract and any subsequent addendums with the PRP.

Respondent shall pay administrative fees as invoiced by the PRP or its designee. Fees not timely paid to the PRP shall constitute a violation of probation. The board will collect unpaid administrative fees as part of the annual probation monitoring costs if not submitted to the PRP.

~~Failure to timely contact or enroll in the PRP, complete the treatment contract and any addendums, complete testing registration, comply with testing, and/or successfully participate in and complete the treatment contract and/or any addendums, shall result in the automatic suspension of practice by respondent and shall be considered a violation of probation. Respondent shall not resume practice until notified in writing by the board or its designee.~~

Any of the following shall result in the automatic suspension of practice by respondent and shall be considered a violation of probation:

- Failure to contact, complete enrollment, and execute and return the treatment contract with the PRP, including any addendum(s), within ten (10) days of the effective date of the decision as directed by the PRP;
- Failure to complete registration for any drug or alcohol testing mandated by the treatment contract and/or by the PRP, and begin compliance with the testing protocol(s), within ten (10) days of the effective date of the decision as directed by the PRP;
- Failure to comply with testing protocols regarding daily check-in and/or failure to complete a mandated test as directed by the PRP;
- Any report from the PRP of material non-compliance with the terms and conditions of the treatment contract and/or any addendum(s); or
- Termination by the PRP for non-compliance, failure to derive benefit, or as a public risk.

Respondent may not result the practice of pharmacy until notified by the board in writing.

Probation shall be automatically extended until respondent successfully completes the PRP. ~~Any person terminated from the PRP program shall be automatically suspended by the board. Respondent may not resume the practice of pharmacy until notified by the board in writing.~~ The board will provide notice of any such suspension or extension of probation.

~~Any confirmed positive test for alcohol or for any drug not lawfully prescribed by a licensed practitioner as part of a documented medical treatment shall result in the automatic suspension of practice by respondent and shall be considered a violation of probation. Respondent may not resume the practice of pharmacy until notified by the board in writing.~~

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice ~~pharmacy~~ as a [insert license type] nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not resume practice until notified by the board.~~

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a ~~pharmacist~~ [insert license type]. Respondent shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with any such this suspension shall be considered a violation of probation~~. Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

(Option language to be used in addition to standard language when appropriate to ensure licensee works in an access position while being monitored.)
Option: Respondent shall work in a pharmacy setting with access to controlled substances for six (6) consecutive months before successfully completing ~~probation~~ the PRP. If respondent fails to do so, probation shall be automatically extended until this condition has been met. Failure to satisfy this condition within six (6) months beyond the original date of expiration of the term of probation shall be considered a violation of probation.

~~22.~~ 24. ~~Random Drug Screening~~ Drug and Alcohol Testing (~~If PRP provision is required, this term is also to be included to allow for continued fluid monitoring by the Board in cases where a respondent successfully completes the PRP before completion of the probation period; terms is also appropriate for those cases where the evidence demonstrates that the respondent may have a problem with chemical dependency (drugs, alcohol) but where the PRP is not required~~ (Appropriate for those cases where the evidence demonstrates substance use.)
(Standards 4, 8, 9 & 10)

Respondent, at ~~his or her~~ [his/her] own expense, shall participate in ~~random~~ testing~~, including but not limited to biological fluid testing (urine, blood), breathalyzer, hair follicle testing, or other drug screening program~~ as directed by the board or its designee~~. Respondent may be required to participate in testing for the entire probation period and the frequency of testing will be determined by the board or its designee. At all times, respondent shall fully cooperate with the board or its designee, and shall, when directed, submit to such tests and samples~~ for the detection of alcohol, ~~narcotics, hypnotics~~ controlled substances, and dangerous drugs and/or dangerous devices. ~~or other controlled substances as the board or its designee may direct. Failure to timely submit to testing as directed shall be considered a violation of probation. Upon request of the board or its designee, respondent shall provide documentation from a licensed practitioner that the prescription for a detected drug was legitimately issued and is a necessary part of the treatment of the respondent. Failure to timely provide such documentation shall be considered a violation of probation. Any confirmed positive test for alcohol or for any drug not lawfully prescribed by a licensed practitioner as part of a documented medical treatment shall be considered a violation of probation and shall result in the automatic suspension of practice of pharmacy by respondent. Respondent may not resume the practice of pharmacy until notified by the board in writing.~~ Testing protocols may include biological fluid testing (urine, blood), breathalyzer, hair follicle testing, or other testing protocols as directed by the board or its designee. All testing must be pursuant to an observed testing protocol, unless respondent is informed otherwise in writing by the board or its designee. Respondent may be required to participate in testing for the entire probation period and frequency of testing will be determined by the board or its designee.

By no later than thirty (30) days after the effective date of this decision, respondent shall have completed all of the following tasks: enrolled and registered with an approved drug and alcohol testing vendor; provided that vendor with any necessary information and documentation, and any information necessary for payment by respondent; commenced testing protocols, including all required contacts with the testing vendor to determine testing date(s); and begun testing. At all times, respondent shall fully cooperate with the testing vendor, and with the board or its designee, with regard to enrollment, registration, and payment for, and compliance with, testing.

Any failure to cooperate timely shall be considered a violation of probation.

Respondent may be required to test on any day, including weekends and holidays. Respondent is required to make daily contact with the testing vendor to determine if a test is required, and if a test is required must submit to testing on the same day.

Prior to any vacation or other period of absence from the geographic area of the approved testing vendor, respondent shall seek and receive approval from the board or its designee of an alternate testing vendor in the geographic area to be visited or resided in by respondent. Upon approval, respondent shall enroll and register with the approved alternate drug testing vendor, provide that alternate vendor with any necessary information and documentation, including any necessary payment by respondent. During the period of visitation or residence in the alternate geographic area, respondent shall commence testing protocols with the alternate vendor, including required daily contacts with the testing vendor to determine if testing is required, and required testing. Any failure to timely seek or receive approval from the board or its designee, or to timely enroll and register with, timely commence testing protocols with, or timely undergo testing with, the alternate testing vendor, shall be considered a violation of probation.

Upon detection ~~through testing~~ of a an illicit drug, controlled substance or dangerous drug ~~and/or dangerous device~~, the board or its designee may require respondent to timely provide documentation from a licensed practitioner authorized to prescribe the detected substance demonstrating that the substance was administered or ingested pursuant to a legitimate prescription issued as a necessary part of treatment. All such documentation shall be provided by respondent within ten (10) days of being requested.

Any of the following shall be considered a violation of probation and shall result in respondent being immediately suspended from practice as a [insert license type] until notified by the board in writing that [he/she] may resume practice: failure to timely complete all of the steps required for enrollment/registration with the drug testing vendor, including making arrangements for payment; failure to timely commence drug testing protocols; failure to contact the drug testing vendor as required to determine testing date(s); failure to test as required; failure to timely supply documentation demonstrating that a detected substance was taken pursuant to a legitimate prescription issued as a necessary part of treatment; and/or detection through testing of alcohol, or of an illicit drug, or of a controlled substance or dangerous drug ~~and/or dangerous device~~ absent documentation that the detected substance was taken pursuant to a legitimate prescription and a necessary treatment. In the event of a suspension ordered after detection through testing of alcohol, an illicit drug, or of a controlled substance or dangerous drug ~~and/or dangerous device~~ absent documentation that the detected substance was taken pursuant to a legitimate prescription and a necessary treatment, the board or its designee shall inform respondent of the suspension and inform [him/her] to immediately leave work, and shall notify respondent's employer(s) and work site monitor(s) of the suspension.[3]

During any such suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party-logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices and controlled substances. ~~Respondent shall not resume practice until notified by the board.~~

During any such suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a ~~pharmacist~~ [insert license type]. Respondent

shall not direct or control any aspect of the practice of pharmacy, or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~
~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

Failure to comply with ~~this~~ any such suspension shall be considered a violation of probation. Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

25. Notification of Departure

Prior to leaving the probationary geographic area designated by the board or its designee for a period greater than twenty-four (24) hours, respondent shall notify the board verbally and in writing of the dates of departure and return. Failure to comply with this provision shall be considered a violation of probation.

~~23.~~~~25.~~ 26. Abstain from Drugs and Alcohol ~~Use~~
(Appropriate for those cases where the evidence demonstrates substance use.) **(Standard 4)**

Respondent shall completely abstain from the possession or use of alcohol, controlled substances, illicit drugs, dangerous drugs and/or dangerous devices, or ~~and~~ their associated ~~paraphernalia,~~ ~~except when~~ possessed

~~2. The Terms of Probation Designated Representative are now consolidated into "Terms of Probation – Individual Licensees."~~

or used pursuant to a legitimate prescription issued as a necessary part of treatment. ~~the drugs are lawfully prescribed by a licensed practitioner as part of a documented medical treatment. Upon request of the board or its designee, respondent shall provide documentation from the licensed practitioner that the prescription for the drug was legitimately issued and is a necessary part of the treatment of the respondent. Failure to timely provide such documentation shall be considered a violation of probation.~~ Respondent shall ensure that ~~he or she~~ [he/she] is not in the same physical location as individuals who are using illicit substances even if respondent is not personally ingesting the drugs. Any possession or use of alcohol, dangerous drugs and/or dangerous devices or controlled substances, or their associated paraphernalia ~~not supported by~~ for which a legitimate prescription has not been issued as a necessary part of treatment, ~~the documentation timely provided~~, ~~and/or~~ or any physical proximity to persons using illicit substances, shall be considered a violation of probation.

~~24.~~~~26.~~ 27. Prescription Coordination and Monitoring of Prescription Use (Appropriate for those cases where the evidence demonstrates substance use ~~chemical dependency (alcohol, drugs),~~ or psychiatric disorders (mental illness, emotional disturbance, gambling addiction)

Within thirty (30) days of the effective date of this decision, respondent shall submit to the board, for its prior approval, the name and qualifications of a single physician, nurse practitioner, physician assistant, or psychiatrist of respondent's choice, who shall be aware of the respondent's history [with the use of alcohol, illicit drugs, controlled substances, and/or dangerous drugs ~~and/or dangerous devices~~, and/or of mental illness, and/or of gambling addiction] and who will coordinate and monitor any prescriptions for respondent for dangerous drugs and/or dangerous devices, controlled substances or mood-altering drugs. The approved practitioner shall be provided with a copy of the board's [accusation, ~~or~~ petition to revoke probation, or other pleading] and decision. A record of this notification must be provided to the

board or its designee upon request. Respondent shall sign a release authorizing the practitioner to communicate with the board or its designee about respondent's treatment(s). The coordinating physician, nurse practitioner, physician assistant, or psychiatrist shall report to the board on a quarterly basis for the duration of probation regarding respondent's compliance with this condition. If any substances considered addictive have been prescribed, the report shall identify a program for the time limited use of any such substances. The board or its designee may require that the single coordinating physician, nurse practitioner, physician assistant or psychiatrist be a specialist in addictive medicine, or consult a specialist in addictive medicine. Should respondent, for any reason, cease supervision by the approved practitioner, respondent shall notify the board or its designee immediately and, within thirty (30) days of ceasing ~~treatment~~ supervision, submit the name of a replacement physician, nurse practitioner, physician assistant, or psychiatrist of respondent's choice to the board or its designee for its prior approval. Failure to timely submit the selected practitioner or replacement practitioner to the board or its designee for approval, or to ensure the ~~required reporting thereby on the quarterly reports~~ required quarterly reporting thereby, shall be considered a violation of probation.

If at any time an approved practitioner determines that respondent is unable to practice safely or independently as a ~~pharmacist~~ [insert license type], the practitioner shall notify the board or its designee immediately by telephone and follow up by written letter within three (3) working days. Upon notification from the board or its designee of this determination, respondent shall be automatically suspended and shall not resume practice as a [insert license type] until notified by the board or its designee that practice may be resumed.

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices and controlled substances. Respondent shall not resume practice until notified by the board.

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the professional judgment and/or licensure as a [insert license type] ~~of a pharmacist~~. Respondent shall not direct or control any aspect of the practice of pharmacy or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with any such this suspension shall be considered a violation of probation~~. Failure to comply with any requirement or deadline stated by this term shall be considered a violation of probation.

~~39.~~28. Facilitated Group Recovery and/or Support Meetings (Appropriate for those cases where the evidence demonstrates substance use. Pharmacists and Pharmacist Interns Only) **(Standard 5)**

Within thirty (30) days of the effective date of this decision, respondent shall begin regular

attendance at a group recovery and/or support meeting that is run by a trained facilitator approved in advance by the board or its designee. The required frequency of group meeting attendance shall be determined by the board or its designee. Respondent shall continue regular attendance as directed at an approved facilitated group meeting until the board or its designee advises the respondent in writing that [he/she] may cease regular attendance.

Respondent shall provide signed and dated documentation of attendance as required with each quarterly report. Failure to attend as required or to submit documentation of attendance shall be considered a violation of probation.

If respondent is required to participate in the PRP, compliance with this term can be demonstrated through that program. Where respondent is enrolled in the PRP, participation as required in a facilitated group meeting approved by the PRP shall be sufficient for satisfaction of this requirement. Any deviation from participation requirements for the PRP-approved group shall be considered a violation of probation.

~~40.~~29. Attend Substance Abuse Recovery Relapse Prevention and Support Groups
(Appropriate for those cases where the evidence demonstrates substance use.)
(Standard 5)

Within thirty (30) days of the effective date of this decision, respondent shall begin regular attendance at a recognized and established substance abuse recovery support group in California (e.g., Alcoholics Anonymous, Narcotics Anonymous, etc.) which has been approved by the board or its designee. Respondent must attend ~~at least one~~ the number of group meetings per week or month ~~unless otherwise~~ directed by the board or its designee, which shall typically be at least one per week. Respondent shall continue regular attendance and submit signed and dated documentation confirming attendance with each quarterly report for the duration of probation. Failure to attend or submit documentation thereof shall be considered a violation of probation.

~~If respondent is required to participate in the PRP, compliance with this term can be demonstrated through that program.~~ Where respondent is enrolled in the PRP, participation as required in a recovery group meeting approved by the PRP shall be sufficient for satisfaction of this requirement. Any deviation from participation requirements for the PRP-approved group shall be considered a violation of probation.

~~41.~~30. Work Site Monitor (Appropriate for those cases where the evidence demonstrates substance use.)[4] **(Standard 7)**

Within ten (10) days of the effective date of this decision, respondent shall identify a work site monitor, for prior approval by the board or its designee, who shall be responsible for supervising respondent during working hours. Respondent shall be responsible for ensuring that the work site monitor reports in writing to the board monthly or on another schedule as directed by the board or its designee. Should the designated work site monitor suspect at any time during the probationary period that respondent has abused alcohol or drugs, he or she shall notify the board immediately.

~~The initial~~ In the event of suspected abuse, the monitor shall make at least oral notification ~~shall be made orally~~ within one (1) business day of the occurrence, and shall be followed by written notification within two (2) business days of the occurrence. If, for any reason, including change of employment, respondent is no longer able to be monitored by the approved work site monitor, within ten (10) days respondent shall designate a new work site monitor for approval by the board or its designee. Failure to timely identify an acceptable initial or replacement work site monitor, or to ensure monthly reports are submitted to the board by the monitor, shall be considered a violation of probation.

[4] ~~This probationary term is not new, but is being moved from the previous section "Pharmacy Technician – Standard Terms and Conditions" for purposes of consolidation. The language of this term is also changing from the previous version.~~

Within thirty (30) days of being approved by the board or its designee, the work site monitor shall sign an affirmation that he or she has reviewed the terms and conditions of respondent's disciplinary order and agrees to monitor respondent. The work site monitor shall at least:

1) Have regular face-to-face contact with respondent in the work environment, at least once per week or with greater frequency if required by the board or its designee;
2) Interview other staff in the office regarding respondent's behavior, if applicable; and
3) Review respondent's work attendance.

The written reports submitted to the board or its designee by the work site monitor shall include at least the following information: respondent's name and license number; the monitor's name, license number (if applicable) and work site location; the date(s) the monitor had face-to-face contact with respondent; the staff interviewed, if applicable; an attendance report; notes on any changes in respondent's behavior or personal habits; notes on any indicators that may lead to substance abuse; and the work site monitor's signature.

Respondent shall complete any required consent forms and sign any required agreement with the work site monitor and/or the board to allow the board or its designee to communicate freely on the subject of respondent's work performance and sobriety with the work site monitor.

Option (Alternate language that is appropriate for respondents enrolled in PRP or who are given the PRP enrollment term: It is a condition of respondent's enrollment in the Pharmacists Recovery Program (PRP) that [he/she] is required to have a work site monitor approved by the PRP who shall be responsible for supervising respondent during working hours. Respondent shall be responsible for ensuring that the work site monitor reports in writing to the PRP monthly or on another schedule as directed by the PRP. Should the designated work site monitor suspect at any time during the probationary period that respondent has abused alcohol or drugs, he or she shall notify the PRP immediately. The initial notification shall be made orally within one (1) business day of the occurrence, ~~and~~ which shall be followed by written notification within two (2) business days of the occurrence. If, for any reason, including change of employment, respondent is not longer able to be monitored by the approved work site monitor, within ten (10) days of commencing new employment for prior approval by the PRP. Failure to identify an acceptable initial or replacement work site monitor, or to ensure monthly reports are submitted to the PRP by the work site monitor, shall be considered a violation of probation.

Within thirty (30) days of being approved by the PRP, the work site monitor shall sign an affirmation that he or she has reviewed the terms and conditions of respondent's disciplinary order and agrees to monitor respondent. The work site monitor shall at least:

1) Have regular face-to-face contact with respondent in the work environment, at least once per week or with greater frequency if required by the board or its designee;
2) Interview other staff in the office regarding respondent's behavior, if applicable; and
3) Review respondent's work attendance.

The written reports submitted to the PRP by the work site monitor shall include at least the following information: respondent's name and license number; the monitor's name, license number (if applicable) and work site location; the date(s) the monitor had face-to-face contact with respondent; the staff interviewed, if applicable; an attendance report; notes on any changes in respondent's behavior or personal habits; notes on any indicators that may lead to substance abuse; and the work site monitor's signature.

Respondent shall complete any required consent forms and sign any required agreement with the work site monitor and/or the PRP to allow the PRP to communicate freely on the subject of respondent's work performance and sobriety with the work site monitor.

~~25.~~~~27.~~31. Community Service~~s~~ Program

Within sixty (60) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, a community service program in which respondent shall provide free [insert type of service, e.g., health-care related services] on a regular basis to a community or charitable facility or agency for at least _____hours per _____for the first _____of probation. Within thirty (30) days of board approval thereof, respondent shall submit documentation to the board or its designee demonstrating commencement of the community service program. ~~A record of this notification must be provided to the board upon request.~~ Respondent shall report on progress with the community service program in the quarterly reports and provide satisfactory documentary evidence of such progress to the board or its designee upon request. Failure to timely submit, commence, or comply with the program shall be considered a violation of probation.

~~26.~~~~28.~~32. Restitution (Appropriate in cases of drug diversion, theft, fraudulent billing, or patient harm resulting from negligence or incompetence.)

Within _____days of the effective date of this decision, respondent shall pay restitution to _____in the amount of $ _____. Failure to make restitution by this deadline shall be considered a violation of probation.

~~27.~~~~29.~~33. Remedial Education

Within [thirty (30), sixty (60), ninety (90)] days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, an appropriate program of remedial education related to [the grounds for discipline]. The program of remedial education shall consist of at least _____hours, which shall be completed within _____months/year at respondent's own expense. All remedial education shall be in addition to, and shall not be credited toward, continuing education (CE) courses used for license renewal purposes for pharmacists.

Failure to timely submit for approval or complete the approved remedial education shall be considered a violation of probation. The period of probation will be automatically extended until such remedial education is successfully completed and written proof, in a form acceptable to the board, is provided to the board or its designee.

Following the completion of each course, the board or its designee may require the respondent, at ~~his or her~~ [his/her] own expense, to take an approved examination to test the respondent's knowledge of the course. If the respondent does not achieve a passing score on the examination~~, this failure shall be considered a violation of probation. Any such examination failure shall require~~ that course shall not count towards satisfaction of this term. ~~r~~Respondent ~~to~~ shall take another course approved by the board in the same subject area.

Option: Respondent shall be restricted from the practice of [areas where a serious deficiency has been identified] until the remedial education program has been successfully completed.

~~40.~~~~38.~~34. Ethics Course (Pharmacists, Advanced Practice Pharmacists and Pharmacist Intern Only)

Within sixty (60) calendar days of the effective date of this decision, respondent shall enroll in a

course in ethics, at respondent's expense, approved in advance by the board or its designee that complies with Title 16 California Code of Regulations section 1773.5. Respondent shall provide proof of enrollment upon request. Within five (5) days of completion, respondent shall submit a copy of the certificate of completion to the board or its designee. Failure to timely enroll in an approved ethics course, to initiate the course during the first year of probation, to successfully ~~and~~ complete it before the end of ~~within~~ the second year of probation, or to timely submit proof of completion to the board or its designee, shall be considered ~~is~~ a violation of probation.

~~Respondent shall submit a certificate of completion to the board or its designee within five days after completing the course.~~

~~28. Pharmacy Self-Assessment Mechanism~~

~~Within the first year of probation, respondent shall complete the Pharmacist Self-Assessment Mechanism (PSAM) examination provided by the National Association of Boards of Pharmacy (NABP). Respondent shall submit a record of completion to the board demonstrating he/she has completed this examination. Respondent shall bear all costs for the examination. Continuing education hours received for this examination shall not be used as part of the required continuing education hours for renewal purposes.~~

~~Failure to timely complete the PSAM or submit documentation thereof shall be considered a violation of probation.~~

~~**Option A:** Respondent shall waive any rights to confidentiality and provide examination results to the board or its designee.~~

~~**Option B:** (This term must be accompanied by the "Remedial Education" term. [Include/Modify Remedial Education Term to Conform].) Respondent shall waive any rights to confidentiality and provide examination results to the board or its designee. Based on the results of the examination, the board shall determine which courses are appropriate for remedial education~~.

~~29. 30. Intern Pharmacist Experience (Intern Pharmacist Only)~~

~~Within ninety (90) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, a pharmacy intern training program consisting of _____ hours to be served as an intern pharmacist in a community and/or institutional pharmacy as directed. Respondent shall successfully complete the intern hours within the first year of probation and shall, by no later than one (1) year and ten (10) days from the effective date of this decision, submit proof satisfactory to the board of completion of this experience signed under penalty of perjury by both the respondent and supervising pharmacist. Failure to timely complete or document the required intern experience shall be considered a violation of probation.~~

30. ~~31.~~35. Supervised Practice (~~This term is not appropriate~~ See Option for Pharmacy Technicians.)

~~During the period of probation, respondent shall practice only under the supervision of a licensed pharmacist not on probation with the board. Upon and after the effective date of this decision, respondent shall not practice pharmacy and his or her license shall be automatically suspended until a supervisor is approved by the board or its designee. The supervision shall be, as required by the board or its designee, either:~~ Within thirty (30) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, the name of a [insert license type] licensed by and not on probation with the board, to serve as respondent's practice supervisor. As part of the documentation submitted, respondent shall

cause the proposed practice supervisor to report to the board in writing acknowledging that he or she has read the decision in case number [insert case number], and is familiar with the terms and conditions imposed thereby, including the level of supervision required by the board or its designee. This level will be determined by the board or its designee, will be communicated to the respondent on or before the effective date of this decision and shall be one of the following:

Continuous – At least 75% of a work week
Substantial - At least 50% of a work week
Partial - At least 25% of a work week
Daily Review - Supervisor's review of probationer's daily activities within 24 hours

Respondent may practice only under the required level of supervision by an approved practice supervisor. If, for any reason, including change of employment, respondent is no longer supervised at the required level by an approved practice supervisor, within ten (10) days of this change in supervision respondent shall submit to the board or its designee, for prior approval, the name of a [insert license type] licensed by and not on probation with the board, to serve as respondent's replacement practice supervisor. As part of the documentation submitted, respondent shall cause the proposed replacement practice supervisor to report to the board in writing acknowledging that he or she has read the decision in case number [insert case number], and is familiar with the terms and conditions imposed thereby, including the level of supervision required.

Any of the following shall result in the automatic suspension of practice by a respondent and shall be considered a violation of probation:

- Failure to nominate an initial practice supervisor, and to have that practice supervisor report to the board in writing acknowledging the decision, terms and conditions, and supervision level, within thirty (30) days;
- Failure to nominate a replacement practice supervisor, and to have that practice supervisor report to the board in writing acknowledging the decision, terms and conditions, and supervision level, within ten (10) days;
- Practicing in the absence of an approved practice supervisor beyond the initial or replacement nomination period; or
- Any failure to adhere to the required level of supervision.

Respondent shall not resume practice until notified in writing by the board or its designee.

~~Any of the following shall be considered a violation of probation: failure to timely nominate either an initial or a replacement practice supervisor; failure to cause the practice supervisor to timely report to the board in writing acknowledging the decision, terms and conditions, and supervision level; practicing in the absence of an approved practice supervisor after lapse of the nomination period; and/or failure to adhere to the level of supervision required by the board or its designee. If any of these obligations or prohibitions is not met, respondent shall be automatically suspended from practice as a [insert license type] and may not resume such practice until notified by the board or its designee in writing..~~

~~Within thirty (30) days of the effective date of this decision, respondent shall have his or her supervisor submit notification to the board in writing stating that the supervisor has read the decision in case number _____ and is familiar with the required level of supervision as determined by the board or its designee. It shall be the respondent's responsibility to ensure that his or her employer(s), pharmacist-in-charge and/or supervisor(s) submit timely acknowledgement(s) to the board. Failure to cause the direct supervisor and the pharmacist-in-charge to submit timely acknowledgements to the board shall be considered a violation of~~

~~probation.~~

~~If respondent changes employment, it shall be the respondent's responsibility to ensure that his or her employer(s), pharmacist-in-charge and/or supervisor(s) submit timely acknowledgement(s) to the board. Respondent shall have his or her new supervisor, within fifteen (15) days after employment commences, submit notification to the board in writing stating the direct supervisor and pharmacist-in-charge have read the decision in case number _____ and is familiar with the level of supervision as determined by the board. Respondent shall not practice pharmacy and his or her license shall be automatically suspended until the board or its designee approves a new supervisor. Failure to cause the direct supervisor and the pharmacist-in-charge to submit timely acknowledgements to the board shall be considered a violation of probation.~~

~~Within ten (10) days of leaving employment, respondent shall notify the board in writing.~~

During any ~~such~~ suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not resume practice until notified by the board.~~

During any ~~such~~ suspension, respondent shall not engage in any activity that requires the professional judgment and/or licensure as a [insert license type]~~of a pharmacist~~. Respondent shall not direct or control any aspect of the practice of pharmacy or of the manufacture, distribution, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances. ~~Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

Failure to comply with ~~this~~ any ~~such~~ suspension shall be considered a violation of probation.

Option: (For Pharmacy Technicians Only)

Within thirty (30) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, the name of a pharmacist licensed by and not on probation with the board, to serve as respondent's practice supervisor. As part of the documentation submitted, respondent shall cause the proposed practice supervisor to report to the board in writing acknowledging that her or she has read the decision in case number [insert case number], and is familiar with the terms and conditions imposed thereby, including the level of supervision required by the board or its designee. Respondent may have multiple supervisors approved by the board if necessary to meet respondent's work requirements.

Any of the following shall be considered a violation of probation: failure to timely nominate either an initial or a replacement practice supervisor; failure to cause the practice supervisor to timely report to the board in writing acknowledging the decision, terms and conditions, and supervision level; practicing in the absence of an approved practice supervisor after lapse of the nomination period; and/or failure to adhere to the level of supervision required by the board or

its designee. If any of these obligations or prohibitions is not met, respondent shall be prohibited from practice as a [insert license type] and may not resume such practice until notified by the board or its designee in writing.

~~31. No Supervision of Ancillary Personnel~~[2]

~~During the period of probation, respondent shall not supervise any ancillary personnel, including, but not limited to, pharmacy technicians or designated representatives in any entity licensed by the board.~~

~~Failure to comply with this provision shall be considered a violation of probation.~~

~~32.~~36. No Ownership or Management of Licensed Premises

Respondent shall not own, have any legal or beneficial interest in, ~~or~~ nor serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the board. Respondent shall sell or transfer any legal or beneficial interest in any entity licensed by the board within ninety (90) days following the effective date of this decision and shall immediately thereafter provide written proof thereof to the board. Failure to timely divest any legal or beneficial interest(s) or provide documentation thereof shall be considered a violation of probation.

Option (To be used in place of the standard language in those circumstances where respondent is permitted to continue existing ownership of a licensed entity): Respondent shall not acquire any new ownership, legal or beneficial interest nor serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any additional business, firm, partnership, or corporation licensed by the board. If respondent currently owns or has any legal or beneficial interest in, or serves as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the board, respondent may continue to serve in such capacity or hold that interest, but only to the extent of that position or interest as of the effective date of this decision. Violation of this restriction shall be considered a violation of probation.

~~33.~~37. Separate File of Controlled Substances Records (~~For pharmacist~~ Pharmacist owners and pharmacists-in-charge)

Respondent shall maintain and make available for inspection a separate file of all records pertaining to the acquisition or disposition of all controlled substances. Failure to maintain such file or make it available for inspection shall be considered a violation of probation.

~~34.~~38. Report of Controlled Substances (~~For pharmacist~~ Pharmacist owners and pharmacists-in-charge)

Respondent shall submit ~~quarterly~~ reports to the board detailing the total acquisition and disposition of such controlled substances as the board or its designee may direct. Respondent shall specify the manner of disposition (e.g., by prescription, due to burglary, etc.) or acquisition (e.g., from a manufacturer, from another retailer, etc.) of such controlled substances. Respondent shall report on a quarterly basis or as directed by the board or its designee. The report shall be delivered or mailed to the board no later than ten (10) days following the end of the reporting period as determined by the board or its designee. Failure to timely prepare or submit such reports shall be considered a violation of probation.

~~35.~~39. No Access to Controlled Substances

During the period of probation and as directed by the board or its designee, respondent shall not order, possess, dispense or otherwise have access to any controlled substance(s) in Schedules I, II, III, IV or V (Health and Safety Code sections ~~11055~~ 11054 -11058 inclusive). Respondent shall not order, receive or retain any security prescription forms. Failure to comply with this restriction shall be considered a violation of probation.

~~36.~~40. Criminal Probation/Parole Reports

~~Respondent shall provide a copy of the conditions of any criminal probation/parole to the board, in writing, within ten (10) days of the issuance or modification of those conditions. Respondent shall provide the name of his or her [his/her] probation/parole officer to the board, in writing, within ten (10) days after that officer is designated or a replacement for that officer is designated. Respondent shall provide a copy of all criminal probation/parole reports to the board within ten (10) days after respondent receives a copy of such a report. Failure to timely make any of the submissions required hereby shall be considered a violation of probation.~~

Within ten (10) days of the effective date of this decision, or within ten (10) days of the issuance or assignment/replacement of same, whichever is earlier, respondent shall provide the board or its designee in writing: a copy of the conditions of any criminal probation/parole applicable to respondent; and the name and contact information of any probation, parole or similar supervisory officer assigned to respondent. On an following the effective date, respondent shall provide a copy of all criminal probation/parole reports to the board within ten (10) days after such report is issued. Failure to timely make any of the submissions required hereby shall be considered a violation of probation.

~~37. Consultant for Owner or Pharmacist-In-Charge~~

~~(Option #1 for pharmacist owners - primarily intended for appropriate cases where the respondent is the sole owner and pharmacist-in-charge of his or her own pharmacy, the standard language should be used in most cases.)~~

~~During the period of probation, respondent shall not supervise any intern pharmacist or serve as a consultant to any entity licensed by the board. Respondent may be a pharmacist-in-charge. However, if during the period of probation respondent serves as a pharmacist-in-charge, respondent shall retain an independent consultant at his or her own expense who shall be responsible for reviewing pharmacy operations on a [monthly/quarterly] basis for compliance by respondent with state and federal laws and regulations governing the practice of pharmacy and for compliance by respondent with the obligations of a pharmacist-in-charge. The consultant shall be a pharmacist licensed by and not on probation with the board and whose name shall be submitted to the board or its designee, for prior approval, within thirty (30) days of the effective date of this decision. Respondent shall not be a pharmacist-in-charge at more than one pharmacy or at any pharmacy of which he or she is not the sole owner. Failure to timely retain, seek approval of, or ensure timely reporting by the consultant shall be considered a violation of probation.~~

~~(Option #2 - appropriate for pharmacists who are not pharmacy owners, but who wish, because of their current employment, to remain as the pharmacist-in-charge, and have provided documented mitigating evidence to warrant this option.)~~

~~During the period of probation, respondent shall not supervise any intern pharmacist, or serve as a consultant to any entity licensed by the board. In the event that the respondent is currently the pharmacist-in-charge of a pharmacy, the pharmacy shall retain an independent consultant at its own expense who shall be responsible for reviewing pharmacy operations on a [monthly/quarterly] basis for compliance by respondent with state and federal laws and regulations governing the practice of pharmacy and for compliance by respondent with the~~

~~obligations of a pharmacist-in-charge. The consultant shall be a pharmacist licensed by and not on probation with the board and whose name shall be submitted to the board or its designee, for prior approval. Within thirty (30) days of the effective date of this decision. Respondent shall not be a pharmacist-in-charge at more than one pharmacy or at any pharmacy of which he or she is not the current PIC. The board may, in case of an employment change by respondent or for other reasons as deemed appropriate by the board or its designee, preclude the respondent from acting as a pharmacist-in-charge. Failure to timely retain, seek approval of, or ensure timely reporting by the consultant shall be considered a violation of probation.~~

~~38. Tolling of Suspension~~

~~During the period of suspension, respondent shall not leave California for any period exceeding ten (10) days, regardless of purpose (including vacation). Any such absence in excess of the (10) days during suspension shall be considered a violation of probation. Moreover, any absence from California during the period of suspension exceeding ten (10) days shall toll the suspension, i.e., the suspension shall be extended by one day for each day over ten (10) days respondent is absent from California. During any such period of tolling of suspension, respondent must nonetheless comply with all terms and conditions of probation.~~

~~Respondent must notify the board in writing within ten (10) days of departure, and must further notify the board in writing within ten (10) days of return. The failure to provide such notification(s) shall constitute a violation of probation. Upon such departure and return, respondent shall not resume the practice of pharmacy until notified by the board that the period of suspension has been satisfactorily completed.~~

~~39~~ ~~37.~~42. Surrender of DEA Permit (Pharmacists, Advanced Practice Pharmacists and Pharmacist Intern Only)

Within thirty (30) days of the effective date of this decision, respondent shall surrender ~~his or her~~ [his/her] federal Drug Enforcement Administration (DEA) permit to the DEA~~,~~ for cancellation. Respondent shall provide documentary proof of such cancellation to the board or its designee. Respondent is prohibited from ~~prescribing~~ dispensing, furnishing, or otherwise providing dangerous drugs and/or dangerous devices or controlled substances until the board has received satisfactory proof of cancellation. Thereafter, respondent shall not apply/reapply for a DEA registration number without the prior written consent of the board or its designee.

Option 1: Respondent may obtain a DEA permit restricted to Schedule(s) _____controlled substance(s).

Option 2: Respondent shall not order, receive, or retain any federal order forms, including DEA form 222 forms, for controlled substances.

~~PHARMACY TECHNICIAN~~

~~The board files cases against pharmacy technicians where the violation(s) involve significant misconduct on the part of the licensee. The board believes that revocation is typically the appropriate penalty when grounds for discipline are found to exist. Grounds for discipline include, but are not limited to the following violation(s) of law(s) involving:~~

- ~~Possession of dangerous drugs and/or controlled substances~~
- ~~Use of dangerous drugs and/or controlled substances~~
- ~~Possession for sale of dangerous drugs and/or controlled substances~~
- ~~Personal misuse of drugs or alcohol~~

~~If revocation is not imposed, the board recommends a minimum Category III level of discipline~~

~~be imposed on the pharmacy technician. This would include suspension and probation.~~

~~In addition, a pharmacy technician would be required to obtain certification as defined by Business and Professions Code section 4202(a)(4) prior to resuming work as a pharmacy technician. The board believes that certification prior to resuming work is always warranted in cases where a pharmacy technician license is disciplined but not revoked.~~

~~Pharmacy technicians are issued a license based on minimal education, training requirements or certification. No examination is required for issuance of the registration. Pharmacy technicians are not independent practitioners and must work under the supervision of a pharmacist. To place a pharmacy technician on probation places an additional burden on the pharmacist (who may or may not be on probation) to ensure that the respondent pharmacy technician complies with the terms and conditions of his or her probation.~~

~~TERMS OF PROBATION – PHARMACY TECHNICIAN~~[4]

~~A minimum three-year probation period has been established by the board as appropriate in most cases where probation is imposed. A minimum five-year probation period has been established by the board as appropriate where self-administration or diversion of controlled substances is involved. Terms and conditions are imposed to provide consumer protection and to allow the probationer to demonstrate rehabilitation. A suspension period may also be required as part of the probation order. The board prefers that any stayed order be for revocation rather than for some period of suspension.~~

~~Probation conditions are divided into two categories: (1) standard conditions that shall appear in all probation cases, and (2) optional conditions that depend on the nature and circumstances of a particular case. These conditions may vary depending on the nature of the offense(s).~~

~~The board may also impose any other condition appropriate to the case where the condition is not contrary to public policy.~~

~~**CATEGORY OF VIOLATIONS AND RECOMMENDED PENALTIES**~~

~~**CATEGORY III - Penalty**~~

~~Minimum: Revocation; Revocation stayed, 90 days actual suspension, three years probation. All standard terms and conditions shall be included and optional terms and conditions as appropriate.~~

~~Maximum: Revocation~~

~~Applies to all applicable statutes and regulations~~

~~**MODEL DISCIPLINARY LANGUAGE – PHARMACY TECHNICIAN**~~[5]

~~The following standardized language shall be used in every decision where the order of condition is imposed.~~

~~**Revocation**~~

~~Pharmacy technician license number _____, issued to respondent _____is revoked. Respondent shall relinquish his or her technician license to the board within ten (10) days of the effective date of this decision. Respondent may not reapply or petition the board for reinstatement of his or her revoked technician license for three (3) years from the effective date of this decision.~~

~~A condition of reinstatement shall be that the respondent is certified as defined in Business and Professions Code section 4202(a)(4) and provides satisfactory proof of certification to the board.~~

~~Respondent shall pay to the board its costs of investigation and prosecution in the amount of $_____within fifteen (15) days of the effective date of this decision.~~

~~**Option:** As a condition precedent to reinstatement of his or her revoked technician license respondent shall reimburse the board for its costs of investigation and prosecution in the amount of $_____. Said amount shall be paid in full prior to the reapplication or reinstatement of his or her revoked technician license, unless otherwise ordered by the board.~~

~~**Suspension**~~

~~As part of probation, respondent is suspended from working as a pharmacy technician for _____beginning the effective date of this decision.~~

~~During suspension, respondent shall not enter any pharmacy area or any portion of or any other board licensed premises (wholesaler, veterinary food-animal drug retailer or any other distributor of drugs) any drug manufacturer, or any other location where dangerous drugs and devices or controlled substances are maintained. Respondent shall not do any act involving drug selection, selection of stock, manufacturing, compounding or dispensing; nor shall respondent manage, administer, or assist any licensee of the board. Respondent shall not have~~

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[5] ~~All information specific to Pharmacy Technician is being removed and consolidated into Terms of Probation – Individual Licensees.~~

~~access to or control the ordering, manufacturing or dispensing of dangerous drugs and devices or controlled substances.~~

~~Respondent shall not direct, control or perform any aspect of the practice of pharmacy. Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this suspension shall be considered a violation of probation.~~

~~Standard Stay/Probation Order~~

~~Pharmacy technician license number _____is revoked; however the revocation is stayed and respondent is placed on probation for _____years upon the following terms and conditions:~~

~~Issuance of Probationary License~~ ~~(In cases where a Statement of Issues has been filed.)~~

~~Upon satisfaction of all statutory and regulatory requirements for issuance of a license, a license shall be issued to respondent and immediately revoked; the order of revocation is stayed and respondent is placed on probation for _____years upon the following terms and conditions:~~

~~Surrender~~

~~Respondent surrenders pharmacy technician license number _____as of the effective date of this decision. Respondent shall relinquish his or her pharmacy technician license to the board within ten (10) days of the effective date of this decision.~~

~~The surrender of respondent's license and the acceptance of the surrendered license by the board shall constitute the imposition of discipline against respondent. This decision constitutes a record of discipline and shall become a part of respondent's license history with the board.~~

~~Respondent understands and agrees that if he or she ever files an application for licensure or a petition for reinstatement in the State of California, the board shall treat it as a new application for licensure.~~

~~Respondent may not apply for any license, permit, or registration from the board for three (3) years from the effective date of this decision. Respondent stipulates that should he or she apply for any license from the board on or after the effective date of this decision, all allegations set forth in the [accusation or petition to revoke probation] shall be deemed to be true, correct and admitted by respondent when the board determines whether to grant or deny the application. Respondent shall satisfy all requirements applicable to that license as of the date the application is submitted to the board, including, but not limited to certification by a nationally recognized body prior to the issuance of a new license. Respondent is required to report this surrender as disciplinary action.~~

~~Respondent further stipulates that he or she shall reimburse the board for its costs of investigation and prosecution in the amount of $_ _____within _____days of the effective date of this decision.~~

~~**Option:** Respondent stipulates that should he or she apply for any license from the board on or after the effective date of this decision, investigation and prosecution costs in the amount of $_____shall be paid to the board prior to issuance of the license.~~

~~**Public Reprimand**~~

~~It is hereby ordered that a public reprimand be issued against pharmacy technician license, _____. Respondent is required to report this reprimand as a disciplinary action.~~

~~**Adoption of Stipulation**~~

~~It is understood by respondent that, in deciding whether to adopt this stipulation, the board may receive oral and written communication from its staff and the Office of the Attorney General. Communications pursuant to this paragraph shall not disqualify the board or other persons from future participation in this or any other matter affecting respondent. In the event this settlement is not adopted by the board, the stipulation will not become effective and may not be used for any purpose, except this paragraph, which shall remain in effect.~~

~~**STANDARD CONDITIONS** – To be included in all probation decisions/orders.~~

1. ~~Certification Prior to Resuming Work~~
2. ~~Obey All Laws~~
3. ~~Report to the Board~~
4. ~~Interview with the Board~~
5. ~~Cooperate with Board Staff~~
6. ~~Notice to Employers~~
7. ~~Reimbursement of Board Costs~~
8. ~~Probation Monitoring Costs~~
9. ~~Status of License~~
10. ~~License Surrender While on Probation/Suspension~~
11. ~~Notification of a Change in Name, Residence Address, Mailing Address or Employment~~
12. ~~Tolling of Probation~~
13. ~~Violation of Probation~~
14. ~~Completion of Probation~~

~~**OPTIONAL CONDITIONS**~~

15. ~~No Ownership of Licensed Premises~~
16. ~~Attend Substance Abuse Recovery Relapse Prevention and Support Groups~~
17. ~~Random Drug Screening~~
18. ~~Work Site Monitor~~
19. ~~Notification of Departure~~
20. ~~Abstain from Drugs and Alcohol Use~~
21. ~~Tolling of Suspension~~
22. ~~Restitution~~

~~STANDARD CONDITIONS: TO BE INCLUDED IN ALL PROBATIONS~~

~~1. Certification Prior to Resuming Work~~

~~Respondent shall be automatically suspended from working as a pharmacy technician until he or she is certified as defined by Business and Professions Code section 4202(a)(4) and provides satisfactory proof of certification to the board. Respondent shall not resume working as a pharmacy technician until notified by the board. Failure to achieve certification within one (1) year shall be considered a violation of probation. Respondent shall not resume working as a pharmacy technician until notified by the board.~~

~~During suspension, respondent shall not enter any pharmacy area or any portion of any other board licensed premises (wholesaler, veterinary food-animal drug retailer or any other distributor of drugs) any drug manufacturer, or any other location where dangerous drugs and devices or controlled substances are maintained. Respondent shall not do any act involving drug selection, selection of stock, manufacturing, compounding or dispensing; nor shall respondent manage, administer, or assist any licensee of the board. Respondent shall not have access to or control the ordering, manufacturing or dispensing of dangerous drugs and devices or controlled substances. Respondent shall not resume work until notified by the board.~~

~~Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises by the board in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this suspension shall be considered a violation of probation.~~

~~2. Obey All Laws~~

~~Respondent shall obey all state and federal laws and regulations.~~

~~Respondent shall report any of the following occurrences to the board, in writing, within seventy-two (72) hours of such occurrence:~~

- ~~an arrest or issuance of a criminal complaint for violation of any provision of the Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws~~
- ~~a plea of guilty or nolo contendre in any state or federal criminal proceeding to any criminal complaint, information or indictment~~
- ~~a conviction of any crime~~
- ~~discipline, citation, or other administrative action filed by any state or federal agency which involves respondent's _____license or which is related to the practice of pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging for any drug, device or controlled substance.~~

~~Failure to timely report any such occurrence shall be considered a violation of probation.~~

~~3. Report to the Board~~

~~Respondent shall report to the board quarterly, on a schedule as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports~~

~~in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.~~

~~4. Interview with the Board~~

~~Upon receipt of reasonable prior notice, respondent shall appear in person for interviews with the board or its designee, at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear at two (2) or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.~~

~~5. Cooperate with Board Staff~~

~~Respondent shall cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of his or her probation. Failure to cooperate shall be considered a violation of probation.~~

~~6. Notice to Employers~~

~~During the period of probation, respondent shall notify all present and prospective employers of the decision in case number~~ _____ ~~and the terms, conditions and restrictions imposed on respondent by the decision, as follows:~~

~~Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment, respondent shall cause his or her direct supervisor, pharmacist-in-charge (including each new pharmacist-in-charge employed during respondent's tenure of employment) and owner to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in case number~~ _____ ~~and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that his or her employer(s) and/or supervisor(s) submit timely acknowledgement(s) to the board.~~

~~If respondent works for or is employed by or through a pharmacy employment service, respondent must notify his or her direct supervisor, pharmacist-in-charge and owner at every pharmacy of the terms and conditions of the decision in case number~~ _____ ~~in advance of the respondent commencing work at each pharmacy. A record of this notification must be provided to the board upon request.~~

~~Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment by or through a pharmacy employment service, respondent shall cause his or her direct supervisor with the pharmacy employment service to report to the board in writing acknowledging that he or she has read the decision in case number~~ _____ ~~and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that his or her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.~~

~~Failure to timely notify present or prospective employer(s) or to cause that/those employer(s) to submit timely acknowledgements to the board shall be considered a violation of probation.~~

~~"Employment" within the meaning of this provision shall include any full-time, part-time, temporary or relief service or pharmacy management service as a pharmacy technician or in any position for which a pharmacy technician license is a requirement or criterion for employment, whether the respondent is considered an employee, independent contractor or volunteer.~~

~~7. Reimbursement of Board Costs~~

~~As a condition precedent to successful completion of probation, respondent shall pay to the board its costs of investigation and prosecution in the amount of $_____. Respondent shall make said payments as follows: _____. There shall be no deviation from this schedule absent prior written approval by the board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.~~

~~The filing of bankruptcy by respondent shall not relieve respondent of his or her responsibility to reimburse the board its costs of investigation and prosecution.~~

~~8. Probation Monitoring Costs~~

~~Respondent shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.~~

~~9. Status of License~~

~~Respondent shall, at all times while on probation, maintain an active, current pharmacy technician license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a violation of probation.~~

~~If respondent's pharmacy technician license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon renewal or reapplication respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.~~

~~10. License Surrender While on Probation/Suspension~~

~~Following the effective date of this decision, should respondent cease work due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent may tender his or her pharmacy technician license to the board for surrender. The board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the respondent's license history with the board.~~

~~Upon acceptance of the surrender, respondent shall relinquish his or her pharmacy technician license to the board within ten (10) days of notification by the board that the surrender is accepted. Respondent may not reapply for any license, permit, or registration from the board for three (3) years from the effective date of the surrender. Respondent shall meet all~~

~~requirements applicable to the license sought as of the date the application for that license is submitted to the board.~~

~~11. Notification of a Change in Name, Residence Address, Mailing Address or Employment~~

~~Respondent shall notify the board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new employer, the name of the supervisor and owner, and the work schedule if known. Respondent shall further notify the board in writing within ten (10) days of a change in name, residence address and mailing address, or phone number.~~

~~Failure to timely notify the board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.~~

~~12. Tolling of Probation~~

~~Except during periods of suspension, respondent shall, at all times while on probation, be employed as a pharmacy technician in California for a minimum of _____hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation.~~

~~Should respondent, regardless of residency, for any reason (including vacation) cease working as a pharmacy technician for a minimum of _____hours per calendar month in California, respondent must notify the board in writing within ten (10) days of cessation of work and must further notify the board in writing within ten (10) days of the resumption of the work. Any failure to provide such notification(s) shall be considered a violation of probation.~~

~~It is a violation of probation for respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.~~

> ~~"Cessation of work" means calendar month during which respondent is not working for at least _____hours as a pharmacy technician, as defined in Business and Professions Code section 4115. "Resumption of work" means any calendar month during which respondent is working as a pharmacy technician for at least _____hours as a pharmacy technician as defined by Business and Professions Code section 4115.~~

~~13. Violation of Probation~~

~~If a respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and probation shall automatically be extended, until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.~~

~~If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a~~

~~violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against respondent during probation, the board shall have continuing jurisdiction, and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.~~

~~14. Completion of Probation~~

~~Upon written notice by the board indicating successful completion of probation, respondent's pharmacy technician license will be fully restored.~~

~~OPTIONAL CONDITIONS OF PROBATION~~

~~15. No Ownership of Licensed Premises~~

~~Respondent shall not own, have any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the board. Respondent shall sell or transfer any legal or beneficial interest in any entity licensed by the board within ninety (90) days following the effective date of this decision and shall immediately thereafter provide written proof thereof to the board. Failure to timely divest any legal or beneficial interest(s) or provide documentation thereof shall be considered a violation of probation.~~

~~Option:~~ ~~Respondent shall not acquire any new ownership, legal or beneficial interest nor serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any additional business, firm, partnership, or corporation licensed by the board. If respondent currently owns or has any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the board, respondent may continue to serve in such capacity or hold that interest, but only to the extent of that position or interest as of the effective of this decision. Violation of this restriction shall be considered a violation of probation.~~

~~16. Attend Substance Abuse Recovery Relapse Prevention and Support Groups~~ ~~(Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Within thirty (30) days of the effective date of this decision, respondent shall begin regular attendance at a recognized and established substance abuse recovery support group in California, (e.g., Alcoholics Anonymous, Narcotics Anonymous, etc.) which has been approved by the board or its designee. Respondent must attend at least one group meeting per week unless otherwise directed by the board or its designee. Respondent shall continue regular attendance and submit signed and dated documentation confirming attendance with each quarterly report for the duration of probation. Failure to attend or submit documentation thereof shall be considered a violation of probation.~~

~~17. Random Drug Screening~~ ~~(Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Respondent, at his or her own expense, shall participate in random testing, including but not limited to biological fluid testing (urine, blood), breathalyzer, hair follicle testing, or other drug screening program as directed by the board or its designee. Respondent may be required to participate in testing for the entire probation period and the frequency of testing will be~~

~~determined by the board or its designee. At all times respondent shall fully cooperate with the board or its designee, and shall, when directed, submit to such tests and samples for the detection of alcohol, narcotics, hypnotics, dangerous drugs or other controlled substances as the board or its designee may direct. Failure to timely submit to testing as directed shall be considered a violation of probation. Upon request of the board or its designee, respondent shall provide documentation from a licensed practitioner that the prescription for a detected drug was legitimately issued and is a necessary part of the treatment of the respondent. Failure to timely provide such documentation shall be considered a violation of probation. Any confirmed positive test for alcohol or for any drug not lawfully prescribed by a licensed practitioner as part of a documented medical treatment shall be considered a violation of probation and shall result in the automatic suspension of work by respondent. Respondent may not resume work as a pharmacy technician until notified by the board in writing.~~

~~During suspension, respondent shall not enter any pharmacy area or any portion of or any other board licensed premises (wholesaler, veterinary food-animal drug retailer or any other distributor of drugs) any drug manufacturer, or any other location where dangerous drugs and devices or controlled substances are maintained. Respondent shall not do any act involving drug selection, selection of stock, manufacturing, compounding or dispensing; nor shall respondent manage, administer, or assist any licensee of the board. Respondent shall not have access to or control the ordering, manufacturing or dispensing of dangerous drugs and devices or controlled substances. Respondent shall not resume work until notified by the board.~~

~~Respondent shall not direct, control or perform any aspect of the practice of pharmacy. Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this suspension shall be considered a violation of probation.~~

~~**18. Work Site Monitor** (Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Within ten (10) days of the effective date of this decision, respondent shall identify a work site monitor, for prior approval by the board, who shall be responsible for supervising respondent during working hours. Respondent shall be responsible for ensuring that the work site monitor reports in writing to the board quarterly. Should the designated work site monitor determine at any time during the probationary period that respondent has not maintained sobriety, he or she shall notify the board immediately, either orally or in writing as directed. Should respondent change employment, a new work site monitor must be designated, for prior approval by the board, within ten (10) days of commencing new employment. Failure to identify an acceptable initial or replacement work site monitor, or to ensure quarterly reports are submitted to the board, shall be considered a violation of probation.~~

~~**19. Notification of Departure** (Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Prior to leaving the probationary geographic area designated by the board or its designee for a period greater than twenty-four (24) hours, respondent shall notify the board verbally and in writing of the dates of departure and return. Failure to comply with this provision shall be considered a violation of probation.~~

~~**20. Abstain from Drugs and Alcohol Use** (Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Respondent shall completely abstain from the possession or use of alcohol, controlled substances, dangerous drugs and their associated paraphernalia except when the drugs are lawfully prescribed by a licensed practitioner as part of a documented medical treatment. Upon request of the board or its designee, respondent shall provide documentation from the licensed practitioner that the prescription for the drug was legitimately issued and is a necessary part of the treatment of the respondent. Failure to timely provide such documentation shall be considered a violation of probation. Respondent shall ensure that he or she is not in the same physical location as individuals who are using illicit substances even if respondent is not personally ingesting the drugs. Any possession or use of alcohol, controlled substances, or their associated paraphernalia not supported by the documentation timely provided, and/or any physical proximity to persons using illicit substances, shall be considered a violation of probation.~~

~~**21. Tolling of Suspension**~~

~~During the period of suspension, respondent shall not leave California for any period exceeding ten (10) days, regardless of purpose (including vacation). Any such absence in excess of ten (10) days during suspension shall be considered a violation of probation. Moreover, any absence from California during the period of suspension exceeding ten (10) days shall toll the suspension, i.e., the suspension shall be extended by one day for each day over ten (10) days respondent is absent from California. During any such period of tolling of suspension, respondent must nonetheless comply with all terms and conditions of probation.~~

~~Respondent must notify the board in writing within ten (10) days of departure, and must further notify the board in writing within ten (10) days of return. The failure to provide such notification(s) shall constitute a violation of probation. Upon such departure and return, respondent shall not return to work until notified by the board that the period of suspension has been satisfactorily completed.~~

~~**22. Restitution** (Appropriate in cases of drug diversion, theft, fraudulent billing, or patient harm resulting from negligence or incompetence.)~~

~~Within _____days of the effective date of this decision, respondent shall pay restitution to _____in the amount of $ _____. Failure to make restitution by this deadline shall be considered a violation of probation.~~

~~DESIGNATED REPRESENTATIVE~~

~~The board files cases against designated representatives where the violation(s) involve significant misconduct on the part of the licensee. The board believes that revocation is typically the appropriate penalty when grounds for discipline are found to exist. Grounds for discipline include, but are not limited to, the following violation(s) of law(s) involving:~~

- ~~Possession of dangerous drugs and/or controlled substances~~
- ~~Use of dangerous drugs and/or controlled substances~~
- ~~Possession for sale of dangerous drugs and/or controlled substances~~
- ~~Personal misuse of drugs or alcohol~~

~~If revocation is not imposed, the board recommends a minimum Category III level of discipline be imposed on the designated representative. This would include suspension and probation.~~

~~TERMS OF PROBATION – DESIGNATED REPRESENTATIVE~~[6]

~~A minimum three-year probation period has been established by the board as appropriate in most cases where probation is imposed. A minimum five-year probation period has been established by the board as appropriate where self-administration or diversion of controlled substances is involved. Terms and conditions are imposed to provide consumer protection and to allow the probationer to demonstrate rehabilitation. A suspension period may also be required as part of the probation order. The board prefers that any stayed order be for revocation rather than for some period of suspension.~~

~~Probation conditions are divided into two categories: (1) standard conditions that shall appear in all probation cases, and (2) optional conditions that depend on the nature and circumstances of a particular case. These conditions may vary depending on the nature of the offense(s).~~

~~The board may also impose any other condition appropriate to the case where the condition is not contrary to public policy.~~

~~CATEGORY OF VIOLATIONS AND RECOMMENDED PENALTIES~~

~~CATEGORY III - Penalty~~

~~Minimum: Revocation; Revocation stayed, 90 days actual suspension, three years probation. All standard terms and conditions shall be included and optional terms and conditions as appropriate.~~

~~Maximum: Revocation~~

~~Applies to all applicable statutes and regulations~~

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[6] ~~All information specific to Designated Representative is being removed and consolidated into Terms of Probation – Individual Licensees.~~

~~MODEL DISCIPLINARY LANGUAGE – DESIGNATED REPRESENTATIVE~~

~~The following standardized language shall be used in every decision where the order of condition is imposed.~~

~~Revocation~~

~~Designated Representative license number _____, issued to respondent _____ is revoked. Respondent shall relinquish his or her designated representative license to the board within ten (10) days of the effective date of this decision. Respondent may not petition the board for reinstatement of his or her revoked designated representative license for three (3) years from the effective date of this decision.~~

~~Respondent shall pay to the board its costs of investigation and prosecution in the amount of $_____within fifteen (15) days of the effective date of this decision.~~

~~Option:~~ ~~As a condition precedent to reinstatement of his or her revoked designated representative license respondent shall reimburse the board for its costs of investigation and prosecution in the amount of $_____. Said amount shall be paid in full prior to the reinstatement of his or her revoked designated representative license, unless otherwise ordered by the board.~~

~~Suspension~~

~~As part of probation, respondent is suspended from working as a designated representative for _____beginning the effective date of this decision.~~

~~During suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs licensed by the board, or any drug manufacturer, or any other location where dangerous drugs and devices or controlled substances are maintained. Respondent shall not perform any of the duties of a designated representative, nor do any act involving drug selection, selection of stock, manufacturing, dispensing; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and devices and controlled substances. Respondent shall not resume work until notified by the board.~~

~~Respondent shall not direct, control or perform any aspect involving the distribution of dangerous drugs and devices and controlled substances. Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed entity in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this suspension shall be considered a violation of probation.~~

~~Standard Stay/Probation Order~~

~~Designated representative license number _____is revoked; however, the revocation is stayed and respondent is placed on probation for _____years upon the following terms and conditions:~~

~~**Issuance of Probationary License** (In cases where a Statement of Issues has been filed.)~~

~~Upon satisfaction of all statutory and regulatory requirements for issuance of a license, a license shall be issued to respondent and immediately revoked; the order of revocation is stayed and respondent is placed on probation for _____years upon the following terms and conditions:~~

~~**Surrender**~~

~~Respondent surrenders designated representative license number _____as of the effective date of this decision. Respondent shall relinquish his or her designated representative license to the board within ten (10) days of the effective date of this decision.~~

~~The surrender of respondent's license and the acceptance of the surrendered license by the board shall constitute the imposition of discipline against respondent. This decision constitutes a record of discipline and shall become a part of respondent's license history with the board.~~

~~Respondent understands and agrees that if he or she ever files an application for licensure or a petition for reinstatement in the State of California, the board shall treat it as a new application for licensure.~~

~~Respondent may not apply for any license, permit or registration from the board for three (3) years from the effective date of this decision. Respondent stipulates that should he or she apply for any license from the board on or after the effective date of this decision, all allegations set forth in the [accusation or petition to revoke probation] shall be deemed to be true, correct and admitted by respondent when the board determines whether to grant or deny the application. Respondent shall satisfy all requirements applicable to that license as of the date the application is submitted to the board prior to issuance of a new license. Respondent is required to report this surrender as disciplinary action.~~

~~Respondent further stipulates that he or she shall reimburse the board for its costs of investigation and prosecution in the amount of $_____within _____days of the effective date of this decision.~~

~~**Option:** Respondent stipulates that should he or she apply for any license from the board on or after the effective date of this decision, investigation and prosecution costs in the amount of $_____shall be paid to the board prior to issuance of the new license.~~

~~**Public Reprimand**~~

~~It is hereby ordered that a public reprimand be issued against designated representative license, _____. Respondent is required to report this reprimand as a disciplinary action.~~

~~**Adoption of Stipulation**~~

~~It is understood by respondent that, in deciding whether to adopt this stipulation, the board may receive oral and written communication from its staff and the Office of the Attorney General. Communications pursuant to this paragraph shall not disqualify the board or other persons from future participation in this or any other matter affecting respondent. In the event this settlement is not adopted by the board, the stipulation will not become effective and may not be used for any purpose, except this paragraph, which shall remain in effect.~~

~~**STANDARD CONDITIONS** – To be included in all probation decisions/orders.~~

~~1. Obey All Laws~~
~~2. Report to the Board~~
~~3. Interview with the Board~~
~~4. Cooperate with Board Staff~~
~~5. Notice to Employers~~
~~6. No Being Designated Representative-in-Charge~~
~~7. Reimbursement of Board Costs~~
~~8. Probation Monitoring Costs~~
~~9. Status of License~~
~~10. License Surrender While on Probation/Suspension~~
~~11. Notification of a Change in Name, Residence Address, Mailing Address or Employment~~
~~12. Tolling of Probation~~
~~13. Violation of Probation~~
~~14. Completion of Probation~~

~~**OPTIONAL CONDITIONS**~~

~~15. No Ownership of Licensed Premises~~
~~16. Attend Substance Abuse Recovery Relapse Prevention and Support Groups~~
~~17. Random Drug Screening~~
~~18. Work Site Monitor~~
~~19. Notification of Departure~~
~~20. Abstain from Drugs and Alcohol Use~~
~~21. Tolling of Suspension~~
~~22. Restitution~~

~~STANDARD CONDITIONS - TO BE INCLUDED IN ALL PROBATIONS~~

~~1. Obey All Laws~~

~~Respondent shall obey all state and federal laws and regulations.~~

~~Respondent shall report any of the following occurrences to the board, in writing, within seventy-two (72) hours of such occurrence:~~

- ~~an arrest or issuance of a criminal complaint for violation of any provision of the Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws~~
- ~~an arrest or issuance of a criminal complaint for violation of any state or federal law~~
- ~~a plea of guilty or nolo contendre in any state or federal criminal proceeding to any criminal complaint, information or indictment~~
- ~~a conviction of any crime~~
- ~~discipline, citation, or other administrative action filed by any state or federal agency which involves respondent's _____license or which is related to the practice of pharmacy or the manufacturing, obtaining, handling or distribution or billing or charging for of any drug, device or controlled substance.~~

~~Failure to timely report any such occurrence shall be considered a violation of probation.~~

~~2. Report to the Board~~

~~Respondent shall report to the board quarterly, on a schedule as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.~~

~~3. Interview with the Board~~

~~Upon receipt of reasonable prior notice, respondent shall appear in person for interviews with the board or its designee, upon request at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear for two (2) or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.~~

~~4. Cooperate with Board Staff~~

~~Respondent shall cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of his or her probation. Failure to cooperate shall be considered a violation of probation.~~

~~5. Notice to Employers~~

~~During the period of probation, respondent shall notify all present and prospective employers of the decision in case number _____and the terms, conditions and restrictions imposed on respondent by the decision, as follows:~~

~~Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment, respondent shall cause his or her direct supervisor, designated representative-in-charge (including each new designated representative-in-charge employed during respondent's tenure of employment) and owner to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in case number _____and terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that his or her employer(s) and/or supervisor(s) submit timely acknowledgement(s) to the board.~~

~~If respondent works for or is employed by or through a pharmacy employment service, respondent must notify his or her direct supervisor, designated representative-in-charge and owner at each entity licensed by the board of the terms and conditions of the decision in case number _____in advance of the respondent commencing work at each licensed entity. A record of this notification must be provided to the board upon request.~~

~~Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment by or through a pharmacy employment service, respondent shall cause his or her direct supervisor with the pharmacy employment service to report to the board in writing acknowledging that he or she has read the decision in case number _____and the terms and conditions imposed thereby. It shall be the respondent's responsibility to ensure that his or her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.~~

~~Failure to timely notify present or prospective employer(s) or to cause that/those employer(s) to submit timely acknowledgements to the board shall be considered a violation of probation.~~

> ~~"Employment" within the meaning of this provision shall include any full-time, part-time, temporary or relief service or pharmacy management service as a designated representative or in any position for which a designated representative license is a requirement or criterion for employment, whether the respondent is considered an employee or independent contractor or volunteer.~~

~~6. No Being Designated Representative-in-Charge~~

~~During the period of probation, respondent shall not be the designated representative-in-charge of any entity licensed by the board unless otherwise specified in this order. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.~~

~~7. Reimbursement of Board Costs~~

~~As a condition precedent to successful completion of probation, respondent shall pay to the board its costs of investigation and prosecution in the amount of $_____. Respondent shall make said payments as follows: _____. There shall be no deviation from this schedule absent prior written approval by the board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.~~

~~The filing of bankruptcy by respondent shall not relieve respondent of his or her responsibility to reimburse the board its costs of investigation and prosecution.~~

~~8. Probation Monitoring Costs~~

~~Respondent shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.~~

~~9. Status of License~~

~~Respondent shall, at all times while on probation, maintain an active, current designated representative license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a violation of probation.~~

~~If respondent's designated representative license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon renewal or reapplication respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.~~

~~10. License Surrender While on Probation/Suspension~~

~~Following the effective date of this decision, should respondent cease work due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent may tender his or her designated representative license to the board for surrender. The board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the respondent's license history with the board.~~

~~Upon acceptance of the surrender, respondent shall relinquish his or her designated representative license to the board within ten (10) days of notification by the board that the surrender is accepted. Respondent may not reapply for any license, permit, or registration from the board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the board.~~

~~11. Notification of a Change in Name, Residence Address, Mailing Address or Employment~~

~~Respondent shall notify the board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving and the address of the new employer, supervisor and owner and work schedule, if known. Respondent shall further notify the board in writing within ten (10) days of a change in name, residence address and mailing address, or phone number.~~
~~Failure to timely notify the board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.~~

~~12. Tolling of Probation~~

~~Except during periods of suspension, respondent shall, at all times while on probation, be employed as a designated representative in California for a minimum of _____hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation.~~

~~Should respondent, regardless of residency, for any reason (including vacation) cease working as a designated representative for a minimum of _____hours in California, respondent must notify the board in writing within ten (10) days of cessation of work and must further notify the board in writing within ten (10) days of the resumption of work. Any failure to provide such notification(s) shall be considered a violation of probation.~~

~~It is a violation of probation for respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.~~

> ~~"Cessation of work" means any calendar month during which respondent is not working as a designated representative for at least _____hours as a designated representative as defined by Business and Professions Code section 4053.~~
> ~~"Resumption of work" means any calendar month during which respondent is working as a designated representative for at least _____hours as a designated representative as defined by Business and Professions Code section 4053.~~

~~13. Violation of Probation~~

~~If a respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and probation shall automatically be extended until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.~~

~~If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against respondent during probation, the board shall have continuing jurisdiction, and the period of probation shall be automatically extended, until the petition to revoke probation or accusation is heard and decided.~~

~~14. Completion of Probation~~

~~Upon written notice by the board indicating successful completion of probation, respondent's designated representative license will be fully restored.~~

~~**OPTIONAL CONDITIONS OF PROBATION**~~

~~**15. No Ownership of Licensed Premises**~~

~~Respondent shall not own, have any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the board. Respondent shall sell or transfer any legal or beneficial interest in any entity licensed by the board within ninety (90) days following the effective date of this decision and shall immediately thereafter provide written proof thereof to the board. Failure to timely divest any legal or beneficial interest(s) or provide documentation thereof shall be considered a violation of probation.~~

~~**Option:** Respondent shall not acquire any new ownership, legal or beneficial interest nor serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any additional business, firm, partnership, or corporation licensed by the board. If respondent currently owns or has any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the board, respondent may continue to serve in such capacity or hold that interest, but only to the extent of that position or interest as of the effective date of this decision. Violation of this restriction shall be considered a violation of probation.~~

~~**16. Attend Substance Abuse Recovery Relapse Prevention and Support Groups** (Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Within thirty (30) days of the effective date of this decision, respondent shall begin regular attendance at a recognized and established substance abuse recovery support group in California, (e.g., Alcoholics Anonymous, Narcotics Anonymous, etc.) which has been approved by the board or its designee. Respondent must attend at least one group meeting per week unless otherwise directed by the board or its designee. Respondent shall continue regular attendance and submit signed and dated documentation confirming attendance with each quarterly report for the duration of probation. Failure to attend or submit documentation thereof shall be considered a violation of probation.~~

~~**17. Random Drug Screening** (Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Respondent, at his or her own expense, shall participate in random testing, including but not limited to biological fluid testing (urine, blood), breathalyzer, hair follicle testing, or other drug screening program as directed by the board or its designee. Respondent may be required to participate in testing for the entire probation period and the frequency of testing will be determined by the board or its designee. At all times respondent shall fully cooperate with the board or its designee, and shall, when directed, submit to such tests and samples for the detection of alcohol, narcotics, hypnotics, dangerous drugs or other controlled substances as the board or its designee may direct. Failure to timely submit to testing as directed shall be considered a violation of probation. Upon request of the board or its designee, respondent shall provide documentation from a licensed practitioner that the prescription for a detected drug was legitimately issued and is a necessary part of the treatment of the respondent. Failure to timely provide such documentation shall be considered a violation of probation. Any confirmed positive test for alcohol or for any drug not lawfully prescribed by a licensed practitioner as part of a documented medical treatment shall be considered a violation of probation and shall result~~

~~in the automatic suspension of work by respondent. Respondent may not resume work as a designated representative until notified by the board in writing.~~

~~During suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs licensed by the board, or any drug manufacturer, or any other location where dangerous drugs and devices or controlled substances are maintained. Respondent shall not perform any of the duties of a designated representative, nor do any act involving drug selection, selection of stock, manufacturing, dispensing; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and devices and controlled substances. Respondent shall not resume work until notified by the board.~~

~~Respondent shall not direct, control or perform any aspect involving the distribution of dangerous drugs and devices and controlled substances. Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed entity in which he or she holds an interest at the time this decision becomes effective unless otherwise specified in this order.~~

~~Failure to comply with this suspension shall be considered a violation of probation.~~

~~**18. Work Site Monitor** (Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Within ten (10) days of the effective date of this decision, respondent shall identify a work site monitor, for prior approval by the board, who shall be responsible for supervising respondent during working hours. Respondent shall be responsible for ensuring that the work site monitor reports in writing to the board quarterly. Should the designated work site monitor determine at any time during the probationary period that respondent has not maintained sobriety, he or she shall notify the board immediately, either orally or in writing as directed. Should respondent change employment, a new work site monitor must be designated, for prior approval by the board, within ten (10) days of commencing new employment. Failure to identify an acceptable initial or replacement work site monitor, or to ensure quarterly reports are submitted to the board, shall be considered a violation of probation.~~

~~**19. Notification of Departure** (Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Prior to leaving the probationary geographic area designated by the board or its designee for a period greater than twenty-four (24) hours, respondent shall notify the board verbally and in writing of the dates of departure and return. Failure to comply with this provision shall be considered a violation of probation.~~

~~**20. Abstain from Drugs and Alcohol Use** (Appropriate for those cases with chemical dependency (alcohol, drugs))~~

~~Respondent shall completely abstain from the possession or use of alcohol, controlled substances, dangerous drugs and their associated paraphernalia except when the drugs are lawfully prescribed by a licensed practitioner as part of a documented medical treatment. Upon request of the board or its designee, respondent shall provide documentation from the licensed practitioner that the prescription for the drug was legitimately issued and is a necessary part of~~

~~the treatment of the respondent. Failure to timely provide such documentation shall be considered a violation of probation. Respondent shall ensure that he or she is not in the same physical location as individuals who are using illicit substances even if respondent is not personally ingesting the drugs. Any possession or use of alcohol, controlled substances, or their associated paraphernalia not supported by the documentation timely provided, and/or any physical proximity to persons using illicit substances, shall be considered a violation of probation.~~

~~21. Tolling of Suspension~~

~~During the period of suspension, respondent shall not leave California for any period exceeding ten (10) days, regardless of purpose (including vacation). Any such absence in excess of ten (10) days during suspension shall be considered a violation of probation. Moreover, any absence from California during the period of suspension exceeding ten (10) days shall toll the suspension, i.e., the suspension shall be extended by one day for each day over ten (10) days respondent is absent from California. During any such period of tolling of suspension, respondent must nonetheless comply with all terms and conditions of probation.~~

~~Respondent must notify the board in writing within ten (10) days of departure, and must further notify the board in writing within ten (10) days of return. The failure to provide such notification(s) shall constitute a violation of probation. Upon such departure and return, respondent shall not resume work until notified by the board that the period of suspension has been satisfactorily completed.~~

~~22. Restitution~~ ~~(Appropriate in cases of drug diversion, theft, fraudulent billing, or patient harm resulting from negligence or incompetence.)~~

~~Within~~ _____ ~~days of the effective date of this decision, respondent shall pay restitution to~~ _____ ~~in the amount of $~~ _____. ~~Failure to make restitution by this deadline shall be considered a violation of probation.~~

TERMS OF PROBATION – PREMISES

A ~~minimum~~ three-year probation period has been established by the board as the minimum appropriate length in most cases where probation is imposed. A minimum five-year probation period has been established by the board as appropriate where self-administration or diversion of dangerous drugs or devices or controlled substances has occurred at a licensed premises. Terms and conditions are imposed to provide consumer protection. A suspension period may also be required as part of the probation order. The board prefers that any stayed order be for revocation rather than for some period of suspension.

Probation conditions are divided into two categories: (1) standard conditions that shall appear in all probation cases, and (2) optional conditions that depend on the nature and circumstances of a particular case. These conditions may vary depending on the nature of the offense(s).

The board may also impose any other condition appropriate to the case where the condition is not contrary to public policy.

CATEGORIES OF VIOLATIONS AND RECOMMENDED PENALTIES

The California Pharmacy Law identifies offenses for which the board may take disciplinary action against a license. Included among grounds for discipline are violations of the Pharmacy Law itself, violations of regulations promulgated by the board, and violations of other state or federal statutes or regulations.

~~The following are categories of possible violations used by the board to determine appropriate disciplinary penalties. These categories represent the judgment of the board as to the perceived seriousness of particular offenses.~~

For those licenses issued to premises the board has identified four (4) categories of violations and associated recommended minimum and maximum penalties for each. These categories of violations are arranged in ascending order from the ~~less~~ least serious (Category I) to the most serious (Category IV), although any violation in any category, or any combination of violation(s) in one or more categories, may merit revocation.

~~Under each category, the board has grouped statutes and regulations where violations would typically merit the recommended range of minimum to maximum penalties for that category. These lists are representative, and are not intended to be comprehensive or exclusive.~~ For each violation category, the board has given offense descriptions and examples where violations would typically merit the recommended range of minimum to maximum penalties for that category. These descriptions and examples are representative, and are not intended to be comprehensive or exclusive. Where a violation not included in these lists is a basis for disciplinary action, the appropriate penalty for that violation may be best derived by comparison to any analogous violation(s) that are included. Where no such analogous violation is listed, the category descriptions may be consulted.

These categories assume a single violation ~~of each listed statute or regulation~~. For multiple violations, the appropriate penalty shall increase accordingly. Moreover, if a~~n~~ ~~individual~~ respondent has committed violations in more than one category, the minimum and maximum penalties shall be those recommended in the highest category.

The board also has the authority, pursuant to Business and Professions Code section 4301(n), to impose discipline based on disciplinary action taken by another jurisdiction. The discipline imposed by the board will depend on the discipline imposed by the other jurisdiction, the extent of the respondent's compliance with the terms of that discipline, the nature of the conduct for which the discipline was imposed, and other factors set forth in these guidelines.

CATEGORY I

Minimum: Revocation; Revocation stayed; ~~one~~ two years probation. All standard terms and conditions shall be included and the disciplinary order may include optional terms and conditions, as appropriate.

Maximum: Revocation

Category I discipline is recommended for violations which are less serious than Categories II through IV but are potentially harmful:

- violation(s) of recordkeeping requirements, scope of practice requirements, or inventory control requirements;
- smaller or isolated failure(s) to abide by or enforce prescription or refill requirements, drug-substitution requirements, or labeling requirements;
- violation(s) of obligations to supply or update information to the board, or to other enforcement or regulatory agencies;
- failure(s) to adequately supervise staff to ensure security and sanitation of premises, dangerous drugs and/or dangerous devices or controlled substances;
- violation(s) of packaging requirements, security control requirements, or reporting requirements; and
- failure(s) to display original license(s), or to supply name(s) of owner(s), manager(s), or employee(s).
- violation(s) involving the improper compounding of drug products
- ~~violations which are relatively minor but are potentially harmful~~
- ~~repeated violations of a relatively minor nature~~

~~Violations of the following codes are representative of this category:~~

~~**BUSINESS AND PROFESSIONS CODE**~~

~~**Article 3. Scope of Practice and Exemptions**~~

~~4053 Exemptee Supervisor of Manufacturer, etc.: Requirements~~
~~4054 Supply by Manufacturer, etc. of Certain Dialysis Drugs and Devices~~
~~4056 Purchase of Drugs at Wholesale – Hospital Containing 100 Beds or Less~~
~~4057 Exceptions to Application of this Chapter~~
~~4058 Display of Original License~~
~~4062 Furnishing Dangerous Drugs During Emergency~~
~~4064 Emergency Refill of Prescription Without Prescriber Authorization~~
~~4065 Injection Card System; Requirements for Administration~~
~~4066 Furnishing Dangerous Drugs to Master or First Officer of Vessel~~

~~Article 4. Requirements for Prescription~~

~~4070 Reduction of Oral or Electronic Prescription to Writing~~
~~4071 Prescriber May Authorize Agent to Transmit Prescription; Schedule II Excluded~~
~~4072 Oral or Electronic Transmission of Prescription - Health Care Facility~~
~~4073 Substitution of Generic Drug - Requirements and Exceptions~~
~~4074 Drug Risk: Informing Patient; Providing Consultation for Discharge Medications~~
~~4076 Prescription Container - Requirements for Labeling~~
~~4077 Dispensing Dangerous Drug in Incorrectly Labeled Container~~

~~Article 5. Authority of Inspectors~~

~~4082 Names of Owners, Managers and Employees Open for Inspection~~

~~Article 6. General Requirements~~

~~4100 Change of Address or Name – Notification to Board~~
~~4103 Blood Pressure - Taking by Pharmacist~~

~~Article 7. Pharmacies~~

~~4114 Intern Pharmacist: Activities Permitted~~
~~4119.5 Transfer or Repackaging Dangerous Drugs by Pharmacy~~
~~4120 Nonresident Pharmacy: Registration Required~~
~~4121 Advertisement for Prescription Drug: Requirements; Restrictions~~
~~4122 Required Notice at Availability of Prescription Price Information, General Product Availability, Pharmacy Services; Providing Drug Price Information; Limitations on Price Information Requests~~
~~4123 Compounding Drug for Other Pharmacy for Parenteral Therapy; Notice to Board~~
~~4124 Dispensing Replacement Contact Lenses: Requirements; Patient Warnings; Registration with Medical Board; Application of Section to Nonresident Pharmacies~~

~~Article 9. Hypodermic Needles and Syringes~~

~~4141 Furnishing Without License~~
~~4142 Prescription Required~~
~~4143 Exemption: Sale to Other Entity, Physician, etc.~~
~~4144 Industrial Use Exception~~
~~4145 Exception: Furnishing for Administration of Insulin, Adrenaline, or Specified Animal Uses; Conditions~~
~~4148 Confiscation if Found Outside Licensed Premises~~
~~4149 Sale by Distributor~~

~~Article 10. Pharmacy Corporations~~

~~4151 Licensure Requirements~~
~~4152 Corporate Name Requirements~~
~~4153 Shareholder Income While Disqualified~~
~~4156 Unprofessional Conduct by Corporation~~

~~Article 11. Wholesalers and Manufacturers~~

~~4161 Nonresident Wholesaler: When License Required; Application~~

~~4162 Issuance or Renewal of Wholesaler License; Surety Bond~~
~~4164 Reports Required~~
~~4165 Sale or Transfer of Dangerous Drug or Device Into State: Furnishing Records to Authorized Officer on Demand; Citation for Non-compliance~~
~~4166 Shipping of Dangerous Drugs or Devices – Wholesaler or Distributor~~
~~4167 Wholesaler: Bar on Obtaining Dangerous Drugs or Devices It Cannot Maintain on Licensed Premises~~

~~Article 13. Non-Profit or Free Clinics~~

~~4180 Purchase of Drugs at Wholesale Only with License: Eligible Clinics~~
~~4181 License Requirements; Policies and Procedures; Who May Dispense~~
~~4182 Duties of Professional Director; Consulting Pharmacist Required~~
~~4183 No Professional Dispensing Fee~~
~~4184 Dispensing Schedule II Substance Prohibited~~
~~4186 Automated Drug Delivery Systems~~

~~Article 14. Surgical Clinics~~

~~4190 Purchase of Drugs at Wholesale: Permitted Uses of Drugs; Required Records and Policies; License Required~~
~~4191 Compliance with Department of Health Services Requirements; Who May Dispense Drugs~~
~~4192 Duties of Professional Director; Providing Information to Board~~
~~4193 Clinic Not Eligible for Professional Dispensing Fee; Ban on Offering Drugs for Sale~~
~~4194 Dispensing of Schedule II Substance by Clinic Prohibited; Physician May Dispense; Administration Authorized in Clinic~~

~~Article 15. Veterinary Food-Animal Drug Retailers~~

~~4196 License Required: Temporary License on Transfer of Ownership; Persons Authorized in Storage Area~~
~~4197 Minimum Standards: Security; Sanitation; Board Regulations; Waivers~~
~~4198 Written Policies and Procedures Required: Contents; Training of Personnel; Quality Assurance; Consulting Pharmacist~~

~~Article 17. Continuing Education~~

~~4231 Requirements for Renewal of Pharmacist License: Clock Hours; Exemption for New Licensee~~
~~4232 Content of Courses~~

~~Article 18. Poisons~~

~~4240 Application of Act~~

~~Article 20. Prohibitions and Offenses~~

~~4341 Advertisement of Prescription Drugs or Devices~~
~~4343 Buildings: Prohibition Against Use of Certain Signs Unless Licensed Pharmacy Within~~

~~CALIFORNIA CODE OF REGULATIONS, TITLE 16~~

~~1704 Change of Address~~
~~1705 Notification of Bankruptcy, Receivership or Liquidation~~
~~1708.2 Discontinuance of Business~~
~~1708.4 Pharmacist Handling Radioactive Drugs~~
~~1708.5 Pharmacy Furnishing Radioactive Drugs~~
~~1709 Names of Owners and Pharmacist in Charge~~
~~1714 Operational Standards and Security~~
~~1715.6 Reporting Drug Loss~~
~~1716 Variation from Prescriptions~~
~~1717 Pharmaceutical Practice~~
~~1717.1 Common Electronic Files~~
~~1717.4 Electronic Transmission of Prescriptions~~
~~1718.1 Manufacturer's Expiration Date~~
~~1726 Supervision of Intern Pharmacists~~
~~1728 Requirements for Examination~~
~~1732.1 Requirements for Accredited Providers~~
~~1732.3 Requirements for Continuing Education Courses~~
~~1732.4 Provider Audit Requirements~~
~~1732.5 Renewal Requirements for Pharmacist~~
~~1744 Drug Warnings~~
~~1751 Sterile Injectable Compounding Area~~
~~1751.01 Facility and Equipment Standards for Sterile Injectable Compounding from Non-Sterile Ingredients~~
~~1751.02 Policies and Procedures~~
~~1751.11 Furnishing to Home Health Agencies and Licensed Hospices~~
~~1751.12 Obligations of a Pharmacy Furnishing Portable Containers~~
~~1771 Posting of Notice of Suspension~~
~~1772 Disciplinary Condition of Suspension~~
~~1780 Minimum Standards for Wholesalers~~
~~1780.1 Minimum Standards for Veterinary Food-Animal Drug Retailers~~
~~1781 Exemption Certificate~~
~~1786 Exemptions~~
~~1787 Authorization to Distribute Hemodialysis Drugs and Devices~~
~~1790 Assembling and Packaging~~
~~1791 Labeling~~
~~1792 Receipt for Shipment~~

~~HEALTH AND SAFETY CODE~~

~~11100 Report of Certain Chemical: Chemicals Included; Exclusions; Penalties~~
~~11100.1 Report of Chemicals Received from Outside State; Penalties~~
~~11151 Limitation on Filling Prescriptions From Medical Students~~
~~11158 Prescription Required for Schedule II, III, IV, or V Controlled Substance; Exception for Limited Dispensing, Administration~~
~~11159 Chart Order Exemption for Patient in County or Licensed Hospital; Maintaining Record for Seven Years~~

~~11159.1 Chart Order Exemption for Clinic Patient; Maintaining Record for Seven Years~~
~~11159.2 Exception to Triplicate Prescription Requirement~~
~~11167 Emergency Dispensing of Schedule II Substance: Circumstances and Requirements~~
~~11167.5 Oral or Electronic Prescriptions for Schedule II Controlled Substance for Specified Inpatients, Residents, and Home Hospice Patients; Requirements~~
~~11171 Prescribing, etc. Controlled Substance Only as Authorized~~
~~11172 Antedating or Postdating Prescription Prohibited~~
~~11175 Prohibition on Obtaining or Possessing Nonconforming Prescription; Prohibition on Obtaining Controlled Substance by Nonconforming Prescription~~
~~11180 Prohibition on Controlled Substance Obtained or Possessed by Nonconforming Prescription~~
~~11200 Restrictions on Dispensing or Refilling; Refill of Schedule II Prescription Barred~~
~~11201 Emergency Refill of Schedule III, IV, or V Prescription; Circumstances; Requirements~~
~~11205 Maintenance and Retention of Records in Separate File~~
~~11206 Required Information on Prescription~~
~~11209 Delivery and Receiving Requirements for Schedule II, III, and IV Substances; Violation~~
~~11210 Issuing Prescription: By Whom; For What Purpose; Quantity to Be Prescribed~~
~~11250 Authorized Retail Sale by Pharmacists to Physicians, etc.; Required Order Form~~
~~11251 Authorized Wholesale Sale by Pharmacists~~
~~11252 Preservation of Federally Required Forms~~
~~11253 Duration of Retention~~
~~11255 Actions Constituting Sale~~
~~11256 Required Report of Order By or Sale to Out-of-State Wholesaler or Manufacturer~~
~~111225 to~~
~~111655 Adulterated or Misbranded Drugs or Devices~~

~~CODE OF FEDERAL REGULATIONS, TITLE 21~~

~~1301.13 Application for registration; time for application; expiration date; registration for independent activities; application forms, fees, contents and signature; coincident activities.~~
~~1301.14 Filing of application; acceptance for filing; defective applications.~~
~~1301.71 Security requirements generally.~~
~~1301.72 Physical security controls for non-practitioners; narcotic treatment programs and compounders for narcotic treatment programs; storage areas.~~
~~1301.73 Physical security controls for non-practitioners; compounders for narcotic treatment programs; manufacturing and compounding areas.~~
~~1301.74 Other security controls for non-practitioners; narcotic treatment programs and compounders for narcotic treatment programs.~~
~~1301.77 Security controls for freight forwarding facilities.~~
~~1301.90 Employee screening procedures.~~
~~1301.91 Employee responsibility to report drug diversion.~~
~~1301.92 Illicit activities by employees.~~
~~1302.03 Symbol required; exceptions.~~
~~1302.04 Location and size of symbol on label and labeling.~~
~~1302.05 Effective dates of labeling requirements.~~
~~1302.06 Sealing of controlled substances.~~
~~1302.07 Labeling and packaging requirements for imported and exported substances.~~

~~1304.11 Inventory requirements.~~
~~1304.31 Reports from manufacturers importing narcotic raw material.~~
~~1304.32 Reports of manufacturers importing coca leaves.~~
~~1304.33 Reports to ARCOS.~~
~~1305.03 Distributions requiring a Form 222 or a digitally signed electronic order.~~
~~1305.04 Persons entitled to order Schedule I and II controlled substances.~~
~~1305.05 Power of attorney.~~
~~1305.06 Persons entitled to fill orders for Schedule I and II controlled substances.~~
~~1305.11 Procedure for obtaining DEA Forms 222.~~
~~1305.12 Procedure for executing DEA Forms 222.~~
~~1305.14 Procedure for endorsing DEA Forms 222.~~
~~1305.15 Unaccepted and defective DEA Forms 222.~~
~~1305.16 Lost and stolen DEA Forms 222.~~
~~1306.03 Persons entitled to issue prescriptions.~~
~~1306.05 Manner of issuance of prescriptions.~~
~~1306.14 Labeling of substances and filling of prescriptions.~~
~~1306.24 Labeling of substances and filing of prescriptions.~~
~~1306.25 Transfer between pharmacies of prescription information for Schedules III, IV, and V controlled substances for refill purposes.~~
~~1306.26 Dispensing without a prescription.~~
~~1307.11 Distribution by dispenser to another practitioner or reverse distributor.~~
~~1307.12 Distribution to supplier or manufacturer.~~
~~1307.13 Incidental manufacture of controlled substances.~~
~~1307.21 Procedure for disposing of controlled substances.~~
~~1700.1 to~~
~~1707.15 Child-resistant containers.~~

CATEGORY II

Minimum: Revocation; Revocation stayed, three years probation (five years probation where self-administration or diversion of dangerous drugs and/or dangerous devices or controlled substances occurred at the licensed premises). All standard terms and conditions shall be included and the disciplinary order may include optional terms and conditions, as appropriate.

Maximum: Revocation

Category II discipline is recommended for violations with serious potential for harm, as well as for violations involving disregard for public safety or for laws or regulations pertaining to pharmacy and/or to the dispensing or distributing of dangerous drugs and/or dangerous devices or controlled substances, violations that reflect on ethics, competency, or diligence, and criminal convictions not involving alcohol, dangerous drugs and/or dangerous devices, or controlled substances. Violations in this category may include:

- failure(s) to abide by prohibitions on referral rebates or discounts (kickbacks) and/or volume or percentage-based lease agreements;
- violation(s) of advertising or marketing limitations, including use of false or misleading advertising or marketing;
- repeat or serious violation(s) of recordkeeping requirements, scope of practice requirements, or inventory control requirements;

- violation(s) of controlled substance secure prescription requirements, inventory controls, or security requirements;
- failure(s) to meet compliance requirements, including pharmacist-in-charge or designated representative-in-charge designation and duties;
- violation(s) of monitoring and reporting requirements with regard to chemically, mentally, or physically impaired licensees or employees;
- repeat or serious failure(s) to adequately supervise staff or ensure security and sanitation of premises, dangerous drugs and/or dangerous devices or controlled substances;
- violation(s) of laws governing dangerous drugs and/or dangerous devices and controlled substances, including smaller cases of diversion or self-administration;
- unlawful possession(s) of dangerous drugs and/or dangerous devices, controlled substances, hypodermic needles or syringes, or drug paraphernalia;
- smaller scale dispensing or furnishing of dangerous drugs and/or dangerous devices via the internet, without a valid prescription;
- purchasing, trading, selling, or transferring dangerous drugs and/or dangerous devices to or from unauthorized person(s);
- failure(s) to make required reports to the board or to other regulatory agencies, including CURES obligations and reporting to the DEA;
- violation(s) of quality assurance and self-assessment obligations, failure(s) to ensure properly trained staff and conduct practice safely;
- failure~~(s)~~ to perform drug utilization reviews, monitor patient medication profiles, or promote safety and efficacy of prescribed drugs or devices or controlled substances;
- repeat or serious deviation(s) from the requirements of prescription(s) or failure(s) to clarify erroneous or uncertain prescription(s);
- gross immorality, incompetence, gross negligence, clearly excessive furnishing of controlled substances, moral turpitude, dishonestly, or fraud;
- criminal conviction(s) not involving alcohol, dangerous drugs and/or dangerous devices or controlled substances;
- violating, assisting in or abetting violation of, or conspiring to violate the laws and regulations governing pharmacy; and
- subverting or attempting to subvert an investigation conducted by the board.
- repeat or serious violation(s) involving the improper compounding of drug products

- ~~violations with a serious potential for harm~~
- ~~violations which involve greater disregard for pharmacy law and public safety~~
- ~~violations which reflect on ethics, care exercised or competence or a criminal conviction not involving dangerous drugs or controlled substances or involving possession or use of dangerous drugs or controlled substances.~~

~~Violations of the following codes are representative of this category:~~

~~BUSINESS AND PROFESSIONS CODE~~

~~650 Rebates or Discounts for Referral Prohibited~~
~~650.1 Lease Prohibition – Hospitals or Prescribers~~
~~651 Professional Advertising Requirements~~

~~Article 3. Scope of Practice and Exemptions~~

~~4051(b) Conduct Authorized by Pharmacist~~
~~4052 Furnishing to Prescriber; Permissible Procedures by Pharmacist in Health Care~~

~~Facility or Clinic or for Other Health Care Provider~~
~~4060 Controlled Substance – Prescription Required; Exceptions~~
~~4061 Distribution of Drug as Sample; Written Request Required~~
~~4064 Emergency Refill of Prescription Without Prescriber Authorization~~
~~4067 Internet; Dispensing Dangerous Drugs or Devices without Prescription~~
~~4075 Proof of Identity Required – Oral or Electronic Prescription~~
~~4078 False or Misleading Label on Prescription~~

~~Article 6. General Requirements~~

~~4101 Pharmacist in Charge, Exemptee: Termination of Employment; Notification to Board~~
~~4104 Licensed Employee, Theft or Impairment: Pharmacy Procedures~~
~~4105 Retaining Records of Dangerous Drugs and Devices on Licensed Premises; Temporary Removal; Waivers; Access to Electronically Maintained Records~~

~~Article 7. Pharmacies~~

~~4112 Nonresident Pharmacy: Registration; Provision of Information to Board; Maintaining Records; Patient Consultation~~
~~4113 Pharmacist in Charge: Notification to Board; Responsibilities~~
~~4115 Pharmacy Technician: Activities Permitted; Required Supervision; Activities Limited to Pharmacist; Registration; Requirements for Registration; Ratios~~
~~4115.5 Pharmacy Technician Trainee; Placement; Supervision; Requirements~~
~~4116 Security of Dangerous Drugs and Devices in Pharmacy: Pharmacist Responsibility for Individuals on Premises; Regulations~~
~~4117 Admission to Area Where Narcotics are Stored, etc. – Who May Enter~~
~~4120 Nonresident Pharmacy: Registration Required~~
~~4125 Pharmacy Quality Assurance Program Required; Records Considered Peer Review Documents~~

~~Article 9. Hypodermic Needle and Syringes~~

~~4140 Unlawful Possession~~
~~4147 Disposal of Needle or Syringe~~

~~Article 11. Wholesalers and Manufacturers~~

~~4161 Nonresident Wholesaler: When License Required; Application~~
~~4163 Unauthorized Furnishing by Manufacturer or Wholesale~~
~~4164 Reports Required~~
~~4169(a)(1) Prohibited Acts~~

~~Article 13. Non-Profit of Free Clinics~~

~~4185 Inspection Permitted~~

~~Article 14. Surgical Clinics~~

~~4195 Inspection Permitted~~

~~Article 19. Disciplinary Proceedings~~

~~4301 Unprofessional Conduct - subsections (a)-(h), (j), and (l) - (q)~~

~~4302 Discipline of Corporate Licensee for Conduct of Officer, Director, Shareholder~~
~~4303 Nonresident Pharmacy: Grounds for Discipline~~
~~4304 Out-of-state Distributor: Authority to Discipline~~
~~4305 Disciplinary Grounds: Failure of Pharmacy, Pharmacist to Notify Board of Termination of Pharmacist in Charge; Continuing to Operate Without Pharmacist~~
~~4305.5 Disciplinary Grounds: Failure of Other Entity Licensed by Board, of Pharmacist or Exemptee to Notify Board of Termination of Pharmacist in Charge or Exemptee; Continuing to Operate Without Pharmacist or Exemptee~~
~~4306 Violation of Professional Corporation Act as Unprofessional Conduct~~
~~4306.5 Misuse of Education, etc. by Pharmacist Outside Course of Practice of Pharmacy as Unprofessional Conduct~~

~~Article 20. Prohibitions and Offenses~~

~~4326 Misdemeanor: Obtaining Needle or Syringe by Fraud, etc.; Unlawful Use of Needle or Syringe Obtained from Another~~
~~4328 Misdemeanor: Permitting Compounding, Dispensing, or Furnishing by Non-pharmacist~~
~~4330 Misdemeanor: Non-pharmacist Owner Failing to Place Pharmacist in Charge, Dispensing or Compounding Except by Pharmacist, Interfering with Pharmacist in Charge~~
~~4331 Misdemeanor: Medical Device Retailer, Wholesaler, Veterinary Food-Animal Drug Retailer Failing to Place Pharmacist or Exemptee in Charge, Permitting Dispensing or Compounding Except by Pharmacist or Exemptee~~
~~4333 Maintaining Prescriptions, Other Drug Records on Premises, Open to Inspection; Waiver; Willful Failure to Keep or Permit Inspection of Records of Prescriptions, Other Records as Misdemeanor~~
~~4340 Unlawful Advertising by Nonresident Pharmacy Not Registered with Board~~

~~Article 22. Unfair Trade Practices~~

~~4380 Resale of Preferentially Priced Drugs; Prohibition; Exceptions~~
~~4382 Board May Audit Sales to Walk-in Customers~~

~~CALIFORNIA CODE OF REGULATIONS, TITLE 16~~

~~1707.1 Duty to Maintain Medication Profiles (Patient Medication Records)~~
~~1707.2 Notice to Consumers and Duty to Consult~~
~~1707.3 Duty to Review Drug Therapy and Patient Medication Record Prior to Deliver~~
~~1709.1 Designation of Pharmacist in Charge~~
~~1714.1 Pharmacy Operation During Temporary Absence of a Pharmacist~~
~~1715 Self-Assessment of a Pharmacy by the Pharmacist-in-Charge~~
~~1715.5 Implementation of Electronic Monitoring of Schedule II Prescriptions~~
~~1716.1 Compounding Unapproved Drugs for Prescriber Office Use~~
~~1716.2 Record Requirements-Compounding for Future Furnishing~~
~~1717.2 Notice of Electronic Prescription Files~~
~~1717.3 Preprinted, Multiple Checkoff Prescription Blanks~~
~~1723.1 Confidentiality of Examination Questions~~
~~1745 Partial Filling of Schedule II Prescriptions~~
~~1751.10 Furnishing to Parenteral Patient at Home~~

~~1761(a) Erroneous or Uncertain Prescriptions~~
~~1764 Unauthorized Disclosure of Prescriptions~~
~~1765 Commissions, Gratuities, and Rebates~~
~~1766 False or Misleading Advertising~~
~~1775.3 Compliance with Orders of Abatement~~
~~1782 Reporting Sales of Drugs Subject to Abuse~~
~~1783 Manufacturer or Wholesaler Furnishing Drugs or Devices~~
~~1793.1 Duties of a Pharmacist~~
~~1793.2 Duties of a Pharmacy Technician~~
~~1793.3 Other Non-Licensed Pharmacy Personnel~~
~~1793.4 Qualifications for Registration as a Pharmacy Technician~~
~~1793.7 Requirements for Pharmacies Employing Pharmacy Technicians~~
~~1793.8 Technicians in Hospitals with Clinical Pharmacy Programs~~

~~HEALTH AND SAFETY CODE~~

~~11103 Report of Theft, Loss, or Shipping Discrepancy~~
~~11150 Persons Authorized to Write or Issue a Prescription~~
~~11152 Nonconforming Prescriptions Prohibited~~
~~11154 Prescription, etc. Must Be for Treatment; Knowing Soliciting of Unlawful Prescription, etc.~~
~~11156 Prescribing, etc. Controlled Substances to Addict Only as Authorized~~
~~11164 Prescriptions for Schedule II, III, IV and V Controlled Substance: Form and Content; Record of Practitioner Dispensing Schedule II Controlled Substance~~
~~11165(d) CURES Transmission~~
~~11166 Time Limit for Filling Schedule II Prescription; Knowingly Filling Mutilated, Forged, or Altered Prescription Prohibited~~
~~11170 Prohibition on Prescribing, etc. Controlled Substance for Self~~
~~11179 Retention of Controlled Substance Prescription~~
~~11207 Only Pharmacist or Intern Authorized to Fill Prescription~~
~~11209 Delivery and Receiving Requirements for Schedule II, III, and IV Substances; Violation~~
~~11350 Possession of Specified Controlled Substance~~
~~11377 Unlawful Possession of Specified Substance~~

~~CODE OF FEDERAL REGULATIONS, TITLE 21~~

~~1304.03 Persons required to keep records and file reports.~~
~~1304.04 Maintenance of records and inventories.~~
~~1304.11 Inventory requirements.~~
~~1304.21 General requirements for continuing records.~~
~~1304.22 Records for manufacturers, distributors, dispensers, researchers, importers and exporters.~~
~~1305.07 Special procedures for filling certain orders.~~
~~1305.13 Procedure for filling DEA Forms 222.~~
~~1306.04 Purpose of issue of prescription.~~
~~1306.06 Persons entitled to fill prescriptions.~~
~~1306.11 Requirement prescription.~~
~~1306.12 Refilling prescriptions.~~

~~1306.13 Partial filling of prescriptions.~~
~~1306.21 Requirement of prescription.~~
~~1306.22 Refilling of prescriptions.~~
~~1306.23 Partial filling of prescriptions.~~

CATEGORY III

Minimum: Revocation; Revocation stayed, 90 days actual suspension, three to five years probation (five years probation where self-administration or diversion of dangerous drugs and/or dangerous devices or controlled substances, or abusive use of alcohol, occurred at the licensed premises). All standard terms and conditions shall be included and the disciplinary order may include optional terms and conditions, as appropriate.

Maximum: Revocation

Category III discipline is recommended for violations where potential for harm is greater, more imminent, or more serious than it is for Category II violations, as well as for violations that involve knowingly or willfully violating laws or regulations pertaining to pharmacy and/or to the dispensing or distributing of dangerous drugs and/or dangerous devices or controlled substances, and most criminal convictions involving alcohol, dangerous drugs and/or dangerous devices or controlled substances. Violations in this category may include:

- violation(s) involving creation, manipulation, perpetuation, or disregard of drug shortages;
- failure(s) to deploy or abide by electronic pedigree requirements for dangerous drugs;
- violation(s) of licensee's corresponding responsibility to ensure the proper prescribing and dispensing of controlled substances;
- dispensing or furnishing without valid prescription, dispensing or furnishing to unauthorized person(s);
- violation(s) involving fraudulent acts committed in connection with the licensee's practice;
- repeat or serious unlawful possession(s) of dangerous drugs and/or dangerous devices,
  controlled substances, hypodermic needles or syringes, or drug paraphernalia;
- larger scale dispensing or furnishing of dangerous drug(s) and/or dangerous device(s) via the internet, without valid prescription(s);
- purchasing, trading, selling, or transferring adulterated, misbranded, or expired dangerous drug(s) and/or dangerous device(s);
- removal, sale, or disposal of embargoed dangerous drug(s) and/or dangerous device(s);
- failing to maintain record(s) of acquisition and disposition of dangerous drug(s) and/or dangerous devise(s) or controlled substances
- resale(s) of preferentially prices drugs, contract bid diversion, or other instances of improper sale(s) or resale(s);
- repeat or serious violation(s) of quality assurance and self-assessment obligations, failure(s) to ensure properly trained staff and conduct practice safely;
- repeat or serious failure(s) to perform drug utilization reviews, monitor patient medication profiles, or promote safety and efficacy of prescribed drugs;
- forgery of prescriptions, passing of forged prescriptions, or other unlawful means of acquiring dangerous drug(s) and/or dangerous device(s) or controlled substances(s);
- repeat or serious acts violating, assisting in or abetting violation of, or conspiring to

violate the laws and regulations governing pharmacy; and

- violation(s) involving providing or offering to provide controlled substance(s) to addict(s).
- repeat or serious violation(s) involving the improper compounding of drug products
- ~~most criminal convictions involving dangerous drugs or controlled substances~~
- ~~knowing or willfully violating laws or regulations pertaining to dispensing or distributing dangerous drugs or controlled substances~~
- ~~fraudulent acts committed in connection with the licensee's practice~~
- ~~drug shortages~~
- ~~violation of a licensee's corresponding responsibility.~~

~~Violations of the following codes are representative of this category:~~

~~**BUSINESS AND PROFESSIONS CODE**~~

~~**Article 3. Scope of Practice and Exemptions**~~

~~4051(a) Conduct Limited to Pharmacist~~
~~4059 Furnishing Dangerous Drugs or Devices Prohibited Without Prescription: Exceptions~~
~~4059.5 Who May Order Dangerous Drugs or Devices: Exceptions~~

~~**Article 5. Authority of Inspectors**~~

~~4080 Stock of Dangerous Drugs and Devices Kept Open for Inspection~~
~~4081 Records of Dangerous Drugs and Devices Kept Open for Inspection; Maintenance of Records, Current Inventory~~
~~4085(a) Unlawful to Remove, Sell, Dispose of Embargoed Dangerous Drug or Dangerous Device~~

~~**Article 7. Pharmacies**~~

~~4110 License Required; Temporary Permit Upon Transfer of Ownership~~
~~4111 Restrictions on Prescriber Ownership~~

~~**Article 11. Wholesalers and Manufacturers**~~

~~4169(a)(2) to~~
~~4169(a)(5) Prohibited Acts~~

~~**Article 15. Veterinary Food-Animal Retailers**~~

~~4199 Labeling Requirements; Maintaining Prescription Records~~

~~**Article 19. Disciplinary Proceedings**~~

~~4301 Unprofessional Conduct - subsections (i) - (k) and (o)~~
~~4307 Prohibition of Association of Individual with Entity License by Board: Length of Prohibition; Individuals Covered; Imposition of Prohibition Through Administrative Act Proceeding~~
~~4308 Prohibited Association: Notification of Affected Licensees Known to Board~~

~~Article 20. Prohibitions and Offenses~~

~~4322 Misdemeanor or Infraction: False Representations to Secure License for Self or Others; False Representation of Licensure; Penalties~~
~~4323 Misdemeanor: False Representation of Self as Physician, Agent of Physician, etc. to Obtain Drug~~
~~4324 Felony or Misdemeanor: Forgery of Prescription; Possession of Drugs Obtained Through Forged Prescription~~
~~4325 Misdemeanor: Manufacture, Possession, etc. of False Prescription Blank~~
~~4327 Misdemeanor: Sale, Dispensing, or Compounding While Under the Influence of Drugs or Alcoholic Beverages~~
~~4329 Misdemeanor: Non-pharmacist Acting as Manager, Compounding, Dispensing or Furnishing Drugs~~
~~4332 Misdemeanor: Failure or Refusal to Maintain or Produce Required Drug or Device Records; Willful Production of False Records~~
~~4335 Voided License: Knowing Failure to Arrange for Disposition of Stock as Misdemeanor~~
~~4336 Felony: Knowing or Willful Use of Minor to Violate Specified Sections of Pharmacy Law: Exception for Pharmacist Furnishing Pursuant to a Prescription~~

~~Article 22. Unfair Trade Practices~~

~~4380 Resale of Preferentially Priced Drugs: Prohibition; Exceptions~~

~~CALIFORNIA CODE OF REGULATIONS, TITLE 16~~

~~1718 Current Inventory Defined~~
~~1761(b) Erroneous or Uncertain Prescriptions~~
~~1771 Posting of Notice of Suspension~~
~~1772 Disciplinary Condition of Suspension~~
~~1773 Disciplinary Conditions of Probation of Pharmacist~~
~~1774 Disciplinary Conditions of Probation of Permit~~

~~HEALTH AND SAFETY CODE~~

~~11104 Providing Chemical for Illicit Manufacturing; Evasion of Reporting Requirements; Penalties~~
~~11105 False Statement in Report~~
~~11150 Persons Authorized to Write or Issue a Prescription~~
~~11153 Responsibility for Legitimacy of Prescription; Corresponding Responsibility of Pharmacist~~
~~11153.5 Wholesaler or Manufacturer Furnishing Controlled Substance Other Than for Legitimate Medical Purpose; Knowing Violation; Factors in Assessing Legitimacy~~
~~11157 No False or Fictitious Prescriptions~~
~~11162.5 Counterfeiting or Possession of Counterfeit Triplicate Prescription Blank; Penalty~~
~~11167.5 Pharmacy Generated Prescription for Schedule II Controlled Substance in a Skilled Nursing Facility~~
~~11173 Fraud, Deceit, Misrepresentation or False Statement; False Representation; False Label~~

~~11174 Prohibition on Providing False Name or Address in Connection with Prescription, etc.~~
~~11351 Possession or Purchase for Sale of Specified Controlled Substance~~
~~11368 Forged or Altered Prescriptions~~
~~11375 Possession for Sale or Selling Specified Substance~~
~~11378 Possession for Sale~~
~~11550 Using or Being Under Influence of Controlled Substance~~
~~111295 Manufacturing, Selling or Offering for Sale an Adulterated Drug or Device~~
~~111300 Unlawful to Adulterate a Drug~~
~~111305 Unlawful to Receive in Commerce an Adulterated Drug~~
~~111440 Unlawful Manufacturer, selling a misbranded Drug~~
~~111445 Unlawful for a Person to Misbrand~~
~~111450 Unlawful to Receive into Commerce a Drug that is Misbranded~~

CATEGORY IV

Penalty: Revocation

Category IV discipline (~~Revocation~~ revocation) is recommended for the most serious violations of ~~the Uniform Controlled Substance Act (Heath and Safety Code 11000 et seq.) involving~~ laws or regulations pertaining to pharmacy and/or to the dispensing or distributing of dangerous drugs and/or dangerous devices or controlled substances. Violations in this category may include:

- violation(s) involving possession for sale, transportation, importation, and/or use of a minor for unlawful acquisition of sale, of controlled substances;
- criminal conviction(s) involving the above, or repeat convictions involving diversion or abuse of alcohol, dangerous drugs and/or dangerous devices, or controlled substances; and
- repeat or serious example(s) of conduct described in Category I, Category II, or Category III.
- ~~possession for sale~~
- ~~transportation~~
- ~~importation~~
- ~~sale~~
- ~~use of a minor for the unlawful sale of controlled substances~~

Revocation is also recommended ~~when~~ where a respondent fails to file a notice of defense to a pleading requiring a timely notice of defense or to appear at a disciplinary hearing, where a respondent violates the terms and conditions of probation from a previous disciplinary order, or where prior discipline has been imposed on the license.~~:~~

- ~~a respondent fails to file a notice of defense or to appear at a disciplinary hearing where the board has requested revocation in the accusation~~
- ~~a respondent violates the terms and conditions of probation from a previous disciplinary order~~
- ~~prior discipline has been imposed, as progressive discipline unless the respondent can demonstrate satisfactory evidence of rehabilitation.~~

~~Violations of the following codes are representative of this category:~~

~~**HEALTH AND SAFETY CODE**~~

~~11352 Importing, Selling, Furnishing Controlled Substance~~

~~11353 Adult Inducing Minor to Violate Provisions~~
~~11379 Transporting, Importing, Selling Controlled Substance~~
~~11380 Adult Using, Soliciting or Intimidating Minor for Violation~~

MODEL DISCIPLINARY LANGUAGE - PREMISES

The following standardized language shall be used in every decision where the order or condition is imposed.

Revocation

License number _____, issued to respondent _____, is revoked.

Respondent ~~owner~~ shall, by the effective date of this decision, arrange for the destruction of, the transfer to, sale of or storage in a facility licensed by the board of all dangerous drugs and/or dangerous devices or controlled substances and dangerous drugs and/or dangerous devices. Respondent shall further arrange for the transfer of all records of acquisition and disposition of dangerous drugs to premises licensed and approved by the board. Respondent ~~owner~~ shall provide written proof of such disposition, submit a completed Discontinuance of Business form and return the wall and renewal license to the board within five (5) days of disposition.

~~**Option:**~~ Respondent ~~owner~~ shall also, by the effective date of this decision, arrange for the continuation of care for ongoing patients of the pharmacy by, at minimum, providing a written notice to ongoing patients that specifies the anticipated closing date of the pharmacy and that identifies one or more area pharmacies capable of taking up the patients' care, and by cooperating as may be necessary in the transfer of records or prescriptions for ongoing patients. Within five (5) days of its provision to the pharmacy's ongoing patients, Respondent ~~owner~~ shall provide a copy of the written notice to the board. For the purposes of this provision, "ongoing patients" means those patients for whom the pharmacy has on file a prescription with one or more refills outstanding, or for whom the pharmacy has filled a prescription within the preceding sixty (60) days.

Suspension

License number _____, issued to respondent _____is suspended for a period of _____days beginning the effective of this decision.

Respondent shall cease all ~~pharmacy~~ operations as a [insert license type] during the period of suspension. Failure to comply with this suspension shall be considered a violation of probation.

Standard Stay/Probation Order

License number _____, issued to respondent, is revoked; however, the revocation is stayed and respondent is placed on probation for _____years ~~upon~~ on the following terms and conditions:

Issuance of Probationary License (In cases where a Statement of Issues has been filed.)

Upon satisfaction of all statutory and regulatory requirements for issuance of a [insert license type] license, a license shall be issued to respondent and immediately revoked; the order of revocation is stayed and respondent is placed on probation for _____years ~~upon~~ on the following terms and conditions:

Surrender

Respondent ~~owner~~ surrenders license number _____as of the effective date of this decision. Respondent ~~owner~~ shall relinquish the premises wall license and renewal license to the board within ten (10) days of the effective date of this decision.

The surrender of respondent's license and the acceptance of the surrendered license by the board shall constitute the imposition of discipline against respondent. This decision constitutes a record of discipline and shall become a part of respondent's license history with the board.

Respondent ~~owner~~ shall, within ten (10) days of the effective date, arrange for the destruction of, the transfer to, sale of or storage in a facility licensed and approved by the board of all controlled substances and dangerous drugs and/or dangerous devices. Respondent shall further arrange for the transfer of all records of acquisition and disposition of dangerous drugs to premises licensed and approved by the board. Respondent ~~owner~~ shall further provide written proof of such disposition and submit a completed Discontinuance of Business form according to board guidelines.

~~Respondent owner shall also, by the effective date of this decision, arrange for the continuation of care for ongoing patients of the pharmacy by, at minimum, providing a written notice to ongoing patients that specifies the anticipated closing date of the pharmacy and that identifies one or more area pharmacies capable of taking up the patients' care, and by cooperating as may be necessary in the transfer of records or prescriptions for ongoing patients. Within five days of its provision to the pharmacy's ongoing patients, Respondent owner shall provide a copy of the written notice to the board. For the purposes of this provision, "ongoing patients" means those patients for whom the pharmacy has on file a prescription with one or more refills outstanding, or for whom the pharmacy has filled a prescription within the preceding sixty (60) days.~~

~~Respondent owner understands and agrees that if he or she [he/she] ever files an application for a licensed premises or a petition for reinstatement in the State of California, the board shall treat it as a new application for licensure.~~

Respondent may only seek a new or reinstated license from the board by way of a new application for licensure. Respondent shall not be eligible to petition for reinstatement of licensure.

Respondent ~~owner~~ may not reapply for any license from the board for three (3) years from the effective date of this decision. Respondent ~~owner~~ stipulates that should ~~he or she~~ [he/she] apply for any license from the board on or after the effective date of this decision, all allegations set forth in the [accusation or petition to revoke probation] shall be deemed to be true, correct and admitted by respondent when the board determines whether to grant or deny the application. Respondent shall satisfy all requirements applicable to that license as of the date the application is submitted to the board. Respondent is required to report this surrender as disciplinary action.

Respondent ~~owner~~ further stipulates that ~~he or she~~ [he/she] shall reimburse the board for its costs of investigation and prosecution in the amount of $_____within _____days of the effective date of this decision.

~~**OPTION 1:**~~ (To be included if the respondent is a pharmacy.) Respondent ~~owner~~ shall also, by the effective date of this decision, arrange for the continuation of care for ongoing patients of the

pharmacy by, at minimum, providing a written notice to ongoing patients that specifies the anticipated closing date of the pharmacy and that identifies one or more area pharmacies capable of taking up the patients' care, and by cooperating as may be necessary in the transfer of records or prescriptions for ongoing patients. Within five days of its provision to the pharmacy's ongoing patients, Respondent ~~owner~~ shall provide a copy of the written notice to the board. For the purposes of this provision, "ongoing patients" means those patients for whom the pharmacy has on file a prescription with one or more refills outstanding, or for whom the pharmacy has filled a prescription within the preceding sixty (60) days.

Option 2: Respondent ~~owner~~ stipulates that should ~~he or she~~ [he/she] apply for any license from the board on or after the effective date of this decision the investigation and prosecution costs in the amount of $_____shall be paid to the board prior to issuance of the new license.

Public ~~Reprimand~~ Reproval

It is hereby ordered that a public ~~reprimand~~ reproval be issued against licensee, _____. Respondent ~~owner~~ is required to report this reproval as a disciplinary action.

~~**Adoption of Stipulation**~~

~~It is understood by respondent owner that, in deciding whether to adopt this stipulation, the board may receive oral and written communication from its staff and the Attorney General's Office. Communications pursuant to this paragraph shall not disqualify the board or other persons from future participation in this or any other matter affecting respondent. In the event this settlement is not adopted by the board, the stipulation will not become effective and may not be used for any purpose, except this paragraph, which shall remain in effect.~~

STANDARD CONDITIONS - To be included in all probation decisions/orders.

1. Definition: Respondent
~~1~~ 2. Obey All laws
~~2~~ 3. Report to the Board
~~3~~ 4. Interview with the Board
~~4~~ 5. Cooperate with Board Staff
~~5~~ 6. Reimbursement of Board Costs
~~6~~ 7. Probation Monitoring Costs
~~7~~ 8. Status of License
~~8~~ 9. License Surrender While on Probation/Suspension
10. Sale or Discontinuance of Business
~~9~~ 11. Notice to Employees
~~10~~ 12. Owners and Officers: Knowledge of the Law
13. Premises Open for Business
~~11~~ 14. Posted Notice of Probation
~~12~~ 15. Violation of Probation
~~13~~ 16. Completion of Probation

OPTIONAL CONDITIONS
17. Suspension
~~14.~~ 18. Community Services Program
~~15.~~ 19. Restitution
~~16.~~ 20. Separate File of Records
~~17.~~ 21. Report of Controlled Substances
~~18.~~ 22. Surrender of DEA Permit
~~19.~~ 23. Posted Notice of Suspension
24. Destruction of Dangerous Drugs and/or Dangerous Devices
25. No Additional Ownership or Management of Licensed Premises

STANDARD CONDITIONS: TO BE INCLUDED IN ALL PROBATIONS

1. Definition: Respondent

For the purposes of these terms and conditions, "respondent" shall refer to [insert name], ~~and a~~All terms and conditions stated herein shall bind and be applicable to the licensed premises and to all owners, managers, officers, administrators, members, directors, trustees, associates, or partners thereof. For purposes of compliance with any term or condition, any report, submission, filing, payment, or appearance required to be made by respondent to or before the board or its designee shall be made by an owner or executive officer with authority to act on behalf of and legally bind the licensed entity.

~~1~~ 2. Obey All Laws

Respondent ~~owner~~ shall obey all state and federal laws and regulations.

Respondent ~~owner~~ shall report any of the following occurrences to the board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws;
- a plea of guilty, or nolo ~~contender~~ contendere, no contest, or similar, in any state or federal criminal proceeding to any criminal complaint, information or indictment;

- a conviction of any crime; or
- discipline, citation, or other administrative action filed by any state or federal agency which involves respondent's _____license or which is related to the practice of pharmacy or the manufacturing, obtaining, handling or distributing, billing, or charging for any dangerous drug~~,~~ and/or dangerous device or controlled substance.

Failure to timely report any such occurrence shall be considered a violation of probation.

~~2~~ 3. Report to the Board

Respondent ~~owner~~ shall report to the board quarterly, on a schedule and in a form or format as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent ~~owner~~ shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.

~~3~~ 4. Interview with the Board

Upon receipt of reasonable prior notice, respondent ~~owner~~ shall appear in person for interviews with the board or its designee, at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear for two (2) or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.

~~4~~ 5. Cooperate with Board Staff

Respondent ~~owner~~ shall timely cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of ~~his or her~~ the probation, including but not limited to: timely responses to requests for information by board staff; timely compliance with directives from board staff regarding requirements of any term or condition of probation; and timely completion of documentation pertaining to a term or condition of probation. Failure to timely cooperate shall be considered a violation of probation.

~~5~~ 6. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, respondent ~~owner~~ shall pay to the board its costs of investigation and prosecution in the amount of $_____. Respondent ~~owner~~ shall make said payments as follows: _____. There shall be no deviation from this schedule absent prior written approval by the board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

~~The filing of bankruptcy by respondent owner shall not relieve respondent of his or her responsibility to reimburse the board its costs of investigation and prosecution.~~

~~**OPTION:**~~ Respondent shall be permitted to pay these costs in a payment plan approved by the board or its designee, so long as full payment is completed no later than one (1) year prior to the end date of probation.

~~6~~ 7. Probation Monitoring Costs

Respondent ~~owner~~ shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

~~7~~ 8. Status of License

Respondent ~~owner~~ shall, at all times while on probation, maintain current [insert license type] ~~licensure~~ with the board. ~~If respondent owner submits an application to the board, and the application is approved, for a change of location, change of permit or change of ownership, the board shall retain continuing jurisdiction over the license, and the respondent shall remain on probation as determined by the board.~~ Failure to maintain current licensure shall be considered a violation of probation.

If respondent's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof or otherwise, upon renewal or reapplication respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

~~8~~ 9. License Surrender While on Probation/Suspension

Following the effective date of this decision, should respondent ~~owner~~ wish to discontinue business, respondent ~~owner~~ may tender the premises license to the board for surrender. The board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation.

Respondent may not apply for any new license from the board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the board.

Respondent further stipulates that it shall reimburse the board for its costs of investigation and prosecution prior to the acceptance of the surrender.

OPTION ~~(To be included if the respondent is a pharmacy)~~: Upon acceptance of the surrender, respondent ~~owner~~ shall relinquish the premises wall and renewal license to the board within ten (10) days of notification by the board that the surrender is accepted. Respondent ~~owner~~ shall further submit a completed Discontinuance of Business form according to board guidelines and shall notify the board of the records inventory transfer within five (5) days. Respondent shall further arrange for the transfer of all records of acquisition and disposition of dangerous drugs and/or devices to premises licensed and approved by the board.

Respondent ~~owner~~ shall also, by the effective date of this decision, arrange for the continuation of care for ongoing patients of the pharmacy by, at minimum, providing a written notice to ongoing patients that specifies the anticipated closing date of the pharmacy and that identifies one or more area pharmacies capable of taking up the patients' care, and by cooperating as may be necessary in the transfer of records or prescriptions for ongoing patients. Within five days of its provision to the pharmacy's ongoing patients, Respondent ~~owner~~ shall provide a copy of the written notice to the board. For the purposes of this provision, "ongoing patients" means those patients for whom the pharmacy has on file a prescription with one or more refills outstanding, or for whom the pharmacy has filled a prescription within the preceding sixty (60)

days.

Respondent ~~owner~~ may not apply for any new ~~licensure~~ license from the board for three (3) years from the effective date of the surrender. Respondent ~~owner~~ shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the board.

Respondent ~~owner~~ further stipulates that ~~he or she~~ it shall reimburse the board for its costs of investigation and prosecution prior to the acceptance of the surrender.

10. Sale or Discontinuance of Business

During the period of probation, should respondent sell, trade or transfer all or part of the ownership of the licensed entity, discontinue doing business under the license issued to respondent, or should practice at that location be assumed ~~by~~ by another full or partial owner, person, firm, business, or entity, under the same or a different premises license number, the board or its designee shall have the sole discretion to determine whether to exercise continuing jurisdiction over the licensed location, under the current or new premises license number, and/or carry the remaining period of probation forward to be applicable to the current or new premises license number of the new owner.

~~9~~ 11. Notice to Employees

Respondent ~~owner~~ shall, upon or before the effective date of this decision, ensure that all employees involved in permit operations are made aware of all the terms and conditions of probation, either by posting a notice of the terms and conditions, circulating such notice, or both. If the notice required by this provision is posted, it shall be posted in a prominent place and shall remain posted throughout the probation period. Respondent ~~owner~~ shall ensure that any employees hired or used after the effective date of this decision are made aware of the terms and conditions of probation by posting a notice, circulating a notice, or both. Additionally, respondent ~~owner~~ shall submit written notification to the board, within fifteen (15) days of the effective date of this decision, that this term has been satisfied. Failure to ~~submit~~ timely provide such notification to employees, or to timely submit such notification to the board, shall be considered a violation of probation.

> "Employees" as used in this provision includes all full-time, part-time, volunteer, temporary and relief employees and independent contractors employed or hired at any time during probation.

~~10~~ 12. Owners and Officers: Knowledge of the Law

Respondent shall provide, within thirty (30) days after the effective date of this decision, signed and dated statements from its owners, including any owner or holder of ten percent (10%) or more of the interest in respondent or respondent's stock, and ~~any~~ all of its officer, stating under penalty of perjury that said individuals have read and are familiar with state and federal laws and regulations governing the practice of pharmacy. The failure to timely provide said statements under penalty of perjury shall be considered a violation of probation.

13. Premises Open for Business

Respondent shall remain open and engaged in its ordinary business as a [insert license type] in

California for a minimum of _____ hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during with this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation, unless respondent is informed otherwise in writing by the board or its designee. If respondent is not open and engaged in its ordinary business as a [insert license type] for a minimum of _____ hours in any calendar month, for any reason (including vacation), respondent shall notify the board in writing within ten (10) days of the conclusion of that calendar month. This notification shall include at minimum all of the following: the date(s) and hours respondent was open; the reason(s) for the interruption or why business was not conducted; and the anticipated date(s) on which respondent will resume business as required. Respondent shall further notify the board in writing with ten (10) days following the next calendar month during which respondent is open and engaged in its ordinary business as a [insert license type] in California for a minimum of _____ hours. Any failure to timely provide such notification(s) shall be considered a violation of probation.

~~11~~14. Posted Notice of Probation

Respondent ~~owner~~ shall prominently post a probation notice provided by the board or its designee in a place conspicuous to and readable by the public within two (2) days of receipt thereof from the board or its designee. Failure to timely post such notice, or to maintain the posting during the entire period of probation, shall be considered a violation of probation. ~~The probation notice shall remain posted during the entire period of probation.~~

Respondent ~~owner~~ shall not, directly or indirectly, engage in any conduct or make any statement which is intended to mislead or is likely to have the effect of misleading any patient, customer, member of the public, or other person(s) as to the nature of and reason for the probation of the licensed entity.

~~Failure to post such notice shall be considered a violation of probation.~~

~~12~~ 15. Violation of Probation

If ~~a~~ respondent ~~owner~~ has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent ~~license~~, and probation shall be automatically extended, until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If respondent ~~owner~~ violates probation in any respect, the board, after giving respondent ~~owner~~ notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. ~~Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license.~~ If a petition to revoke probation or an accusation is filed against respondent during probation, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

~~13~~ 16. Completion of Probation

Upon written notice by the board or its designee indicating successful completion of probation, respondent's license will be fully restored.

OPTIONAL CONDITIONS OF PROBATION

~~14~~ 17. Suspension

As part of probation, respondent's license to operate a [insert license type] is suspended for _____[day(s)/month(s)/year(s)] beginning the effective date of this decision. Respondent shall cease all operations as a [insert license type] during the period of suspension. Failure to comply with this suspension shall be considered a violation of probation.

~~14.~~ ~~19.~~18. Community Service~~s~~ Program

Within sixty (60) days of the effective date of this decision, respondent ~~owner~~ shall submit to the board or its designee, for prior approval, a community service program in which respondent shall provide free health-care related services to a community or charitable facility or agency for at least _____hours per _____for the first _____of probation.

Within thirty (30) days of board approval thereof, respondent ~~owner~~ shall submit documentation to the board demonstrating commencement of the community service program. Respondent ~~owner~~ shall report on progress with the community service program in the quarterly reports.

Failure to timely submit, commence, or comply with the program shall be considered a violation of probation.

~~15.~~ ~~20.~~19. Restitution (Appropriate in cases of drug diversion, theft, fraudulent billing, or patient harm resulting from negligence or incompetence.)

Within _____days of the effective date of this decision, respondent ~~owner~~ shall pay restitution to _____in the amount of $ _____. Failure to make restitution by this deadline shall be considered a violation of probation.

~~16.~~ ~~21.~~20. Separate File of Controlled Substances Records

Respondent ~~owner~~ shall maintain and make available for inspection a separate file of all records pertaining to the acquisition or disposition of all controlled substances. Failure to maintain such file or make it available for inspection shall be considered a violation of probation.

~~17.~~ ~~22.~~21. Report of Controlled Substances

Respondent ~~owner~~ shall submit ~~quarterly~~ reports to the board detailing the total acquisition and disposition of such controlled substances as the board or its designee may direct. Respondent ~~owner~~ shall specify the manner of disposition (e.g., by prescription, due to burglary, etc.) or acquisition (e.g., from a manufacturer, from another retailer, etc.) of such controlled substances. Respondent ~~owner~~ shall report on a quarterly basis or as directed by the board or its designee. The report shall be delivered or mailed to the board no later than ten (10) days following the end of the reporting period as determined by the board or its designee. Failure to timely prepare or submit such reports shall be considered a violation of probation.

~~18.~~ ~~23.~~22. Surrender of DEA Permit

Within thirty (30) days of the effective date of this decision, respondent ~~pharmacy~~ shall surrender its federal Drug Enforcement Administration (DEA) permit to the DEA, for cancellation. Respondent ~~pharmacy~~ shall provide documentary proof of such cancellation to the board or its designee. Thereafter, respondent ~~pharmacy~~ shall not apply/reapply for a DEA

registration number without the prior written consent of the board or its designee.

Option**:** Respondent ~~pharmacy~~ may obtain a DEA permit restricted to Schedule(s) _____ controlled substance(s).

Option**:** Respondent ~~pharmacy~~ shall not order, receive, or retain any federal order forms, including DEA Form 222 ~~forms~~, for controlled substances.

~~19.~~ ~~18.~~23. Posted Notice of Suspension

Respondent ~~owner~~ shall prominently post a suspension notice provided by the board in a place conspicuous and readable to the public within two (2) days of receipt thereof from the board or its designee. The suspension notice shall remain posted during the entire period of suspension ordered by this decision. Failure to timely post such notice, or to maintain the posting during the entire period of suspension, shall be considered a violation of probation.

Respondent ~~owner~~ shall not, directly or indirectly, engage in any conduct or make any statement, orally, electronically or in writing, which is intended to mislead or is likely to have the effect of misleading any patient, customer, member of the public, or other person(s) as to the nature of and reason for the closure of the licensed entity.

24. Destruction of Dangerous Drugs and/or Dangerous Devices [To be used when the violations include misbranded or adulterated drugs.]

Respondent shall, by the effective date of this decision, arrange for the destruction of all dangerous drugs and/or dangerous devices or controlled substances and dangerous drugs and devices by a waste management company or reverse distributor. All products must be inventoried with an exact count prior to destruction. Respondent shall provide written proof of such destruction within five days of disposition.

Option: [To be used when the integrity, quality and strength of compounded drug products is at issue]

Respondent shall, by the effective date of this decision, arrange for the destruction of all compounded drug products and the components used to compound drug products by a waste management company. Respondent shall provide written proof of such destruction within five days of disposition. The Board or its designee shall have the right to retain a sample(s) of any and all compounded drug products or components used to compound drug products by Respondent.

25. No Additional Ownership or Management of Licensed Premises

Respondent shall not acquire any additional ownership, legal or beneficial interest in, nor serve as a manager, administrator, member, officer, director, associate, partner or any business, firm , partnership, or corporation currently or hereinafter licensed by the board except as approved by the board or its designee. Violations of this restriction shall be considered a violation of probation.

~~6/2007~~ ~~7/2015~~ 10/2015